

AB-INITIO INVESTIGATION OF STRUCTURAL, ELECTRONIC AND ELASTIC PROPERTIES OF SOME II-V₂ SEMICONDUCTING COMPOUNDS



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Physics
Under the
Faculty of Science

Submitted by
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I feel great pleasure in certifying that the Ph.D. thesis entitled “*Ab-Initio Investigation of Structural, Electronic and Elastic Properties of Some II-V₂ Semiconducting Compounds*” submitted by **Sushil Rajpurohit** to the University of Kota in the partial fulfillment of the requirements for the award of the degree of Doctor of Philosophy is based on the research work carried out under my guidance.

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I, **Sushil Rajpurohit**, hereby certify that the research work presented in my Ph.D. thesis entitled “*Ab-Initio Investigation of Structural, Electronic and Elastic Properties of Some II-V₂ Semiconducting Compounds*” which is carried out by me under the supervision of **Dr. Ghanshyam Sharma** and submitted in the partial fulfillment of the requirement for the award of the degree of Doctor of Philosophy of the University of Kota, represents my ideas in my own words and where others’ ideas or words have been included in this thesis, I have adequately cited and referenced the original sources.

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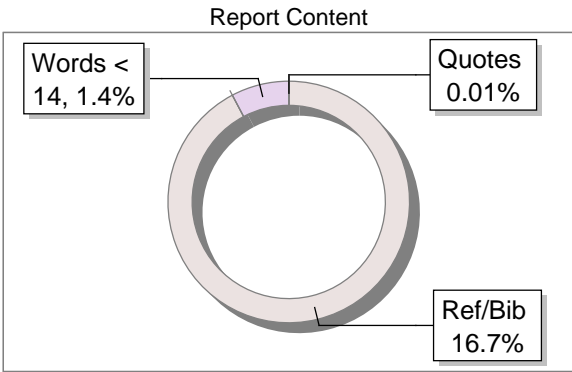
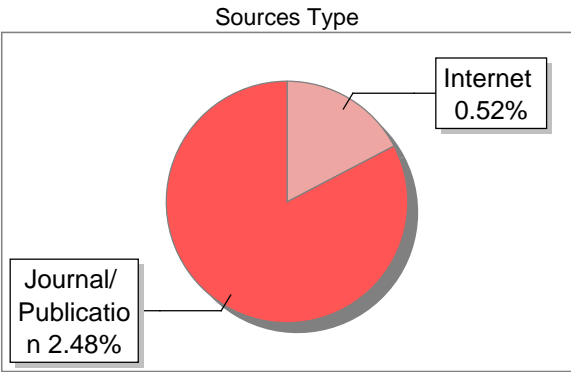


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Sushil Rajpurohit
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PREFACE

This thesis provides an *Ab-Initio* investigation of the structural, electronic and elastic properties of some II-V₂ semiconducting compounds. The chapters are arranged into six parts. The properties mentioned in these chapters (3 to 5) are kept as independent as possible. The thesis addresses mostly DFT studies of α -CdP₂, α -ZnP₂ and ZnAs₂. The data in the tables provide an idea of the typical estimated values of the various physical quantities in this thesis. For concreteness and completeness, captions in the tables and figures are included.

This thesis also addresses computational tools relevant to the investigation. The area of computational materials science has seen revolutionary developments in the past few decades. The driving force in computational materials science is the rapid growth of cost-effective computational technology. Computational materials science invites visualization and independent discovery through modern software. Some results in this thesis have been mentioned from published research papers in scientific journals. In the thesis, a list of figures and a list of tables are provided before the start of Chapter 1. The abbreviations used in the thesis are also listed. Tables are presented in conventional units. The symbol e represents the charge of a proton. It is taken as a positive.

The first chapter deals with an introduction and review of the literature. Moreover, component elements of II-V₂ semiconductors are also introduced in the first chapter. A review survey of II-V₂ semiconducting compounds has been introduced in the first chapter. Semiconductors have many technological applications. Chapter 1 illustrates that the usefulness of semiconductors in device applications has become an important area of research. The motivation for the work and outline of the thesis have been incorporated in Chapter 1.

The purpose of the second chapter is to give a glimpse of the basic research methods that are applied in this study. Chapter 2 includes the theoretical framework for this investigation. Chapter 2 addresses useful software/tools such as CRYSTAL Code, DL Visualize, CRYSPLOT and ELATE. In this thesis, a brief description of computational tools is also illustrated in Chapter 2. The second chapter deals with the computational procedure adopted in the thesis.

Chapter 3 is devoted to the structural properties of the compounds. Chapter 3 incorporates optimized lattice parameters for compounds. The third chapter also deals with the equation of states.

Chapter 4 addresses the electronic properties of II-V₂ semiconducting compounds. Various descriptions of electronic properties have been included to make it possible to explore the electronic characteristics of the compounds. The description of electron transfer is part of the Mulliken population discussion for II-V₂ semiconducting compounds. Electronic band structure calculations are carried out along high symmetry directions for special points in the Brillouin zone. Figures for the density of states illustrate the contribution of nonequivalent atoms and atomic shells to the density of states. The density of state interpretation enhances the understanding of the contribution of electronic shells to conduction properties.

The fifth chapter deals with the elastic properties of the materials. Mathematical expressions are an intrinsic part of elasticity. Essential mathematical equations have been incorporated in Chapter 5 to describe the polycrystalline properties, anisotropy, etc. The anisotropy of compounds is elaborated through two-dimensional and three-dimensional view diagrams. Attention has also been paid to describing anisotropic parameters in the elasticity section. In Chapter 6, attention is turned to important conclusions and future scope. Standard notations and symbols are used in the thesis. A consistent reference style is followed in references. The bibliography section includes the DOI of sources as much as possible. Efforts have been made to form this thesis systematically.

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ABBREVIATIONS

BF	Bloch Function
BM	Birch-Murnaghan
BS	Basis Set
BZ	Brillouin Zone
CP	Compton Profile
CPHF	Coupled Perturbed Hartree-Fock
DFT	Density Functional Theory
DLV	Daresbury Laboratory Visualize
DOS	Density of States
DZ	Double Zeta
ECD	Electron Charge Density
EM	Electromagnetic
EMD	Electron Momentum Density
FIR	Far-Infrared
GGA	Generalized Gradient Approximation
GUI	Graphical User Interface
HF	Hartree-Fock
HK	Hohenberg-Kohn
IBZ	Irreducible Brillouin Zone
IE	Ionization Energy
IR	Infrared
KS	Kohn-Sham
LCAO	Linear Combination of Atomic Orbitals
LDA	Local Density Approximation
LED	Light Emitting Diode
LSDA	Local-Spin-Density Approximation
PDOS	Partial Density of States
PBE	Perdew-Burke-Ernzerhof
PT	Poirier-Tarantola
PV	Photovoltaic
PVT	Pressure-Volume-Temperature

PWGA	Perdew-Wang Generalized Gradient Approximation
PZ	Perdew-Zunger
QNA	Quasi-Newton Algorithm
RMS	Root Mean Square
SHG	Second Harmonic Generation
SG	Space Group
TF	Thomas-Fermi
TZ	Triple Zeta
VBH	von Barth-Hedin
VWN	Vosko-Wilk-Nusair
WF	Wannier Function
XC	Exchange-Correlation

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CHAPTER 1

INTRODUCTION

1.1 Introduction

The choice, formation and use of materials have been part of civilization. There is the continuous advancement of material classes and properties [1]. Materials are represented through their properties, such as electronic band gap, density, thermal conductivity, coefficient of thermal expansion, Young's modulus, Poisson's ratio, etc. The productive application of materials requires that they fulfill specific properties. Exposure to material properties provides upgraded technology with improved performance [1]. The usefulness of semiconductors in devices has encouraged accelerated research endeavors to characterize their properties better [2]. A quantum mechanical *ab-initio* computer program provides the computation scheme to investigate many properties of crystalline systems [3].

Many solids have the crystalline nature. In crystals, the atoms follow a three-dimensional periodic structure. This regularity helps to develop methods to investigate the properties of crystalline solids. In actual situations, solids do not extend to infinity [4]. Real solids terminate on surfaces, which make up defects in the three-dimensional periodic crystalline structure [4]. The typical ratio of atoms in the bulk to atoms on the surface of a real solid is $10^8:1$ [4]. Therefore, despite the surface defect, it is reasonable to assume that a real solid behaves nearly like an infinite periodic solid [4]. To characterize the crystal, the positions of the atoms of the basis in the conventional cell are to be identified [5]. The crystal structures have significance for technologically advanced materials.

The main method for examining the arrangement of atoms in crystalline solids is X-ray diffraction [6]. The type of lattice and separation between lattice planes can be identified with the X-ray diffraction technique. In X-ray diffraction, the wavelength of an electromagnetic wave is of the order of the distance between atoms. X-ray reflection follows Bragg's law.

The quest for appropriate materials for photovoltaic (PV) applications has broadened over the past several years [7]. Semiconductors have many technological applications, such as solar cells, transistors, light-emitting diodes (LEDs), photoconductors, charge-coupled devices, strain gauges, lasers, etc. [8]. Generally, semiconductor devices are relatively economical and have reliability [8]. Applications of the optical properties of solids are commercially valuable [9]. Photonic crystals for optical integrated circuits have become an important area of research [9]. With

concern about the increasing energy requirements of society, researchers are paying attention to further investigation of renewable energy sources, like solar devices, etc. [10].

Crystal orbitals (solid wave functions) extend throughout the solid, so these may be referred to as delocalized orbitals [11]. For accuracy in electronic structure treatment, the inclusion of electron-electron interactions is very essential [12]. The electronic properties of solids are influenced by crystal potential. Generally, an unfilled band of orbitals is known as a conduction band [6], whereas a filled band is known as a valence band [6]. The band gap depends on the temperature [8]. The band structure can illustrate the manner in which electrons in the solids will react to external disturbances [4]. Here, external disturbances mean the emission or absorption of light [4]. This response of electrons may be correlated with the electrical and optical properties of solids [4]. The electronic band structure of solids has utility in determining the reflectivity and dielectric properties [4].

The plot between the energy E and the wave vector k is known as the E - k diagram. The E - k plot is also known as the dispersion relation or band structure for electronic states [13]. The energy eigenvalue $E_n(\vec{k})$ is associated with band index n [11]. Each band has a specific energy range [11]. In crystals, the number of bands is large [11]. If the lowest of the conduction band and the highest of the valence band are at the same wave vector in the E - k diagram, it is called a direct band gap semiconductor [8]. In the indirect band gap semiconductor, the lowest of the conduction band and the highest of the valence band are not at the same wave vector in the E - k diagram [8]. The E - k diagram has utility in the determination of the band gaps (namely, direct and indirect) of semiconductors. To illustrate specific physical phenomena, the Brillouin zone (BZ) scheme in reciprocal space is utilized. There are special \vec{k} points, which are high symmetry points in three-dimensional Brillouin zones. Electronic band structure calculations are generally carried out along high symmetry directions for these special points (namely $X, Z, M, \Delta, \Sigma, \Gamma$, etc.) in the Brillouin zone and dispersion E - k curves are plotted [4]. The characteristics of electronic energy bands along the high-symmetry directions (joining special points) of the Brillouin zones are significantly useful [4].

As a function of energy, the density of electronic states is a useful concept for analyzing the electronic band structure of solids. The density of states is given by $\frac{dN}{dE}$

where dN represents the number of states existing between energy levels E and $E + dE$. Position of Fermi energy in the density of states versus energy plot plays a key role in determining transport properties of solids [13]. The highest occupied energy surface in a wave vector \vec{k} is the Fermi surface for solids at zero Kelvin temperature [8] and energy is constant throughout this Fermi surface [14]. The electrons near the Fermi surface are responsible for many electrical properties [8]. Both extended zone and reduced zone methods are implemented to visualize the Fermi surface [14]. The Fermi surface that is experimentally measured gives a goal at which the first principle electronic band structure computation can target [15]. For the deduction of the geometry of the Fermi surface, one of the powerful techniques is the de Haas-van Alphen effect [15].

Many properties are represented by tensors. Tensors are categorized based on their rank [16]. Different physical properties may be explained by different order tensors, even for the same material. For construction objectives, the applicability of a given solid is also determined by its mechanical properties [2]. Properties that depend on crystal structure are known as structure-sensitive properties [2]. Some specific predictions about the properties of crystals may be made for known crystal structures [2].

There is a change in the shape of the crystal when a stress is applied to it [16]. Strain is recoverable under a certain limit of stress, i.e., under elastic limit [16]. Hooke's law applies only to small strains [17]. For large strains, stress-strain curves enter into the nonlinear region [17]. Elasticity is a centrosymmetric type of property [16]. Elasticity may be dealt with tensors. Elasticity theory forms a mathematical model of the deformation of matter [18]. This mathematical model of elasticity has been commonly formulated using tensor language and calculus. The solid body is assumed to be a continuous medium [19].

The characterization of the mechanical properties of solids is generally carried out with constitutive stress-strain relations [18]. Directional stress-strain responses typically arise from microstructural peculiarities within the anisotropic solid [18]. The deformation response of many solid samples depends upon the orientation [18]. Property that changes with direction is called an anisotropic phenomenon [20]. In general, crystals are said to be anisotropic because of their direction-dependent properties. Such properties of crystals vary with direction. Anisotropy concerns the

type of symmetry of the crystal. As crystals have some direction-dependent properties, all crystals are anisotropic [16]. Anisotropy is an intrinsic quality of a continuum [20]. For anisotropic crystals, applying any stress component results in other strain components. The elastic anisotropy of materials has a significant role in their functions [21]. The elastic anisotropy may affect certain material properties, such as, phase transformations [22] and fracture toughness [23].

Young's modulus and linear compressibility are direction-dependent quantities. For all classes of crystals, Young's modulus is anisotropic, even for cubic crystals [16]. The theory of elasticity elaborates on the utility of crystals for the analysis and design of advanced materials [24]. The elastic perspective is valuable as it provides structural performance. Now, new computational methods have emerged as research tools to examine the elastic properties of materials. In computational materials science, it is expected that computationally predicted results for materials may be experimentally validated in the future. Differences in the performance of the materials may be attributed to specific internal structures of the materials. Complex variable theory also acts as a very useful technique for solving elasticity problems [18]. From the point of view of technological applications, the determination of ductile and brittle materials by means of the theory of elasticity is also important.

II-V₂ semiconductor compounds are made from the 12th and 15th column elements of the periodic table. These compounds have utility in the fabrication of optoelectronic devices [25, 26, 27]. From the thermal point of view of ternary phase diagrams, compounds of As and P with Cd and Zn are of importance [28].

1.1.1 Group II and Group V Elements for II-V₂ Semiconducting Compounds

Zn, Cd and Hg are elements of Group II_B. Group 12 is also known as Group II_B. Zn, Cd and Hg each have an electronic ground state 1S_0 [29, 30]. The electron configurations of zinc (30), cadmium (48) and mercury (80) are $[Ar]3d^{10}4s^2$, $[Kr]4d^{10}5s^2$ and $[Xe]4f^{14}5d^{10}6s^2$, respectively [29, 30]. The first ionization energies of Zn, Cd and Hg are 9.394197 eV, 8.993820 eV and 10.437504 eV, respectively [30]. The second ionization energy (IE) of Zn is 17.96440 eV [29]. The second ionization energies of Cd and Hg are 16.90832 eV and 18.756 eV, respectively

[29]. The crystal structure of Zn and Cd is hexagonal [29]. At a temperature of 293 K, the shortest interatomic distances in solids for Zn and Cd crystals are 2.66 Å and 2.97 Å, respectively [29]. Young's modulus E , shear modulus (modulus of rigidity) G and Poisson's ratio ν of zinc are 92.7 GPa, 34.3 GPa and 0.29, respectively [29]. Young's modulus E , modulus of rigidity G and Poisson's ratio ν of cadmium are 62.3 GPa, 24.5 GPa and 0.30, respectively [29]. Zinc is a ductile metal, whereas cadmium is a soft metal [29]. Zn and Cd metals are bluish-white [31]. At temperature 293 K, the electrical resistivities of zinc and cadmium are $5.43 \times 10^{-8} \Omega \text{ m}$ and $6.8 \times 10^{-8} \Omega \text{ m}$, respectively [29]. Cadmium has utility in Ni-Cd rechargeable batteries [31, 32]. Zn has utility in galvanizing the other metals [32]. Zinc is used to form alloys [31, 33]. Hg is a virulent poison [31]. Group 15 is also known as Group V_A [29]. Nitrogen, phosphorus, arsenic, antimony and bismuth are elements of Group V_A . The electron configurations of N, P, As and Sb are $[\text{He}]2s^22p^3$, $[\text{Ne}]3s^23p^3$, $[\text{Ar}]3d^{10}4s^24p^3$ and $[\text{Kr}]4d^{10}5s^25p^3$, respectively [29, 30]. Elements N, P, As, Sb and Bi each have an electronic ground state $^4S_{3/2}$ [29]. The first ionization energies of N, P, As, Sb and Bi are 14.53413 eV, 10.486686 eV, 9.78855 eV, 8.608389 eV and 7.285516 eV, respectively [30]. The crystal structure of P is orthorhombic (C) [29]. As, Sb and Bi have a trigonal (R) crystal structure [29]. N has characteristics of gases, whereas P and As have characteristics of semiconductors [29]. Sb and Bi have semimetal and brittle metal characteristics, respectively [29]. At room temperature, the electrical resistivities ρ_s of As, Sb and Bi are nearly $2.60 \times 10^{-7} \Omega \text{ m}$, $3.70 \times 10^{-7} \Omega \text{ m}$ and $1.068 \times 10^{-6} \Omega \text{ m}$, respectively, in the solid state [29].

1.2 An Overview of Some II-V₂ Semiconducting Compounds

1.2.1 II-V₂ Phosphides

Two different crystalline phases of ZnP_2 are designated as $\alpha\text{-ZnP}_2$ and $\beta\text{-ZnP}_2$ [28, 34]. The $\alpha\text{-ZnP}_2$ and $\beta\text{-ZnP}_2$ have tetragonal and monoclinic structures, respectively [28, 34]. A tetragonal crystal structure of the alpha phase of ZnP_2 was reported by Stackelberg *et al.* [35] and White [36]. At temperature 293 K, an indirect energy band gap of 1.65 eV (polarization $E \perp c$) is found in $\alpha\text{-ZnP}_2$ [37]. The space group of $\beta\text{-ZnP}_2$ is C_{2h}^5 [38]. Monoclinic ZnP_2 has lattice parameters nearly

$a = 8.85 \text{ \AA}$, $b = 7.29 \text{ \AA}$, $c = 7.56 \text{ \AA}$ and angle $\beta = 102.3^\circ$ [34]. β -ZnP₂ crystal is black-greenish [39]. The resistivity of β -ZnP₂ is nearly $10 \text{ } \Omega \text{ cm}$ [34, 39]. The unit cell of the beta phase of ZnP₂ has 08 formula units [39]. Also, the optical energy gap of monoclinic ZnP₂ is around $1.33 \sim 1.37 \text{ eV}$ [34, 39]. Two phases of CdP₂ are designated as α -CdP₂ and β -CdP₂ [40, 41]. α -CdP₂ and β -CdP₂ have Orthorhombic [28, 40] and tetragonal [28] structures, respectively. The band gap of the beta phase of CdP₂ for polarizations $E \parallel C$ and $E \perp c$ was studied by Sobolev *et al.* [37]. Cadmium diphosphide finds applications in optoelectronics because of its nonlinear light absorption property [42]. CdP₂ may be useful in the fabrication of photoresistors because of its photosensitivity in the visible region [43]. Tetragonal CdP₂ and ZnP₂ crystals are gyrotropic [44].

1.2.2 II-V₂ Arsenides

CdAs₂ and ZnAs₂ have tetragonal [45] and monoclinic [46] structures, respectively. The space group (SG) of monoclinic ZnAs₂ is $P2_1/c$ (C_{2h}^5) [46, 47]. CdAs₂ and ZnAs₂ have anisotropic optical and electrical properties [48, 49]. The lattice constants of CdAs₂ crystal structure are $a = 7.96 \text{ \AA}$, $c = 4.67 \text{ \AA}$ [45]. The unit cell of CdAs₂ has 04 formula units and a space group $I4_122$ [45]. The mass density of CdAs₂ is 5.8 g/cm^3 [45]. At a temperature of 300 K, the indirect energy gap for CdAs₂ is 0.995 eV (polarization $E \parallel C$) [48]. CdAs₂ has specific molar heat capacities $C_v = 74.46 \text{ J/(mol-K)}$ and $C_p = 74.75 \text{ J/(mol-K)}$ at a temperature of 300 K [50]. The value of birefringence in the infrared region is high for the CdAs₂ crystals [51].

1.3 Review of Literature

1.3.1 CdP₂

Berak *et al.* (1968) studied the cadmium-phosphorus system [52]. As per their study [52], CdP₂ could exist in 02 phases. The alpha phase of CdP₂ is an orthorhombic crystal low-temperature form [40, 52]. As per investigation by the X-Ray powder method, the alpha phase of CdP₂ has lattice parameters $a = 9.90 \text{ \AA}$, $b = 5.408 \text{ \AA}$ & $c = 5.171 \text{ \AA}$ [40]. The space group of the alpha phase of CdP₂ is

$Pna2_1$ [40]. Goodyear *et al.* [40] also investigated the fractional coordinates of non-equivalent atoms of α -CdP₂. They [40] also studied the bond length between atoms of α -CdP₂. The density of α -CdP₂ is nearly 4.18 g/cm³ [40]. Olofsson *et al.* [53] provided further refined data on fractional coordinates and bond lengths for α -CdP₂ using the least-squares program. The energy band structure of tetragonal cadmium diphosphide was studied experimentally by Sobolev *et al.* [37]. Tetragonal cadmium diphosphide has a Brillouin zone in a rectangular parallelepiped shape [37]. The energy band gaps (direct and indirect) of tetragonal CdP₂ were studied by Sobolev *et al.* [37]. The variation in the optical activity of CdP₂ with frequency was studied by Borshch *et al.* [42]. An investigation of the self-induced rotation of polarized electromagnetic waves in tetragonal CdP₂ was carried out [54]. The Raman spectrum of β -CdP₂ was observed by Gorban *et al.* [55] and Garasevich *et al.* [44]. For the ZnP₂-CdP₂ system, phase diagram investigation was carried out by Smolyarenko *et al.* [56]. Babonas *et al.* [57] examined the optical activity of tetragonal CdP₂ crystals. Manolikas *et al.* investigated different phases of cadmium diphosphide by the electron diffraction method [58]. The thermal expansion coefficient of β -CdP₂ as a function of temperature was examined by Sheleg *et al.* [59]. CdP₂ crystals have high photosensitivity [43]. Polygalov *et al.* calculated the electron density of the beta phase of CdP₂ by means of the pseudopotential method [60]. The dielectric properties of tetragonal CdP₂ were investigated by Aleinikova *et al.* [61] and Kozlov *et al.* [62]. Gnatyuk *et al.* [63] studied the optical dispersion of tetragonal CdP₂ crystals. Tetragonal CdP₂ crystals have utility in the fabrication of light (electromagnetic wave) filters and temperature sensors [64]. Tetragonal CdP₂ crystals are useful in making deflectors of laser beams due to their temperature-dependent refractive index and low heat conduction properties [65]. The variation of the specific heat of β -CdP₂ with temperature was studied by Kopytov *et al.* [66]. For the tetragonal CdP₂, temperature-dependent elastic stiffness coefficients were examined by Soshnikov *et al.* [67]. Tetragonal CdP₂ has a high Verdet constant [68]. Yeshchenko *et al.* [69] fabricated CdP₂ nanoclusters and investigated their optical properties. Stamov *et al.* [70] investigated the electrical properties of Schottky barriers made on *n*-type CdP₂. Using LDA (Local Density Approximation) and GGA (Generalized Gradient Approximation) functionals, the structural and electronic properties of tetragonal cadmium diphosphide were examined by Feng *et al.* [71]. In the case of CdP₂ crystal (symmetry D_4^8), 6540 Å is

isotropic wavelength [27]. Feng *et al.* [72] computed the Vickers hardness values for both phases of cadmium diphosphide. Feng *et al.* [72] studied the phonon properties of α -CdP₂ and β -CdP₂. Shportko [73] examined the impact of P and Cd vacancies on the characteristics of β -CdP₂. Popov *et al.* [74] studied the variation of the thermal conductivity of β -CdP₂ with temperature. The variation of the resistivity of CdP₂ with electron fluence (14-MeV) was studied by Zavada *et al.* [75]. The beta phase of CdP₂ has a band gap of about 2.02 eV [54, 68, 73]. The alpha phase of cadmium diphosphide has a band gap of 2.01 eV [76]. The α -CdP₂ was prepared by a chemical transport reaction [76]. The alpha phase of cadmium diphosphide shows a strong SHG effect and high laser-induced damage thresholds [76]. The α -CdP₂ has a large birefringence and a broad infrared transparent range [76]. These optical properties indicate that the alpha phase of CdP₂ is an attractive infrared (IR) nonlinear optical material [76]. The alpha phase of cadmium diphosphide has a second-order nonlinear optical susceptibility [76].

1.3.2 ZnP₂

The resistivity of α -ZnP₂ is of the order of $10^8 \Omega\text{cm}$ [34]. A study of the photoluminescence of α -ZnP₂ with ultraviolet light was carried out by Hegyi *et al.* [34]. The resistivity of β -ZnP₂ is of the order of $10 \Omega\text{cm}$ [34]. Hegyi *et al.* [34] reported fractional coordinates of non-equivalent atoms in the unit cells of α -ZnP₂ and β -ZnP₂ crystals. The crystal structure of tetragonal ZnP₂ was investigated experimentally by White [36]. The pressure-induced transition in ZnP₂ was examined by Tanaka [39]. Rubenstein *et al.* [77] prepared single crystals of α -ZnP₂ and β -ZnP₂. Rubenstein *et al.* [78] studied the electroluminescence emission spectra of tetragonal ZnP₂. The thermodynamic properties of ZnP₂ were studied by Jordan [79]. The energy band structure of tetragonal zinc diphosphide was studied experimentally by Sobolev *et al.* [37]. As stated by Sobolev *et al.* [37], tetragonal zinc diphosphide has a Brillouin zone in a rectangular parallelepiped shape. Sobolev and Syrbu experimentally investigated the energy band structure of monoclinic zinc diphosphide [38]. The energy band gap E_g of monoclinic ZnP₂ was studied through photoconductivity and edge reflectivity [38]. Wardzynski *et al.* illustrated the photoluminescence spectra of tetragonal zinc diphosphide [80]. The alpha phase of zinc diphosphide may have utility in the field of optoelectronics [28]. Self-induced

rotation of polarized electromagnetic waves in tetragonal ZnP_2 was analyzed by Borshch [54]. The study of the Raman spectrum of $\alpha\text{-ZnP}_2$ was carried out by Gorban *et al.* [55]. The variation of thermal expansion coefficients of $\alpha\text{-ZnP}_2$ with temperature is examined by Sheleg *et al.* [81]. The nature of the acceptor levels in $\alpha\text{-ZnP}_2$ was examined by Januskevicius *et al.* [82]. Sobolev *et al.* [83] studied the reflection spectra of monoclinic ZnP_2 . Jayaraman *et al.* [84] studied the pressure-dependent Raman spectra of the alpha phase of ZnP_2 . The crystal structure of zinc diphosphide with pressure variation was studied by Rubtsov *et al.* [85]. The elastic properties of $\alpha\text{-ZnP}_2$ were investigated on the basis of sound speed measurement by Soshnikov *et al.* [67]. The dielectric properties of $\alpha\text{-ZnP}_2$ were investigated by Aleinikova *et al.* [61]. Structural investigation of $\beta\text{-ZnP}_2$ was carried out using the X-ray diffraction method [86]. Ultrasonic shear and longitudinal speeds were determined along the axes of $\alpha\text{-ZnP}_2$ crystals [67]. For the tetragonal $\alpha\text{-ZnP}_2$, temperature-dependent elastic stiffness coefficients were examined by Soshnikov *et al.* [67]. Modulus of rigidity and bulk modulus of $\alpha\text{-ZnP}_2$ were determined by Soshnikov *et al.* [67]. The phase composition of ZnP_2 crystals in different temperature regimes was examined by Shportko *et al.* [87]. The computed Debye temperature of the alpha phase of zinc diphosphide is 292 K [88]. The alpha phase of zinc diphosphide has a lower elastic anisotropy than that of the beta phase of zinc diphosphide [88]. As per Stamov *et al.*, $\text{ZnP}_2 - C_{2h}^5$ has an isotropic wavelength 9060 Å [89]. The Raman spectra of tetragonal zinc diphosphide were studied by Shportko *et al.* [90]. The study of phase diagrams for ZnP_2 was carried out by Trukhan *et al.* [91]. Stamov *et al.* [92] studied the luminescence spectra of tetragonal ZnP_2 doped with Mn, Sb and Cd. The Debye temperature for $\alpha\text{-ZnP}_2$ is 280 K [93]. Dorogan [94] studied the optical anisotropy of zinc diphosphide. The gyration property of $\text{ZnP}_2 - D_4^8$ is useful for governing photodiode characteristics [95]. Živković *et al.* [96] investigated the structural and elastic properties of the alpha and beta phases of zinc diphosphide through the DFT method. Carbon-modified ZnP_2 -based composites are useful for better electrochemical performance [97]. ZnP_2 is useful in making carbon-modified composites due to its better sodium reactivity [97]. An investigation of phonon dispersion for tetragonal zinc diphosphide was carried out by Litvinchuk *et al.* [7]. S. H. Oh *et al.* [98] synthesized zinc diphosphide nanowires using bismuth catalysts.

1.3.3 ZnAs₂

ZnAs₂ is a monoclinic crystal with 08 formula units in the unit cell, as reported by Senko *et al.* [46]. Sobolev *et al.* [38] studied the optical spectra of the ZnAs₂ crystal. Lattice parameters of ZnAs₂ were experimentally reported by Fleet [47]. ZnAs₂ crystals have anisotropy in optical absorption and electrical resistivity [47]. The resistivity ρ of ZnAs₂ along vector \mathbf{a} is nearly ten times greater than that along vector \mathbf{c} at room temperature [28]. FIR absorption spectra in ZnAs₂ were investigated by Weszka *et al.* [99]. Matveeva *et al.* [100] studied the photo-reflectivity properties of monoclinic ZnAs₂ by the electro-reflectance method. The variation of the Hall coefficient with pressure was studied for p -ZnAs₂ by Mollaev *et al.* [101]. Temperature-dependent Hall coefficients of n -type and p -type ZnAs₂ were studied by Morozova *et al.* [102]. Yakushev *et al.* [103] studied the Er-implanted ZnAs₂ and its temperature stability. Zinc diarsenide crystals have high optical and electrical anisotropy [64]. ZnAs₂ crystals are useful for making sensitive thermoelements [64]. Zinc diarsenide crystals may be useful in making light (electromagnetic wave) filters [64]. Light (EM wave) filters for nearly IR region using ZnAs₂ crystals have technical applications [64]. Soshnikov *et al.* [67] studied the elastic properties of ZnAs₂ crystals. Ultrasonic shear and longitudinal speeds were determined along the axes of zinc diarsenide crystals [67].

Nikolaev *et al.* [104] carried out an investigation of the polarization photosensitivity of the Schottky barriers on the zinc diarsenide. Marenkin *et al.* [48] investigated the optical and transport properties of ZnAs₂ crystals to study their band structure. ZnAs₂ may be used for infrared polarizers, as ZnAs₂ crystal has inhomogeneities in the refractive index [48, 105]. Zinc diarsenide crystals have a high value of infrared transmissivity in a wide range [48]. Zinc diarsenide crystal has a sharp fundamental absorption edge [48]. ZnAs₂ crystal is a promising material for devising infrared cut-off filters [48]. An investigation of the phase diagram for ZnAs₂ was carried out by Trukhan *et al.* [91]. A phase diagram study of the ZnAs₂–MnAs system was carried out by Marenkin *et al.* [106]. Stamov *et al.* studied the dispersion in ZnAs₂ for excitonic transitions [107]. The thermodynamic properties of ZnAs₂ were examined by Kidari *et al.* [108]. Excitonic polaritons of zinc diarsenide were studied by Syrbu *et al.* [109]. The photovoltaic characteristics of surface-barrier photosensitive structures based on ZnAs₂ were studied by Stamov *et al.* [110].

1.4 Motivation for Work and Outline of the Thesis

II-V semiconductor compounds are promising materials for photovoltaic applications, as they are made from relatively cost-effective materials. Alpha phase of CdP_2 has not yet been explored much. As far as we know, until present, there has been no extensive analysis of the elastic anisotropy of II-V₂ semiconductor compounds. Little attention was paid to the Mulliken population analysis of II-V₂ materials by researchers. Therefore, the study of overlap populations between the atoms of these compounds was not well known. There was little experimental information on the elastic quantities of II-V₂ compounds. As far as we know, there is no other extensive study of the equation of states and pressure derivatives of these compounds. There is no significant study of the pressure-dependent properties of II-V₂ compounds. Our comprehensive theoretical analysis with the DFT method will be useful for experimenters.

Our study is aimed at filling this research gap by investigating the structural, electronic and elastic properties of the alpha phase of cadmium diphosphide, the alpha phase of zinc diphosphide and monoclinic zinc diarsenide. To the best of our knowledge, until the present work, there has been almost no study about the variation of Young's modulus, linear compressibility, shear modulus and Poisson's ratio in different planes. Our elastic anisotropy investigation will be useful for determining the orientation of crystals for optimum performance of the optoelectronic devices made from these compounds. The nonlinear optical properties of these compounds are useful for governing the characteristics of optoelectronic devices. Owing to the dearth of work, such as elastic anisotropy, etc., our investigation will give an outlook on relevant device design for experimental research.

The thesis comprises six chapters. Chapter 1 deals with II-V Group elements, II-V₂ semiconductors, a review of the literature, etc. Chapter 2 introduces the theoretical framework and useful software/tools for the present work. In Chapter 3, structural properties, such as structural details, structural diagrams, equation of states, etc., are elaborated. Chapter 4 deals with the band structures and the density of states. It also illustrates the Mulliken population analysis. Chapter 5 introduces elastic properties. It illustrates elastic stiffness constants, Young's modulus, bulk modulus, shear modulus and Poisson's ratio of compounds. Chapters 3 to 5 are relatively independent. The final Chapter 6 of the thesis describes the important conclusions drawn.

CHAPTER 2

RESEARCH METHODOLOGY

2.1 Introduction

Applications of *ab-initio* calculations are on a large scale in chemistry and materials science. *Ab-initio* computations explore the properties of the many-electron system for atoms, molecules, solids, etc. The computation cost (in terms of the computer run time for computation) of *ab-initio* methods is continuously decreasing because of the advancement of computer technology. In density functional theory (DFT), the properties of a system are predicted with the help of the electron density of the system. As per LDA (Local Density Approximation), only the electron density influences the exchange-correlation energy. The GGA (Generalized Gradient Approximation) also includes the gradient of the charge density term. The estimated results obtained with the *ab-initio* methods may be compared with experimental results for further interpretation.

2.2 Theoretical Framework

2.2.1 DFT (Density Functional Theory)

Along with the variation principle, the Thomas-Fermi (TF) equations are considered the first endeavor to formulate density functional theory (DFT) [111, 112, 113]. DFT is based on the electron density $\rho(x, y, z)$ [114]. Density functional theory (DFT) describes that the ground-state electronic energy of a molecule or atom can be estimated from the electron density $\rho(x, y, z)$ instead of the wavefunction $\psi(x, y, z)$ [115]. In DFT, electron density distribution $\rho(\vec{r})$ performs a key role in lieu of the many-electron wavefunction [116]. The conventional wave function schemes deal quite successfully with a system of a few atoms [116]. However, in dealing with very many-atom systems, conventional wave function schemes have limitations [116]. The determination of all ground-state electronic energy is uniquely carried out by means of electron density in the Hohenberg-Kohn (HK) formulation [12, 115, 117]. The Hohenberg-Kohn formulation does not reveal the form of functional dependence of ground-state energy on the electron density [12]. Kohn and Sham formulated a practical application of DFT by employing Kohn-Sham (KS) orbitals [118, 119]. Kohn-Sham orbitals are functions that illustrate the electron density in DFT calculations [118, 119]. As per the Kohn-Sham formulation [119, 120]

$$n(\vec{r}) = \sum_{k=1}^N |\psi_k(\vec{r})|^2$$

$$V_{xc}[n](\vec{r}) = \frac{\delta}{\delta n(\vec{r})} E_{xc}[n]$$

$$\left[-\frac{1}{2} \nabla^2 + V_{eff}(\vec{r}) \right] \psi_k(\vec{r}) = \varepsilon_k \psi_k(\vec{r})$$

$$V_{eff}(\vec{r}) = V(\vec{r}) + \int \frac{1}{|\vec{r} - \vec{r}'|} n(\vec{r}') d\vec{r}' + V_{xc}[n](\vec{r})$$

$$E = \sum_{k=1}^N \varepsilon_k - \frac{1}{2} \iint n(\vec{r}) \frac{1}{|\vec{r} - \vec{r}'|} n(\vec{r}') d\vec{r} d\vec{r}' + E_{xc}[n] - \int V_{xc}[n(\vec{r})] n(\vec{r}) d\vec{r}$$

where

$n(\vec{r})$ represents electron density

N represents the number of electrons in the system

$\psi_k(\vec{r})$ represents occupied Kohn-Sham (KS) orbitals

V_{xc} represents exchange-correlation potential

E_{xc} represents exchange-correlation energy

$V_{eff}(\vec{r})$ represents effective potential

$V(\vec{r})$ represents external potential

E represents the total energy of many-electron system

ε_k represents the eigenvalues of occupied states

As per Kohn-Sham formalism, the kinetic energy functional is split mainly into two parts [115]. Kohn-Sham DFT has many similarities with HF (Hartree-Fock) theory, but in general, results obtained with KS DFT are much better [115]. The Hartree-Fock scheme does not take account of the electron correlation [12]. After Kohn-Sham formalism, material scientists employed Kohn-Sham DFT using the LSDA (Local-Spin-Density Approximation) to investigate the properties of solids [121]. In the mid-1980s, gradient-corrected functionals were introduced; this led to major advancements in DFT [121]. Then, analytic gradients were introduced in DFT, which immensely helped in the calculation of geometries [121]. The facility for density functional

calculations was introduced to the Gaussian program in 1993 [121]. The inclusion of correlation effects in the calculations is an advantageous feature of DFT [119, 121]. The used percentage of Hartree-Fock exchange energy is a major distinguishing feature of the hybrid functionals [114].

2.2.2 LCAO (Linear Combination of Atomic Orbitals)

Electrons that reside in the low energy core levels of a free atom obey strong localization [122]. These core electrons are strongly localized when atoms form crystals [122]. Hence, electrons in the crystals may be illustrated using the linear superposition of atomic eigenfunctions [122]. LCAO (Linear Combination of Atomic Orbitals) or tight binding approximation is useful for dealing with solutions of periodic potential crystals [123].

Crystalline orbitals may be expressed as a linear combination of Bloch functions in the following manner [124]:

$$\psi_i(\vec{r}; \vec{k}) = \sum_{\mu} a_{\mu,i}(\vec{k}) \phi_{\mu}(\vec{r}; \vec{k})$$

$$\phi_{\mu}(\vec{r}; \vec{k}) = \sum_{\vec{g}} \varphi_{\mu}(\vec{r} - \vec{A}_{\mu} - \vec{g}) e^{i\vec{k} \cdot \vec{g}}$$

$\psi_i(\vec{r}; \vec{k})$ denotes a crystalline orbital

$\phi_{\mu}(\vec{r}; \vec{k})$ denotes the Bloch function

$\varphi_{\mu}(\vec{r})$ denotes atomic orbitals (local functions)

\vec{g} denotes a lattice vector

\vec{A}_{μ} represents the nucleus position in the zero reference cell, on which $\varphi_{\mu}(\vec{r})$ is centered

The local functions may be represented as linear combinations of Gaussian type functions [124]:

$$\varphi_{\mu}(\vec{r} - \vec{A}_{\mu} - \vec{g}) = \sum_j^{n_G} d_j G(\alpha_j; \vec{r} - \vec{A}_{\mu} - \vec{g})$$

d_j denotes a fixed coefficient

α_j denotes an exponent

2.2.3 Basis Set

A set of mathematical functions that constructs the wave function is called the basis set [111]. A complete basis set (BS) is made up of an infinite number of functions [115]. Such a complete basis set is not feasible in actual computations [12, 115]. A finite basis set is implemented in computations [12]. Hence, the implementation of a finite basis set creates an inherent approximation [115]. This incompleteness of the basis set produces an error, known as the basis-set truncation error [12]. In the calculation of electronic structure, two types of basis functions are commonly used, namely, Gaussian type orbitals and Slater type orbitals [115]. The next advancement of the basis sets is the Double Zeta (DZ) basis sets [115]. The next improvement in the basis sets is a Triple Zeta (TZ) basis sets [115]. For the basis sets, higher angular momentum functions concern the polarization functions [115]. In the presence of loosely bound electrons, diffuse functions are required [115]. The plane-wave basis set has the orthonormality property [125]. Larger basis sets may increase accuracy in results, but they may also increase computation time [114].

2.2.4 Mulliken Population

Atomic and overlap populations can be determined using the Mulliken population analysis scheme [126]. The computation of LCAO coefficients provides the overlap population, which measures quantitatively the bonding and antibonding strengths [126]. The covalency of the bonds within the crystal and the overlap population of the nearest neighbors have a correlation [127]. The positive value of the overlap population between two atoms is considered a bonding state [126]. Similarly, the negative value of the overlap population between two atoms is considered an antibonding state [126]. Overlap population may be considered as an index of binding [128, 129]. Overlap population values close to zero suggest that the electronic populations of the two atoms do not have considerable interaction [127]. There is a correlation between the overlap population of bonds and the bulk modulus of the crystals [127].

2.2.5 Equation of State (EOS)

A PVT (Pressure-Volume-Temperature) relation forms an equation of state [130, 131]. It has utility in investigating solid state theories [130, 131]. The values of various thermodynamic parameters, such as bulk modulus, the first pressure derivative of bulk modulus, etc., may be predicted using the equation of state [130, 131]. Thermal expansions of solids are much less than those of gases [132]. Therefore, the isothermal equation of state is usually used for the study of solids [132].

The equilibrium isothermal bulk modulus (B_0) of a crystal may be expressed as

$$B_0 = -V \left(\frac{\partial P}{\partial V} \right)_T$$

The isothermal first pressure derivative B'_0 (dimensionless parameter) may be expressed as

$$B'_0 = \left(\frac{\partial B_0}{\partial P} \right)_T$$

Various equations of states have been derived by many scientists. Some important equations of state that very much hold for materials are as follows:

The third-order Birch-Murnaghan (BM) equation of state is given as [124, 132, 133, 134, 135]:

$$P = \frac{3B_0}{2} \left[\left(\frac{V_0}{V} \right)^{\frac{7}{3}} - \left(\frac{V_0}{V} \right)^{\frac{5}{3}} \right] \left\{ 1 + \frac{3}{4} (B'_0 - 4) \left[\left(\frac{V_0}{V} \right)^{\frac{2}{3}} - 1 \right] \right\}$$

where V_0 is the equilibrium volume at zero pressure.

The third-order Poirier-Tarantola (PT) logarithmic equation of state is given as [124, 132, 136]:

$$P = \frac{B_0 V_0}{V} \left[\ln \left(\frac{V_0}{V} \right) + \left[\ln \left(\frac{V_0}{V} \right) \right]^2 \frac{(B'_0 - 2)}{2} \right]$$

Vinet's equation of state is given by [124, 130, 131, 132]:

$$P = 3B_0 \left(\frac{V}{V_0} \right)^{-\frac{2}{3}} \left[1 - \left(\frac{V}{V_0} \right)^{\frac{1}{3}} \right] \exp \left[-\frac{3}{2} \left\{ \left(\frac{V}{V_0} \right)^{\frac{1}{3}} - 1 \right\} (B'_0 - 1) \right]$$

2.2.6 Elastic Stiffness and Compliance Constants

Crystal is assumed to be a homogeneous continuous medium for elastic properties [17]. Homogeneity of the body means that mechanical properties are the same throughout the body [19]. Homogeneous and isotropic terms are not the same [19]. Hooke's law is the basic principle for studying elastic properties [16, 17]. As per this law, strains are considered infinitesimally small [16, 17].

Elastic energy density may be expressed as [17]

$$U = \frac{1}{2} \sum_{u=1}^6 \sum_{v=1}^6 \tilde{C}_{uv} e_u e_v$$

Indices are designated as

$$1 \equiv xx, 2 \equiv yy, 3 \equiv zz, 4 \equiv yz, 5 \equiv zx, 6 \equiv xy$$

The generalized Hooke's law forms the basis of the mathematical formulation of elasticity [137]. For small deformations, relationships between strain and stress components are given below [17].

$$\begin{aligned} X_x &= C_{11}e_{xx} + C_{12}e_{yy} + C_{13}e_{zz} + C_{14}e_{yz} + C_{15}e_{zx} + C_{16}e_{xy} \\ Y_y &= C_{21}e_{xx} + C_{22}e_{yy} + C_{23}e_{zz} + C_{24}e_{yz} + C_{25}e_{zx} + C_{26}e_{xy} \\ Z_z &= C_{31}e_{xx} + C_{32}e_{yy} + C_{33}e_{zz} + C_{34}e_{yz} + C_{35}e_{zx} + C_{36}e_{xy} \\ Y_z &= C_{41}e_{xx} + C_{42}e_{yy} + C_{43}e_{zz} + C_{44}e_{yz} + C_{45}e_{zx} + C_{46}e_{xy} \\ Z_x &= C_{51}e_{xx} + C_{52}e_{yy} + C_{53}e_{zz} + C_{54}e_{yz} + C_{55}e_{zx} + C_{56}e_{xy} \\ X_y &= C_{61}e_{xx} + C_{62}e_{yy} + C_{63}e_{zz} + C_{64}e_{yz} + C_{65}e_{zx} + C_{66}e_{xy} \end{aligned}$$

where $C_{11}, C_{12}, C_{13}, \dots$ are elastic stiffness constants

X_x, Y_y, Z_z, Y_z, Z_x and X_y are stress components

$e_{xx}, e_{yy}, e_{zz}, e_{yz}, e_{zx}$ and e_{xy} are strain components

Elastic stiffness constants C_{uv} are material parameters.

The matrix form of the above relations is given as

$$\begin{bmatrix} X_x \\ Y_y \\ Z_z \\ Y_z \\ Z_x \\ X_y \end{bmatrix} = \begin{bmatrix} C_{11} & C_{12} & C_{13} & C_{14} & C_{15} & C_{16} \\ C_{21} & C_{22} & C_{23} & C_{24} & C_{25} & C_{26} \\ C_{31} & C_{32} & C_{33} & C_{34} & C_{35} & C_{36} \\ C_{41} & C_{42} & C_{43} & C_{44} & C_{45} & C_{46} \\ C_{51} & C_{52} & C_{53} & C_{54} & C_{55} & C_{56} \\ C_{61} & C_{62} & C_{63} & C_{64} & C_{65} & C_{66} \end{bmatrix} \begin{bmatrix} e_{xx} \\ e_{yy} \\ e_{zz} \\ e_{yz} \\ e_{zx} \\ e_{xy} \end{bmatrix}$$

Here, the relation $C_{uv} = C_{vu}$ holds [16, 17].

Therefore, the elastic stiffness matrix C is a 6×6 symmetric matrix [138]. As the relation $C_{uv} = C_{vu}$ holds, the upper triangular form of matrix C is shown below [138].

$$\begin{bmatrix} C_{11} & C_{12} & C_{13} & C_{14} & C_{15} & C_{16} \\ & C_{22} & C_{23} & C_{24} & C_{25} & C_{26} \\ & & C_{33} & C_{34} & C_{35} & C_{36} \\ & & & C_{44} & C_{45} & C_{46} \\ & & & & C_{55} & C_{56} \\ & & & & & C_{66} \end{bmatrix}$$

This leads to 21 independent elastic stiffness constants instead of 36 elastic stiffness constants for fully anisotropic material [17]. The highest number of independent elastic stiffness constants is 21, which can be associated with an elastic material [20]. Depending on the specific symmetry of the crystal, the number of independent stiffness constants is further reduced [17].

For the elastic compliance constants S_{ij} [17]

$$\begin{aligned} e_{xx} &= S_{11}X_x + S_{12}Y_y + S_{13}Z_z + S_{14}Y_z + S_{15}Z_x + S_{16}X_y \\ e_{yy} &= S_{21}X_x + S_{22}Y_y + S_{23}Z_z + S_{24}Y_z + S_{25}Z_x + S_{26}X_y \\ e_{zz} &= S_{31}X_x + S_{32}Y_y + S_{33}Z_z + S_{34}Y_z + S_{35}Z_x + S_{36}X_y \\ e_{yz} &= S_{41}X_x + S_{42}Y_y + S_{43}Z_z + S_{44}Y_z + S_{45}Z_x + S_{46}X_y \\ e_{zx} &= S_{51}X_x + S_{52}Y_y + S_{53}Z_z + S_{54}Y_z + S_{55}Z_x + S_{56}X_y \\ e_{xy} &= S_{61}X_x + S_{62}Y_y + S_{63}Z_z + S_{64}Y_z + S_{65}Z_x + S_{66}X_y \end{aligned}$$

where $S_{11}, S_{12}, S_{13}, \dots$ are elastic compliance constants.

The matrix form of the above relations is given as

$$\begin{bmatrix} e_{xx} \\ e_{yy} \\ e_{zz} \\ e_{yz} \\ e_{zx} \\ e_{xy} \end{bmatrix} = \begin{bmatrix} S_{11} & S_{12} & S_{13} & S_{14} & S_{15} & S_{16} \\ S_{21} & S_{22} & S_{23} & S_{24} & S_{25} & S_{26} \\ S_{31} & S_{32} & S_{33} & S_{34} & S_{35} & S_{36} \\ S_{41} & S_{42} & S_{43} & S_{44} & S_{45} & S_{46} \\ S_{51} & S_{52} & S_{53} & S_{54} & S_{55} & S_{56} \\ S_{61} & S_{62} & S_{63} & S_{64} & S_{65} & S_{66} \end{bmatrix} \begin{bmatrix} X_x \\ Y_y \\ Z_z \\ Y_z \\ Z_x \\ X_y \end{bmatrix}$$

Here, the relation $S_{uv} = S_{vu}$ holds [16].

Hence, the elastic compliance matrix S is the 6×6 symmetric matrix [138]. As the relation $S_{uv} = S_{vu}$, the upper triangular form of Matrix S is shown below [138]

$$\begin{bmatrix} S_{11} & S_{12} & S_{13} & S_{14} & S_{15} & S_{16} \\ & S_{22} & S_{23} & S_{24} & S_{25} & S_{26} \\ & & S_{33} & S_{34} & S_{35} & S_{36} \\ & & & S_{44} & S_{45} & S_{46} \\ & & & & S_{55} & S_{56} \\ & & & & & S_{66} \end{bmatrix}$$

Hooke's law states a linear relation between strain and stress [17]. It holds well enough for many physical phenomena.

2.3 Computation Cost

Computational materials science employs computers to investigate and analyze the properties of materials. Computational tools, such as specific software, are utilized to perform computations in materials science. Workstations are computers that are technically configured with hardware and software to perform specific intensive computations with high performance. Efficient and fast computations are important aspects of using workstations regarding their cost-effectiveness. The computation cost is related to the execution time for specific application processing. In *ab-initio* computations for periodic systems, integration in the reciprocal space is a major consideration [124]. Increasing the number of contracted primitives in the basis set significantly lengthens the calculation time for the integrals [124]. Monkhorst and Pack described special k points for Brillouin-zone numerical integrations [139]. These symmetry-dependent points concern lattice point-group symmetry [139]. This

Monkhorst-Pack scheme deals in an efficient way for integrating periodic functions in reciprocal space in solid state calculations [139].

2.4. Useful Software/Tools

Useful software/tools for the present work are as follows:

2.4.1 CRYSTAL Program

The CRYSTAL code [124, 140] can carry out *ab-initio* computations of the electronic wave function of periodic systems. It is an *ab-initio* Hartree-Fock LCAO (Linear Combination of Atomic Orbitals) program for studying of periodic systems.

The initial version of the software was CRYSTAL88, which was released in 1988 [141, 142]. After that, a modified version CRYSTAL92 was released in 1992 [143]. Then after program versions CRYSTAL95 [144], CRYSTAL98 [145], CRYSTAL03 [146], CRYSTAL06 [147], CRYSTAL09 [148, 149] and CRYSTAL14 [150, 151] were developed. In 2017, program version CRYSTAL17 [124, 140] was introduced. Recently, CRYSTAL23 [152, 153] has been released in 2023. Kohn-Sham or Hartree-Fock Hamiltonians may be implemented [124]. The CRYSTAL program can run for 45 point groups, 99 rod groups, 80 layer groups and 230 space groups [124].

The CRYSTAL program is used for the study of the properties of crystalline materials [124]. In this section, a few important features of the CRYSTAL Program are mentioned. The CRYSTAL code provides information about the electronic structure of periodic systems [124]. Computations are performed within density functional theory (DFT), Hartree-Fock (HF) or, hybrid method [124]. A Fock matrix-mixing scheme may be utilized for single-point energy calculation [124]. Also, a Broyden-Anderson [154, 155] accelerator may be used for convergence [124].

A quasi-Newton algorithm (QNA) [156] is employed for geometry optimizations of the system [124, 157, 158, 159, 160]. Atomic coordinates and unit cell parameters are optimized under the full geometry optimization process [124]. Geometry optimization may be carried out in symmetrized fractional coordinates for atomic locations [124]. By default, there is a relaxation of the lattice parameters and nuclear coordinates for geometry optimization [124].

The typical part of computed result of the output file from CRYSTAL Code for geometry optimization is shown below:

NEIGHBORS OF THE NON-EQUIVALENT ATOMS

N = NUMBER OF NEIGHBORS AT DISTANCE R

ATOM	N	R/ANG	R/AU	NEIGHBORS	(ATOM LABELS AND CELL INDICES)
1 CD	1	2.5303	4.7815	7 P	0 0 0
1 CD	1	2.5588	4.8354	9 P	0 0 0
1 CD	1	2.5608	4.8393	5 P	0 0 1
1 CD	1	2.5811	4.8776	10 P	0 0 0
1 CD	1	3.7381	7.0639	6 P	0 1 0
1 CD	1	3.7470	7.0807	10 P	0 1 0
5 P	1	2.1677	4.0964	10 P	0 1-1
5 P	1	2.2211	4.1972	9 P	0 0 0
5 P	1	2.5303	4.7815	3 CD	0 1 0
5 P	1	2.5608	4.8393	1 CD	0 0-1
5 P	2	3.5717	6.7495	6 P	0 1 0
5 P	1	3.7381	7.0639	2 CD	0 1 0
9 P	1	2.1677	4.0964	6 P	0 1 0
9 P	1	2.2211	4.1972	5 P	0 0 0
9 P	1	2.5588	4.8354	1 CD	0 0 0
9 P	1	2.5811	4.8776	2 CD	0 0 0
9 P	2	3.5655	6.7379	10 P	0 1 0
9 P	1	3.7470	7.0807	2 CD	0 1 0

6 P 0 1-1

10 P 0 1-1

FINAL OPTIMIZED GEOMETRY - DIMENSIONALITY OF THE SYSTEM 3
(NON PERIODIC DIRECTION: LATTICE PARAMETER FORMALLY SET TO 500)

LATTICE PARAMETERS (ANGSTROMS AND DEGREES) - BOHR = 0.5291772083 ANGSTROM
PRIMITIVE CELL - CENTRING CODE 1/0 VOLUME= 273.312083 - DENSITY 4.274 g/cm³

A	B	C	ALPHA	BETA	GAMMA
9.90943135	5.41747907	5.09111440	90.000000	90.000000	90.000000

ATOMS IN THE ASYMMETRIC UNIT 3 - ATOMS IN THE UNIT CELL: 12

ATOM	X/A	Y/B	Z/C
1 T 48 CD	1.510931082204E-01	1.041840904521E-01	2.618673672136E-01
2 F 48 CD	-1.510931082204E-01	-1.041840904521E-01	-2.381326327864E-01
3 F 48 CD	3.489068917796E-01	-3.958159095479E-01	-2.381326327864E-01
4 F 48 CD	-3.489068917796E-01	3.958159095479E-01	2.618673672136E-01
5 T 15 P	1.226211788989E-01	4.437614399261E-01	-3.926345294005E-01
6 F 15 P	-1.226211788989E-01	-4.437614399261E-01	1.073654705995E-01
7 F 15 P	3.773788211011E-01	-5.623856007395E-02	1.073654705995E-01
8 F 15 P	-3.773788211011E-01	5.623856007395E-02	-3.926345294005E-01
9 T 15 P	-8.709819258754E-03	2.701297597804E-01	-9.123283781300E-02
10 F 15 P	8.709819258754E-03	-2.701297597804E-01	4.087671621870E-01
11 F 15 P	-4.912901807412E-01	-2.298702402196E-01	4.087671621870E-01
12 F 15 P	4.912901807412E-01	2.298702402196E-01	-9.123283781300E-02

T = ATOM BELONGING TO THE ASYMMETRIC UNIT

INFORMATION **** fort.34 **** GEOMETRY OUTPUT FILE

DIRECT LATTICE VECTORS CARTESIAN COMPONENTS (ANGSTROM)

X	Y	Z
0.990943135018E+01	0.000000000000E+00	0.000000000000E+00
0.000000000000E+00	0.541747906930E+01	0.000000000000E+00
0.000000000000E+00	0.000000000000E+00	0.509111439980E+01

CARTESIAN COORDINATES - PRIMITIVE CELL

ATOM	X(ANGSTROM)	Y(ANGSTROM)	Z(ANGSTROM)
1	48 CD	1.497246783394E+00	5.644151293782E-01
2	48 CD	-1.497246783394E+00	-5.644151293782E-01
3	48 CD	3.457468891694E+00	-2.144324405272E+00
4	48 CD	-3.457468891694E+00	2.144324405272E+00
5	15 P	1.215106154377E+00	2.404068312562E+00
6	15 P	-1.215106154377E+00	-2.404068312562E+00
7	15 P	3.739609520711E+00	-3.046712220883E-01
8	15 P	-3.739609520711E+00	3.046712220883E-01
9	15 P	-8.630935601707E-02	1.463422319606E+00
10	15 P	8.630935601707E-02	-1.463422319606E+00
11	15 P	-4.868406319071E+00	-1.245317215045E+00
12	15 P	4.868406319071E+00	1.245317215045E+00

```

****      4 SYMMOPS - TRANSLATORS IN FRACTIONAL UNITS
**** MATRICES AND TRANSLATORS IN THE CRYSTALLOGRAPHIC REFERENCE FRAME
V INV      ROTATION MATRICES      TRANSLATORS
1  1  1.00  0.00  0.00  0.00  1.00  0.00  0.00  0.00  1.00  0.00  0.00  0.00
2  2 -1.00  0.00  0.00  0.00 -1.00  0.00  0.00  0.00  1.00  0.00  0.00  0.50
3  3 -1.00  0.00  0.00  0.00  0.00  1.00  0.00  0.00  1.00  0.50  0.50  0.50
4  4  1.00  0.00  0.00  0.00  0.00 -1.00  0.00  0.00  1.00  0.50  0.50  0.00
TTTTTTTTTTTTTTTTTTTTTTTTTTTTTTTT END      TELAPSE      8448.47 TCPU      6086.93

```

There are different choices available for optimization, such as ATOMONLY, FULLOPTG, CELLONLY, ITATOCEL and INTREDUN [124, 161]. There is the optimization of only atomic coordinates under ATOMONLY option [124]. Under the CELLONLY option, optimization of only cell parameters is carried out [124]. Under the INTREDUN option, optimization in redundant internal coordinates may be performed [124]. The convergence parameter TOLDEG is used for the RMS (root mean square) of the gradient [124]. The convergence parameter TOLDEX is used for the RMS (root mean square) of the displacement. The CRYSTAL Code can also handle geometry optimization with constraints, such as constant volume optimization, linear constraints between atomic coordinates, fixing lattice deformations, fixing internal coordinates and partial optimization of atomic positions [124]. Geometry optimization under external stress may be performed using the keyword EXTPRESS [124]. Transition state search may be carried out with the CRYSTAL program [124, 162].

Electronic properties, such as the band structures, the density of states (DOS), etc., are examined by means of the CRYSTAL Program [124]. Along a specific route in the BZ, the study of the band structure of a crystal may be carried out [124]. Compton profiles (CPs) may be computed from $B(r)$ function [124, 163]. The CRYSTAL Code can compute electronic charge density gradients and charge density maps [124]. The computation of the EMD (Electron Momentum Density) can be performed from the density matrix by means of the CRYSTAL program [124, 164, 165]. Wannier functions (WFs) are calculated from Bloch functions (BFs) [124]. Mulliken population analysis can be carried out using the keyword PPAN [124].

Closed-shell and spin-polarized computations may be carried out with core pseudo-potentials [124]. Certain chemical and physical features of molecules, surfaces, nanotubes and crystals may be investigated with the CRYSTAL program [124]. It is a powerful tool in physics and solid-state chemistry [124].

The CRYSTAL program can perform computations of vibrational frequency and phonon dispersion [124, 166, 167]. The CRYSTAL code can compute the

polarization in ferroelectric crystals [124].

The CRYSTAL Code can explore the dielectric [168, 169, 170], elastic [171, 172, 173, 174], photoelastic [175, 176] and piezoelectric [174, 177, 178] properties of the materials [124]. Frequency-dependent complex dielectric constants can also be calculated using the sub-keyword DYNAMIC [124]. Hence, the refractive index and reflectivity may be calculated [124]. The CRYSTAL code can compute the polarizability and first hyper-polarizability of the systems as well [124].

Elastic tensor, compliance tensor and seismic velocities may be computed by means of CRYSTAL Code [124]. A computation of the elastic stiffness constants under a given pressure can also be performed [124].

The typical force calculation and elastic constant tensor parts of the output file obtained by means of the CRYSTAL Code are shown below:

```
*****
*                                     FORCE CALCULATION                               *
*****

TTTTTTTTTTTTTTTTTTTTTTTTTTTT NUMDFG          TELAPSE          520.57 TCPU          519.44
INFORMATION **** EXCPOG **** EXCH. BIPO BUFFER LENGTH (WORDS) =          0
INFORMATION **** GENPOG **** BIPO BUFFER LENGTH (WORDS) =          388000
TTTTTTTTTTTTTTTTTTTTTTTTTTTT SHELXG          TELAPSE          581.25 TCPU          580.11

CARTESIAN FORCES IN HARTREE/BOHR (ANALYTICAL)
ATOM          X          Y          Z
1  48          -1.397978973650E-05 -2.674733165375E-05 3.915374694319E-05
2  48          1.397978974538E-05 2.674733165864E-05 3.915374695007E-05
3  48          1.397978952511E-05 -2.674733159647E-05 3.915374694208E-05
4  48          -1.397978951090E-05 2.674733158603E-05 3.915374694063E-05
5  15          6.252876411228E-05 1.140667918871E-04 -3.291913787162E-05
6  15          -6.252876410517E-05 -1.140667918875E-04 -3.291913789738E-05
7  15          -6.252876416557E-05 1.140667918671E-04 -3.291913791603E-05
8  15          6.252876414337E-05 -1.140667918702E-04 -3.291913791159E-05
9  15          5.407249933831E-05 5.047008294223E-05 -6.234609861266E-06
10 15          -5.407249933742E-05 -5.047008294223E-05 -6.234609875033E-06
11 15          -5.407249932965E-05 5.047008301151E-05 -6.234609867928E-06
12 15          5.407249933120E-05 -5.047008299908E-05 -6.234609861711E-06

RESULTANT FORCE          1.043609643148E-14 3.330669073875E-15 -3.286593219798E-12

ATOMIC PART

SYMMETRY ALLOWED FORCES (ANALYTICAL) (DIRECTION, FORCE)

1 -2.7959579E-05 2 -5.3494663E-05 3 9.5906702E-05 4 1.2505753E-04
5 2.2813358E-04 6 -3.7737621E-05 7 1.0814500E-04 8 1.0094017E-04

CELL PART

GRADIENT WITH RESPECT TO THE CELL PARAMETER IN HARTREE/BOHR
ORDER: -D/DA1X, -D/DA1Y, -D/DA1Z
        -D/DA2X, -D/DA2Y, -D/DA2Z
        -D/DA3X, -D/DA3Y, -D/DA3Z
0.456109284741E-04 -0.134975691715E-13 -0.293793075442E-14
-0.294177519421E-13 0.851379822819E-04 -0.106590293335E-13
-0.246468752313E-13 -0.834305417907E-14 0.431142029527E-04

STRESS TENSOR, IN HARTREE/BOHR^3:
ORDER: 11 12 13
        21 22 23
        31 32 33
-0.463085386805E-06 0.163286452534E-15 0.128563637849E-15
0.137040118450E-15 -0.472567690763E-06 0.435192447710E-16
0.298286582916E-16 0.591641091659E-16 -0.224893367720E-06

PRESSURE FROM THE STRESS TENSOR,
```

Chapter 2

IN HARTREE/BOHR³: 0.38685E-06
PRESSURE IN GIGAPASCAL: 0.1138E-01
CONVERSION FACTOR: 1 HARTREE/BOHR³= 29421 GIGAPASCAL
WHEN USING VALUES FOR BOHR RADIUS AND HARTREE
FROM IUPAC WEBSITE, APRIL 2009
ENTHALPY: -2.4569947983521E+04

SYMMETRY ALLOWED FORCES (ANALYTICAL) (DIRECTION, FORCE)

1	1.2358260E-03	2	1.7221240E-04	3	3.2301406E-04		
TTTTTTTTTTTTTTTTTTTTTTTTTTTTTOTGRA_C				TELAPSE	601.33	TCPU	600.18

VOLUME OF THE CELL: 273.312083

DENSITY OF THE CRYSTAL = 4.2736 g/cm³

STRAIN MATRIX 1 :

	1	0	0	
	0	0	0	
	0	0	0	

DISPL	ENERGY	DELTA E
-0.0100	-2.4569948440E+04	2.5659149833E-04
0.0000	-2.4569948697E+04	0.0000000000E+00
0.0100	-2.4569948223E+04	4.7396548325E-04

ELASTIC TENSOR CONSTANTS

c11 =	116.69543768	GPa
c12 =	57.51867582	GPa
c13 =	48.88650323	GPa
c14 =	0.00000000	GPa
c15 =	-0.00000000	GPa
c16 =	0.00000000	GPa

STRAIN MATRIX 2 :

	0	0	0	
	0	1	0	
	0	0	0	

DISPL	ENERGY	DELTA E
-0.0100	-2.4569948425E+04	2.7206312370E-04
0.0000	-2.4569948697E+04	0.0000000000E+00
0.0100	-2.4569948383E+04	3.1418829167E-04

ELASTIC TENSOR CONSTANTS

c21 =	57.97871800	GPa
c22 =	92.80185127	GPa
c23 =	46.69850866	GPa
c24 =	-0.00000000	GPa
c25 =	-0.00000000	GPa
c26 =	0.00000000	GPa

STRAIN MATRIX 3 :

	0	0	0	
	0	0	0	
	0	0	1	

DISPL	ENERGY	DELTA E
-0.0100	-2.4569948390E+04	3.0692274959E-04
0.0000	-2.4569948697E+04	0.0000000000E+00
0.0100	-2.4569948481E+04	2.1597814703E-04

ELASTIC TENSOR CONSTANTS

c31 =	49.81710353	GPa
c32 =	47.26656882	GPa
c33 =	81.86715036	GPa
c34 =	-0.00000000	GPa
c35 =	-0.00000000	GPa
c36 =	-0.00000000	GPa

STRAIN MATRIX 4 :

	0	0	0	
	0	0	1	
	0	1	0	

DISPL	ENERGY	DELTA E
-0.0100	-2.4569948261E+04	4.3587907567E-04
0.0000	-2.4569948697E+04	0.0000000000E+00
0.0100	-2.4569948261E+04	4.3578615805E-04

ELASTIC TENSOR CONSTANTS

c41 = 0.00005917 GPa
c42 = -0.00016259 GPa
c43 = 0.00002460 GPa
c44 = 34.70221397 GPa
c45 = -0.00000000 GPa
c46 = 0.00000000 GPa

STRAIN MATRIX 5 :

0	0	1
0	0	0
1	0	0

DISPL	ENERGY	DELTA E
-0.0100	-2.4569948379E+04	3.1770384885E-04
0.0000	-2.4569948697E+04	0.0000000000E+00
0.0100	-2.4569948379E+04	3.1758791374E-04

ELASTIC TENSOR CONSTANTS

c51 = 0.00019695 GPa
c52 = 0.00029213 GPa
c53 = 0.00040509 GPa
c54 = 0.00000000 GPa
c55 = 25.44113228 GPa
c56 = -0.00000000 GPa

STRAIN MATRIX 6 :

0	1	0
1	0	0
0	0	0

DISPL	ENERGY	DELTA E
-0.0100	-2.4569948334E+04	3.6253633152E-04
0.0000	-2.4569948697E+04	0.0000000000E+00
0.0100	-2.4569948334E+04	3.6253876533E-04

ELASTIC TENSOR CONSTANTS

c61 = -0.00042912 GPa
c62 = -0.00088103 GPa
c63 = 0.00014724 GPa
c64 = -0.00000000 GPa
c65 = -0.00000000 GPa
c66 = 28.97483700 GPa

FINAL RESULTS START

THE CALCULATION HAS BEEN PERFORMED WITH 3 POINTS AND
A STEP OF 0.01000
THIS PERMITS TO PERFORM A FITTING UP TO SECOND ORDER

DATA FOR MAXIMUM NUMBER OF POINTS AND ORDER OF FIT

SYMMETRIZED ELASTIC CONSTANTS FOR ORTHORHOMBIC CASE, IN GPa

116.695	57.749	49.352	0.000	0.000	0.000
	92.802	46.983	0.000	0.000	0.000
		81.867	0.000	0.000	0.000
			34.702	0.000	0.000
				25.441	0.000
					28.975

ELASTIC MODULI (COMPLIANCE TENSOR), IN TPa⁻¹

13.5514	-6.0567	-4.6933	0.0000	0.0000	0.0000
	17.8955	-6.6189	0.0000	0.0000	0.0000
		18.8427	0.0000	0.0000	0.0000
			28.8166	0.0000	0.0000
				39.3064	0.0000
					34.5127

[illegible]

100

— — — — —

100

1 9 9 1

periodic structures [179]. Displayed atom radius may be scaled [179]. The Structure Display Panel of DLV is shown in **Fig. 2.1**.

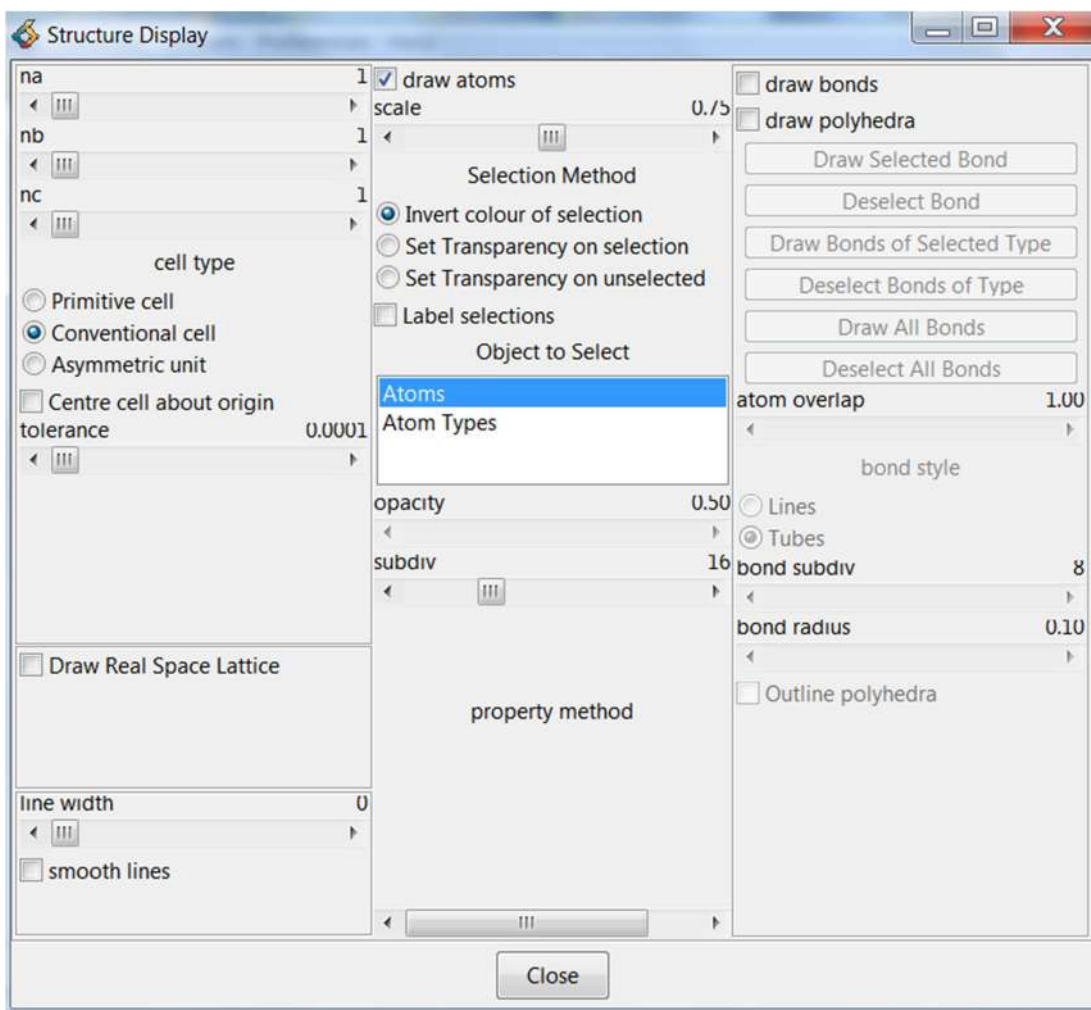


Figure 2.1: DL Visualize Structure Display Panel

Properties (radius, color, charge, type, or spin) and positions of atoms can be modified [179]. DLV also provides the facility to delete atoms or introduce a new atom [179]. All the atoms of the same type may also be edited simultaneously [179]. Atoms may also be placed at particular locations [179]. All the atoms of the same type may be highlighted [179].

Displaying specific planes through DLV is possible [179]. DLV also provides a specific animation facility [179]. A slab model may be constructed by entering the Miller indices of particular crystal planes [179]. The lattice may be altered by executing a supercell technique on the primitive unit cell of a periodic system [179].

Specific vectors can be displayed within the structure [179]. DLV is capable of displaying the bonds between atoms in different ways [179]. An option is also available to edit the lattice in certain ways [179]. DLV provides certain facilities for transforming a displayed model into a non-periodic model [179]. DLV is a useful tool for extracting important information by visualizing data sets [179].

2.4.3 CRYSPLOT

CRYSPLOT [180] is an online tool for plotting different features of crystals computed with the CRYSTAL Program [124, 140]. The CRYSPLOT is a web-oriented totally free tool and it is a user-friendly program [180]. Certain chemical and physical properties of molecules, surfaces, polymers and crystals may be visualized with the CRYSPLOT [180]. Vibrational spectra, phonon dispersion, density of states, band structure, electron momentum density, electron charge density (ECD), electrostatic potential, topological analysis map, volumetric data, pair correlation function, etc. may be analyzed with the CRYSPLOT [180].

For plotting the band structure, there is a legend option through which a particular band line may be displayed or removed [180]. The CRYSPLOT provides the facility to display the Fermi energy line in the band structure [180]. The y-axis unit may be displayed in Hartree or electronvolt in the band structure [180]. Shifting of plot values on the y-axis is also possible [180].

It allows plotting the total and projected density of states for all atoms [180]. The DOS and band structure can be plotted in a single combined plot [180]. It is capable of plotting crystal orbital overlap and Hamiltonian populations [180]. The CRYSPLOT is used for the animation of vibrational modes [180]. It is also useful to analyze transport properties, such as electron conductivity, Seebeck coefficient, electron thermal conductivity, etc. [180].

It can also plot the directional Compton profiles and directional autocorrelation function of EMD (electron momentum density) [180]. Raman and infrared spectra may be analyzed [180].

The CRYSPLOT can plot reflectance spectra and complex dielectric function spectra [180]. Energy during the geometrical optimization process and corresponding unit cell structure may be analyzed by means of the CRYSPLOT program [180]. This tool is capable of plotting and analyzing the structure of crystals [180]. The CRYSPLOT can

plot simultaneous multiple datasets of certain properties for comparison [180]. The CRYSPLOT is an advanced tool for customizing graphs and visualizing computed properties [180].

2.4.4 ELATE

ELATE [181, 182] is an online tool that is used for the exploration of elastic tensors. Mechanical properties cannot be sufficiently explored fully in the case of the unavailability of experimental data on elastic quantities [181]. *Ab-initio* methods can provide the computed values of elastic constants through computational tools. For plotting Poisson's ratio and shear modulus, representation is used, as described by Marmier [183]. The maximum and minimum values of elastic moduli may be determined through the ELATE tool [181]. The directions in which the maximum and minimum values of elastic moduli exist may be determined [181]. These directions may be different from crystallographic axes. The ELATE Tool also provides the values of the anisotropy parameters (the ratios of maximum to minimum values of elastic quantities) [181]. The computational elastic analysis is important for identifying the materials of requisite usefulness. It is open-source software with a user-friendly interface [181].

A matrix (6×6) of the elastic stiffness constants is used as input for this application [181]. Directional variations of Poisson's ratio, Young's modulus, linear compressibility and shear modulus are visualized and analyzed through ELATE software [181]. Visualizations of 2D and 3D plots of these elastic quantities are possible by means of this ELATE tool [181]. Thus, it allows visualization of anisotropic elastic properties [181]. The directional-elastic properties may be explored with visualization through ELATE software [181].

It also provides the averaging Voigt-Reuss-Hill scheme [184, 185, 186] values for Young's modulus, bulk modulus, Poisson's ratio and shear modulus [181]. The specific elastic properties are described by elastic tensorial analysis using the ELATE software [181].

For a typical matrix (6×6) of the elastic stiffness constants, input is processed and part of the resultant output through the ELATE software is shown as [181, 182].

ELATE: Elastic tensor analysis

Welcome to ELATE, the online tool for analysis of elastic tensors, developed by **Romain Gaillac** and **François-Xavier Coudert** at [CNRS / Chimie ParisTech](#). If you use the software in published results (paper, conference, etc.), please cite the [corresponding paper](#) (*J. Phys. Condens. Matter*, 2016, 28, 275201) and give the website URL.

ELATE is [open source software](#). Any queries or comments are welcome at fx.coudert@chimie-paristech.fr

Summary of the properties (3D material)

Input: stiffness matrix (coefficients in GPa) of

116.69	57.749	49.352	0	0	0
57.749	92.802	46.983	0	0	0
49.352	46.983	81.867	0	0	0
0	0	0	34.702	0	0
0	0	0	0	25.441	0
0	0	0	0	0	28.975

Average properties

Averaging scheme	Bulk modulus	Young's modulus	Shear modulus	Poisson's ratio
Voigt	$K_V = 66.615$ GPa	$E_V = 71.302$ GPa	$G_V = 26.976$ GPa	$\nu_V = 0.32161$
Reuss	$K_R = 64.301$ GPa	$E_R = 68.566$ GPa	$G_R = 25.927$ GPa	$\nu_R = 0.32228$
Hill	$K_H = 65.458$ GPa	$E_H = 69.934$ GPa	$G_H = 26.451$ GPa	$\nu_H = 0.32194$

Eigenvalues of the stiffness matrix

λ_1	λ_2	λ_3	λ_4	λ_5	λ_6
25.441 GPa	28.975 GPa	34.702 GPa	39.885 GPa	49.331 GPa	202.15 GPa

Variations of the elastic moduli

	Young's modulus		Linear compressibility		Shear modulus		Poisson's ratio		
	E_{\min}	E_{\max}	β_{\min}	β_{\max}	G_{\min}	G_{\max}	ν_{\min}	ν_{\max}	
Value	53.071 GPa	77.279 GPa	2.8014 TPa ⁻¹	7.5305 TPa ⁻¹	20.009 GPa	34.702 GPa	0.10161	0.44694	Value
Anisotropy	1.456		2.6881		1.734		4.3988		Anisotropy
Axis	0.0000	-0.8603	1.0000	0.0000	-0.0000	-0.0006	-0.0001	1.0000	Axis
	0.0000	0.5098	0.0000	0.0000	0.7071	-0.0006	0.7053	0.0003	
	1.0000	0.0000	0.0000	1.0000	0.7071	1.0000	0.7089	-0.0001	
					0.0001	-0.0000	0.0001	-0.0003	Second axis
					0.7071	1.0000	0.7089	1.0000	
					-0.7071	0.0006	-0.7053	0.0000	

2.5 Present Computational Procedure

Properties of the alpha phase of CdP_2 , the alpha phase of ZnP_2 and ZnAs_2 are investigated with the CRYSTAL package (periodic *ab-initio* HF and DFT code) [124, 140]. In the present investigation, computations are performed with the DFT exchange-correlation (XC) functionals. In the present study, calculations are carried out with the GGA functionals (PBE [187, 188], PBEsol [189, 190] and PWGGA [191, 192, 193, 194, 195, 196]), LDA functionals (LDA PZ [197, 198], LDA VWN [197, 199]), global hybrid functionals (B3PW [191, 192, 193, 200, 201], B3LYP [199, 200, 202, 203] and PBE0 [204, 205, 206, 207]) and range-separated hybrid functional (HSE06 [187, 188, 208, 209, 210, 211, 212, 213, 214, 215]).

The computations of geometrical optimization, equation of state [173], electronic and elastic properties [171, 172, 173] are performed. In this computational work, we have used the basis sets for cadmium, zinc, phosphorus and arsenic atoms from the CRYSTAL-Basis Set Library of the Torino group [124, 140]. The basis set of 31 orbitals for the zinc atom [216] and the basis set of 18 orbitals for the phosphorus atom [217] have been employed. The basis set of 32 orbitals for the arsenic atom is implemented [216]. For the cadmium atom, a basis set of 36 orbitals is implemented. The convergence threshold TOLDEE on energy is adopted 10^{-8} Hartree. For carrying out prompt convergence, the BROYDEN accelerator scheme [124, 140, 154, 155] is utilized. The Fock/Kohn-Sham matrix mixing factor (namely, keyword FMIXING) [124, 140] is employed as a convergence tool for the computations. An $8 \times 8 \times 8$ Monkhorst-Pack k -point mesh [139] is employed for computation. This mesh is associated with 125 k -points in the irreducible Brillouin zone (IBZ). For analysis of Mulliken populations [126], the keyword PPAN is used in the CRYSTAL program.

The size of the strain step for the investigation of elastic computations is 0.01. ELATE software [181, 182] is also utilized for the determination of maximum and minimum values of various elastic quantities and for plotting various elastic quantities. The unit cells of α - CdP_2 , α - ZnP_2 and monoclinic ZnAs_2 are drawn with the help of DL Visualize (DLV) [179]. The band structures and the DOS are drawn using the CRYSPLOT software [180].

CHAPTER 3

**STRUCTURAL PROPERTIES OF
CdP₂, ZnP₂ AND ZnAs₂ COMPOUNDS**

3.1 Introduction

Crystal structure characteristics are important for predicting the properties of substances. Theoretical details of crystal structure form the base for experiments. The crystal structure has a correlation with mechanical properties. The crystal system may be illustrated by the relationship between crystal structure and mechanical response. The lattice parameters a , b , c and angle between crystallographic axes are important for determining the crystal properties. For the orthorhombic crystal α -CdP₂ [40, 41], the unit cell is specified by lattice parameters $a \neq b \neq c$, $\alpha = \beta = \gamma = 90^\circ$. For the tetragonal crystals α -ZnP₂ [34, 35, 36] and β -CdP₂ [35, 45], the unit cells are specified by lattice parameters $a = b \neq c$, $\alpha = \beta = \gamma = 90^\circ$. Monoclinic crystals ZnAs₂ [46, 99] and β -ZnP₂ [28, 34] have lattice parameters $a \neq b \neq c$, $\alpha = \gamma = 90^\circ$, $\beta \neq 90^\circ$. In this investigation, the structural properties of the alpha phase of CdP₂, the alpha phase of ZnP₂ and monoclinic ZnAs₂ are studied. In this thesis, the structural properties of II-V₂ compounds are studied with classification, such as α -CdP₂, α -ZnP₂ and ZnAs₂, as it enables a logical illustration. A number of aspects of structural properties are described, including the lattice parameters, atomic pair distances and equation of states.

3.2 Methodology

The optimized lattice parameters and fractional coordinates of the conventional cells have been investigated using initial geometry data of II-V₂ compounds by means of the CRYSTAL Code [124, 140]. The conventional cells of the compounds are plotted using the DLV software [179]. By means of the Birch-Murnaghan [124, 132, 133, 134, 135], Vinet [124, 130, 131] and Poirier-Tarantola [124, 132, 136] equations of states, computations are performed [173]. Also, calculations for the isothermal bulk modulus B_0 and its first pressure derivative B'_0 are carried out. Atomic pair distances for the first 06 neighbors are obtained using the Mulliken population scheme [126] with the keyword PPAN [124]. For the study of structural properties of II-V₂ compounds, computations are carried out with different functionals, such as PBE [187, 188], PBEsol [189, 190], PWGGA [191, 192, 193, 194, 195, 196], LDA PZ [197, 198], LDA VWN [197, 199], B3PW [191, 192, 193, 200, 201], B3LYP [199, 200, 202, 203], PBE0 [204, 205, 206, 207] and HSE06 [187, 188, 208, 209, 210, 211, 212, 213, 214, 215].

3.3 Results and Discussions

3.3.1 Structural Properties of CdP₂

3.3.1.1 Structural Details

Two crystalline phases of cadmium diphosphide, namely, alpha and beta, are reported [40, 41, 50]. The alpha phase of cadmium diphosphide has an orthorhombic crystal structure at room temperature [41]. A tetragonal structure is reported for the beta phase of CdP₂ [35, 45]. The reported lattice parameters for β -CdP₂ are $a = 5.28 \text{ \AA}$ and $c = 19.70 \text{ \AA}$ [35, 45]. The β -CdP₂ crystal belongs to 422 class symmetry [42]. The space group of β -CdP₂ is P4₃2₁2 and P4₁2₁2 [28].

In this investigation, the structural properties of the alpha phase of CdP₂ are studied. The space group of α -CdP₂ is Pna2₁ [40, 41]. The lattice parameters of α -CdP₂ are $a = 9.90 \text{ \AA}$, $b = 5.408 \text{ \AA}$ and $c = 5.171 \text{ \AA}$, as reported by Goodyear *et al.* [40]. The α -CdP₂ consists of three nonequivalent atoms, namely, Cd, P(I) and P(II) [40]. Four formula units are associated with the unit cell of α -CdP₂ [40]. The crystal structure of α -CdP₂ is shown in **Fig. 3.1** under the PBE scheme. From **Table 3.1**, it is obvious that the lattice parameters and volume of the cell obtained from LDA functionals are closer to the experimental results. The deviation in unit cell volume is nearly -1.3% with LDA functionals. With HSE06 and B3LYP functionals, deviations in unit cell volume are almost 5.2% and 10.2% , respectively. With LDA VWN functional, deviations in the computed lattice parameters a , b and c with their respective experimental values are about 0.02% , 0.13% and -1.5% , respectively. Deviations in the computed values of the lattice parameters using the B3LYP are the maximum among the functionals mentioned in **Table 3.1**. Using the B3LYP functional, deviations in the computed lattice parameters a , b and c with their respective experimental values are about 2.7% , 3.9% and 3.4% , respectively.

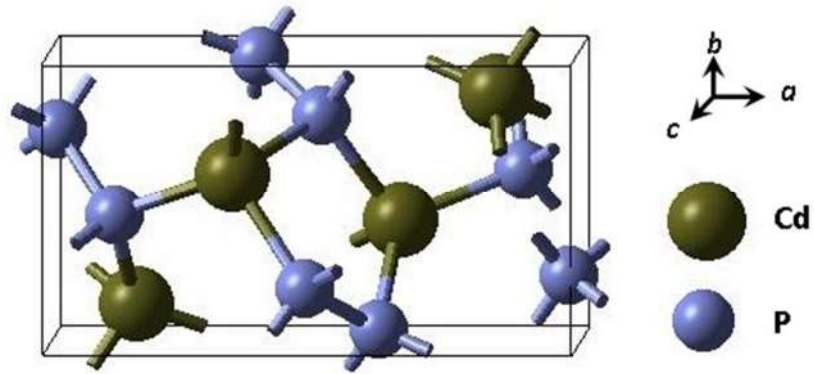


Figure 3.1: The crystal structure of an orthorhombic conventional unit cell of α -CdP₂. The lengths a , b and c are the lattice parameters.

Table 3.1: The lattice parameters (a , b and c in Å) and volume (V in Å³) of the orthorhombic unit cell of α -CdP₂ at zero pressure

Scheme	a	b	c	V
PBEsol ^{3a}	10.005	5.492	5.160	283.569
PBE	10.140	5.577	5.254	297.120
PWGGA	10.124	5.572	5.253	296.331
LDA PZ	9.909	5.417	5.091	273.312
LDA VWN	9.902	5.415	5.095	273.178
B3LYP	10.164	5.617	5.345	305.147
B3PW	10.105	5.550	5.230	293.332
PBE0	10.089	5.535	5.201	290.426
HSE06	10.096	5.538	5.209	291.282
Exp ^{3b} .	9.90	5.408	5.171	276.9
Other work ^{3c}				286.0

^{3a}Ref. [219].

^{3b}Ref. [40].

^{3c}Ref. [72].

To enhance the understanding of crystal structure, atomic pair distances for the nearest atoms are described. **Table 3.2** illustrates the atomic pair distances between different atoms in the unit cell of α -CdP₂. Under PBE functional, the atomic pair P-P has the nearest distance of about 2.19 Å, whereas the atomic pair Cd-P has the nearest distance of about 2.61 Å. The volume of the conventional cell is smaller under the PBEsol scheme than that under the PBE0 scheme, but the atomic pair distance P₅-P₁₀ is greater under the PBEsol scheme than that under the PBE0 scheme. The same thing is true for atomic pair distances P₅-P₉. Thus, despite the fact that the computed volume under the PBEsol is less than that under the PBE0 and HSE06, the nearest two P-P pair atoms have a greater atomic distance under the PBEsol functional than under the PBE0 and HSE06 functionals.

Table 3.2: Atomic pair distances (in Å) for the first six nearest atoms in α -CdP₂

Atom A	Atom B	Cell	Atomic Pair Distance								
			PBEsol	PBE	PWGGA	LDA PZ	LDA VWN	B3LYP	B3PW	PBE0	HSE06
1Cd	7P	(0 0 0)	2.569	2.615	2.611	2.530	2.530	2.640	2.609	2.601	2.603
	9P	(0 0 0)	2.591	2.633	2.630	2.559	2.558	2.653	2.628	2.622	2.624
	5P	(0 0 1)	2.594	2.637	2.634	2.561	2.561	2.675	2.638	2.631	2.633
	10P	(0 0 0)	2.618	2.672	2.668	2.581	2.581	2.696	2.657	2.650	2.652
	6P	(0 1 0)	3.768	3.814	3.813	3.738	3.736	3.842	3.807	3.797	3.798
	10P	(0 1 0)	3.777	3.828	3.827	3.747	3.746	3.858	3.822	3.812	3.813
5P	10P	(0 1−1)	2.183	2.195	2.194	2.168	2.166	2.202	2.180	2.173	2.175
	9P	(0 0 0)	2.242	2.273	2.273	2.221	2.221	2.281	2.246	2.235	2.238
	3Cd	(0 1 0)	2.569	2.615	2.611	2.530	2.530	2.640	2.609	2.601	2.603
	1Cd	(0 0−1)	2.594	2.637	2.634	2.561	2.561	2.675	2.638	2.631	2.633
	6P	(0 1 0)	3.608	3.646	3.645	3.572	3.571	3.676	3.619	3.603	3.608
	2Cd	(0 1 0)	3.768	3.814	3.813	3.738	3.736	3.842	3.807	3.797	3.798
9P	6P	(0 1 0)	2.183	2.195	2.194	2.168	2.166	2.202	2.180	2.173	2.175
	5P	(0 0 0)	2.242	2.273	2.273	2.221	2.221	2.281	2.246	2.235	2.238
	1Cd	(0 0 0)	2.591	2.633	2.630	2.559	2.558	2.653	2.628	2.622	2.624
	2Cd	(0 0 0)	2.618	2.672	2.668	2.581	2.581	2.696	2.657	2.650	2.652
	10P	(0 1 0)	3.598	3.641	3.640	3.566	3.565	3.658	3.609	3.595	3.600
	2Cd	(0 1 0)	3.777	3.828	3.827	3.747	3.746	3.858	3.822	3.812	3.813

3.3.1.2 Equation of State

An important consideration of pressure derivative in the equation of states is also discussed here. By means of the Birch-Murnaghan [124, 132, 133, 134, 135], Vinet [124, 130, 131] and Poirier-Tarantola [124, 132, 136] equations of states, computations [173] for the isothermal bulk modulus B_0 , first pressure derivative B'_0 and volume of the unit cell of α -CdP₂ are performed. The values of bulk modulus, pressure derivative and volume of α -CdP₂ are shown in **Table 3.3** under various functionals as computed with the EOS schemes at zero pressure. In most of the cases in **Table 3.3**, bulk modulus has a higher value corresponding to a lower unit cell volume. However, there is no such relationship between volume and pressure derivative in **Table 3.3**.

Here, the value of the pressure derivative B'_0 of α -CdP₂ under the PBEsol is the lowest, whereas the pressure derivative under the PWGGA is the highest. The typical estimated value of B'_0 for the alpha phase of CdP₂ lies in the range from 3.93 to 4.17. Thus, this range for the alpha phase of CdP₂ lies in the typical range of B'_0 from 2 to 6 for solids [220].

Table 3.3: At zero pressure, the computed values of bulk modulus B_0 (GPa), first pressure derivative B'_0 and unit cell volume V_0 (\AA^3) of the α -CdP₂ under different functionals

Scheme	EOS method	B_0	B'_0	V_0
PBEsol ^{3d}	Vinet	57.88	3.94	283.526
PBEsol ^{3d}	Poirier-Tarantola	57.92	3.94	283.525
PBEsol ^{3d}	Birch-Murnaghan	57.83	3.93	283.528
PBE	Vinet	51.99	4.13	297.066
PBE	Poirier-Tarantola	52.05	4.13	297.065
PBE	Birch-Murnaghan	51.94	4.12	297.067
PWGGA	Vinet	52.30	4.17	296.269
PWGGA	Poirier-Tarantola	52.36	4.17	296.269
PWGGA	Birch-Murnaghan	52.25	4.16	296.271
LDA PZ	Vinet	64.37	3.99	273.573
LDA PZ	Poirier-Tarantola	64.42	4.00	273.572
LDA PZ	Birch-Murnaghan	64.32	3.98	273.575
LDA VWN	Vinet	64.52	4.02	273.218
LDA VWN	Poirier-Tarantola	64.57	4.03	273.217
LDA VWN	Birch-Murnaghan	64.46	4.02	273.219
B3LYP	Vinet	52.74	4.05	304.658
B3LYP	Poirier-Tarantola	52.79	4.05	304.658
B3LYP	Birch-Murnaghan	52.70	4.04	304.659
B3PW	Vinet	56.31	4.16	293.488
B3PW	Poirier-Tarantola	56.37	4.16	293.487
B3PW	Birch-Murnaghan	56.25	4.15	293.489
PBE0	Vinet	58.64	4.09	290.604
PBE0	Poirier-Tarantola	58.70	4.10	290.604
PBE0	Birch-Murnaghan	58.58	4.09	290.605
HSE06	Vinet	58.00	4.09	291.208
HSE06	Poirier-Tarantola	58.06	4.09	291.208
HSE06	Birch-Murnaghan	57.95	4.08	291.209

^{3d}Ref. [219].

3.3.2 Structural Properties of ZnP_2

3.3.2.1 Structural Details

Two different crystalline phases of zinc diphosphide are represented as $\alpha\text{-ZnP}_2$ and $\beta\text{-ZnP}_2$ [28, 34]. The alpha phase and beta phase of ZnP_2 have tetragonal and monoclinic crystal structures, respectively [28, 34]. Stackelberg *et al.* [35] and White [36] reported a tetragonal crystal structure of the alpha phase of ZnP_2 . The monoclinic structure of ZnP_2 has lattice parameters $a = 8.85 \text{ \AA}$, $b = 7.29 \text{ \AA}$, $c = 7.56 \text{ \AA}$ and angle $\beta = 102.3^\circ$ [28, 34]. The space group of monoclinic ZnP_2 is $P2_1/c$ [34]. In the monoclinic ZnP_2 , there is tetrahedral coordination for atoms [34]. The tetragonal structure of zinc diphosphide has lattice parameters $a = 5.08 \text{ \AA}$ and $c = 18.59 \text{ \AA}$ [36]. Each crystalline phase, $\alpha\text{-ZnP}_2$ and $\beta\text{-ZnP}_2$, has 24 atoms in the unit cell [28].

In this work, the structural properties of the alpha phase of ZnP_2 are investigated. The conventional cell of $\alpha\text{-ZnP}_2$ has eight formula units [28, 36]. Hence, its unit cell has eight Zn and sixteen P atoms [36]. Each Zn atom is bonded to its four nearest P atoms [36]. Also, each P atom is bonded to its two nearest P atoms and two nearest Zn atoms [36]. The study of the alpha phase of ZnP_2 (a tetragonal crystal with space group $P4_32_12$ [28, 36]) is carried out with DFT. The $\alpha\text{-ZnP}_2$ consists of three nonequivalent atoms, namely, Zn, P(I) and P(II) [36]. The computed fractional coordinates of the conventional cell of $\alpha\text{-ZnP}_2$ are shown in **Table 3.4** under the PBE scheme. Optimized lattice parameters of the conventional cell have been investigated using initial geometry data of $\alpha\text{-ZnP}_2$ and the obtained results are shown in **Table 3.5**. Our obtained results fairly agree with the other reported results in **Table 3.5**. The volume obtained with LDA functional is the lowest in **Table 3.5**. The conventional cell of the alpha phase of ZnP_2 is depicted in **Fig. 3.2** using DLV software [179]. Under the PBE scheme, the crystal structure of the tetragonal unit cell of $\alpha\text{-ZnP}_2$ is shown in different planes.

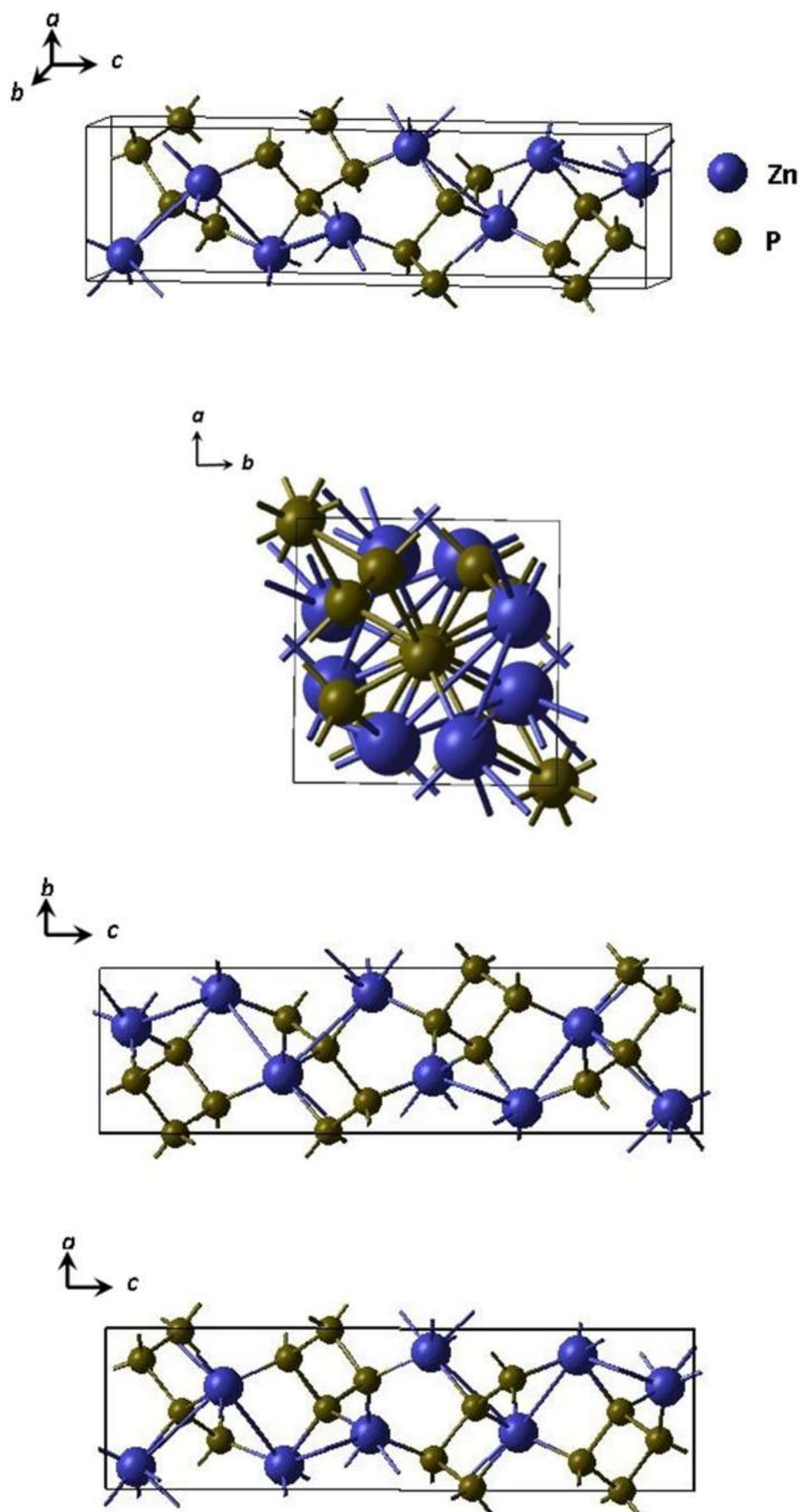


Figure 3.2: The crystal structure of a tetragonal unit cell of α - ZnP_2 . The lengths a , b and c are the lattice parameters.

Table 3.4: The fractional coordinates of the nonequivalent atoms in the conventional cell of α -ZnP₂

Atom	Fractional coordinates					
	Present work (PBE scheme)			Exp. ^{3e}		
	<i>X/a</i>	<i>Y/b</i>	<i>Z/c</i>	<i>X/a</i>	<i>Y/b</i>	<i>Z/c</i>
Zn	0.1408	−0.3476	0.0511	0.154	−0.366	0.0503
P (I)	−0.0191	0.0059	0.1229	−0.010	−0.020	0.1261
P (II)	−0.1943	0.3209	0.0584	−0.185	0.298	0.0597

^{3e}Ref. [36].

Table 3.5: The lattice parameters (*a* and *c* in Å) and volume (*V* in Å³) of the tetragonal unit cell of α -ZnP₂ at zero pressure

Scheme	<i>a</i>	<i>c</i>	<i>V</i>
PBEsol	5.031	18.357	464.720
PBE	5.108	18.620	485.908
PWGGA	5.107	18.598	484.962
LDA PZ	4.984	18.155	450.949
LDA VWN	4.981	18.147	450.317
B3LYP	5.170	18.823	503.161
B3PW	5.099	18.624	484.202
PBE0	5.083	18.595	480.410
HSE06	5.087	18.603	481.402
Exp. ^{3f}	5.07	18.65	
Exp. ^{3g}	5.08	18.59	479.8
Exp. ^{3h}	5.0586	18.506	
Exp. ³ⁱ	5.0661	18.532	475.61
Other Work ^{3j}	5.098	18.604	

^{3f}Ref. [35].

^{3g}Ref. [36].

^{3h}Ref. [61].

³ⁱRef. [222].

^{3j}Ref. [88].

The atomic pair distances of α -ZnP₂ are shown in **Table 3.6**. The nearest P-P pair distance (about 2.17 Å) is less than the nearest Zn-P (about 2.3 Å) pair distance for LDA scheme. In **Table 3.6**, atomic pair distances between the same pairs under different functional schemes are the lowest for the LDA VWN scheme and the highest for the B3LYP scheme. The nearest neighbor distance between the Cd-P atomic pair in α -CdP₂ is greater than the nearest neighbor distance between the Zn-P atomic pair in α -ZnP₂. The nearest neighbor distance between the P-P atomic pair in α -CdP₂ is not very different from the nearest neighbor distance between the P-P atomic pair in α -ZnP₂.

Table 3.6: Atomic pair distances (in Å) for the first six nearest atoms in α -ZnP₂

Atom A	Atom B	Cell	Atomic Pair distance								
			PBEsol	PBE	PWGGA	LDA PZ	LDA VWN	B3LYP	B3PW	PBE0	HSE06
1Zn	22P	(0 0 0)	2.327	2.369	2.366	2.299	2.298	2.408	2.375	2.370	2.370
	11P	(0 −1 0)	2.345	2.383	2.381	2.320	2.319	2.416	2.388	2.384	2.385
	9P	(0 0 0)	2.349	2.391	2.388	2.322	2.321	2.426	2.396	2.391	2.392
	17P	(0 −1 0)	2.370	2.412	2.410	2.342	2.341	2.456	2.420	2.414	2.415
	9P	(0 −1 0)	3.612	3.656	3.654	3.580	3.578	3.684	3.642	3.631	3.633
	19P	(0 −1 0)	3.623	3.661	3.660	3.594	3.592	3.684	3.644	3.633	3.636
9P	17P	(0 0 0)	2.183	2.198	2.198	2.171	2.169	2.202	2.182	2.176	2.178
	19P	(0 0 0)	2.251	2.275	2.275	2.234	2.233	2.278	2.249	2.238	2.242
	3Zn	(0 0 0)	2.345	2.383	2.381	2.320	2.319	2.416	2.388	2.384	2.385
	1Zn	(0 0 0)	2.349	2.391	2.388	2.322	2.321	2.426	2.396	2.391	2.392
	11P	(0 0 0)	3.532	3.571	3.569	3.504	3.502	3.585	3.547	3.536	3.540
	1Zn	(0 1 0)	3.585	3.656	3.654	3.546	3.545	3.684	3.642	3.631	3.633
17P	9P	(0 0 0)	2.183	2.198	2.198	2.171	2.169	2.202	2.182	2.176	2.178
	11P	(−1 0 0)	2.251	2.275	2.275	2.234	2.233	2.278	2.249	2.238	2.242
	6Zn	(0 0 0)	2.327	2.369	2.366	2.299	2.298	2.408	2.375	2.370	2.370
	1Zn	(0 1 0)	2.370	2.412	2.410	2.342	2.341	2.456	2.420	2.414	2.415
	19P	(0 0 0)	3.597	3.634	3.633	3.573	3.571	3.652	3.607	3.594	3.598
	3Zn	(0 0 0)	3.623	3.661	3.660	3.594	3.592	3.684	3.644	3.633	3.636

3.3.2.2 Equation of State

By means of the Birch-Murnaghan [124, 132, 133, 134, 135], Vinet [124, 130, 131] and Poirier-Tarantola [124, 132, 136] equations of states, computations [173] for the isothermal bulk modulus B_0 and first pressure derivative B'_0 are performed for α -ZnP₂. In this investigation, with these three EOS schemes, results with the estimated values of B_0 , B'_0 and unit cell volume of α -ZnP₂ at zero pressure are shown in **Table 3.7**. All three EOS schemes provide approximately the same estimated values for B_0 and B'_0 for the given functional scheme. Under GGA functionals, a reasonable consistency is found between our respective estimated values of B_0 & B'_0 and those of Fan *et al.* [221]. Under the PBE functional, using the Vinet EOS, the plot of relative energy E (per unit cell) of α -ZnP₂ versus its unit cell volume is shown in **Fig. 3.3**.

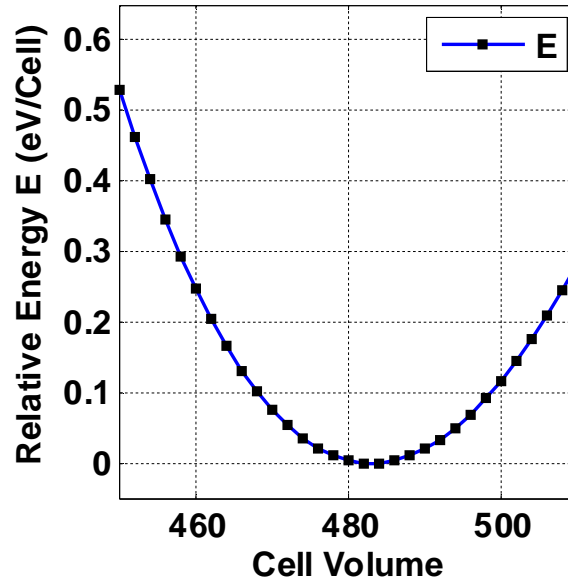


Figure 3.3: Under the Vinet EOS scheme, the plot of relative energy per unit cell E (with respect to minimum energy) of α -ZnP₂ versus its unit cell volume (\AA^3). The obtained data points are shown in the volume range of the unit cell from 450 \AA^3 to 510 \AA^3 .

The typical estimated range of B'_0 for the alpha phase of ZnP₂ is 4.34–4.58, which lies in the general typical range of B'_0 from 2 to 6 for solids [220].

Table 3.7: At zero pressure, the computed values of bulk modulus B_0 (GPa), first pressure derivative B'_0 and unit cell volume V_0 (\AA^3) of the alpha phase of ZnP_2 under different functionals

Scheme	EOS method	B_0	B'_0	V_0
PBEsol	Vinet	75.33	4.48	464.716
PBEsol	Poirier-Tarantola	75.25	4.36	464.731
PBEsol	Birch-Murnaghan	75.37	4.57	464.704
PBE	Vinet	66.30	4.54	482.967
PBE	Poirier-Tarantola	66.42	4.52	482.970
PBE	Birch-Murnaghan	66.22	4.54	482.966
PWGGA	Vinet	66.63	4.55	484.835
PWGGA	Poirier-Tarantola	66.74	4.56	484.833
PWGGA	Birch-Murnaghan	66.55	4.55	484.835
LDA PZ	Vinet	82.98	4.54	451.306
LDA PZ	Poirier-Tarantola	83.12	4.55	451.303
LDA PZ	Birch-Murnaghan	82.88	4.53	451.309
LDA VWN	Vinet	83.18	4.57	450.614
LDA VWN	Poirier-Tarantola	83.33	4.58	450.611
LDA VWN	Birch-Murnaghan	83.08	4.56	450.617
B3LYP	Vinet	63.97	4.35	502.939
B3LYP	Poirier-Tarantola	64.06	4.35	502.938
B3LYP	Birch-Murnaghan	63.90	4.34	502.942
B3PW	Vinet	70.13	4.41	484.188
B3PW	Poirier-Tarantola	70.24	4.41	484.186
B3PW	Birch-Murnaghan	70.05	4.40	484.190
PBE0	Vinet	72.68	4.35	480.485
PBE0	Poirier-Tarantola	72.79	4.35	480.483
PBE0	Birch-Murnaghan	72.60	4.34	480.487
HSE06	Vinet	71.90	4.35	481.450
HSE06	Poirier-Tarantola	72.00	4.36	481.448
HSE06	Birch-Murnaghan	71.82	4.35	481.452
Other Work ^{3k}		76.83		
Other Work ^{3l}		69		483.6
Other Work ^{3m}		63.13	4.445	
Exp. ³ⁿ		63.6		

^{3k}Ref. [96].

^{3l}Ref. [88].

^{3m}Ref. [221].

³ⁿRef. [67].

3.3.3 Structural Properties of ZnAs₂

3.3.3.1 Structural Details

In this investigation, the structural properties of ZnAs₂ are studied. The crystal structure of ZnAs₂ is shown in **Fig. 3.4**. Under the PBE scheme, the crystal structure is shown with the *ab* plane view, *ac* plane view, *bc* plane view and 3D view. The space group of monoclinic ZnAs₂ is P2₁/c (*C*_{2h}⁵) [46, 47]. ZnAs₂ has 08 formula units in the monoclinic unit cell [46]. Monoclinic ZnAs₂ has 06 nonequivalent atoms in the unit cell [47, 50]. With the initial geometry data of ZnAs₂ [46, 47], the optimized lattice parameters have been computed. The lattice parameters (*a*, *b*, *c* and angle β) and volume of the unit cell under different functional methods are shown in **Table 3.8**. It is evident from **Table 3.8** that volume is the least with LDA functional and volume is the maximum for the B3LYP functional. Using the PBE functional, deviations in the computed lattice parameters *a*, *b* and *c* with their respective experimental values are about -0.33% , -0.27% and 0.21% , respectively. It is evident from **Table 3.8** that deviations in computed angle β with its respective experimental value are small. The maximum deviation in angle β is observed for the B3LYP scheme, which is about 0.034% .

Table 3.8: The lattice parameters (*a*, *b* and *c* in Å), angle β (in degrees) and volume *V* (in Å³) of the monoclinic unit cell of ZnAs₂ at zero pressure

Scheme	<i>a</i>	<i>b</i>	<i>c</i>	β	<i>V</i>
PBEsol ³⁰	9.125	7.570	7.909	102.483	533.42
PBE	9.256	7.670	8.027	102.494	556.325
PWGGA	9.249	7.665	8.021	102.498	555.204
LDA PZ	9.035	7.477	7.818	102.425	515.791
LDA VWN	9.028	7.487	7.822	102.415	516.393
B3LYP	9.342	7.741	8.088	102.501	571.051
B3PW	9.244	7.672	8.003	102.487	554.145
PBE0	9.221	7.649	7.977	102.475	549.314
HSE06	9.219	7.650	7.976	102.466	549.228
Exp. ^{3p}	9.287	7.691	8.010	102.466	

³⁰Ref. [223].

^{3p}Ref. [47].

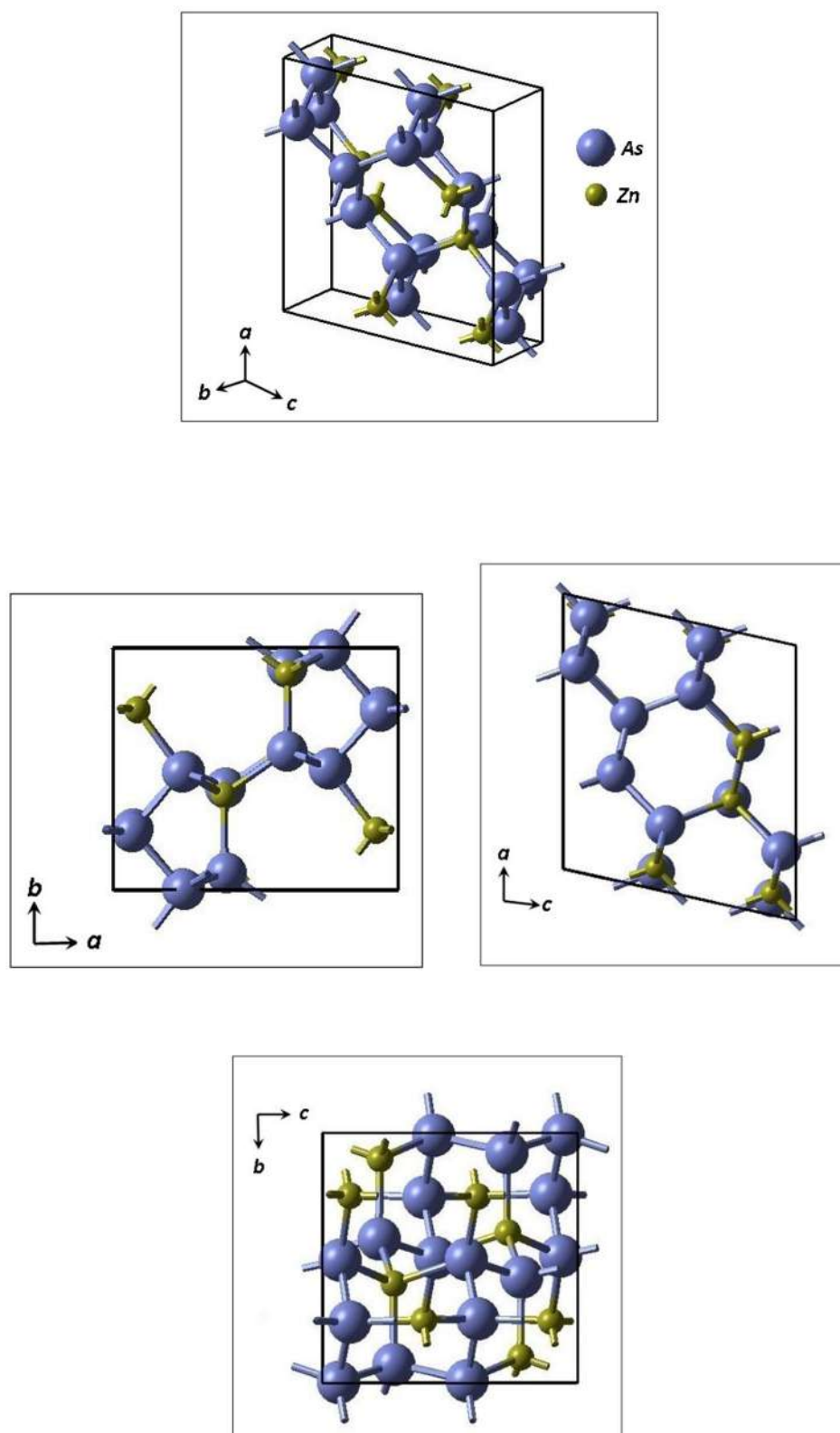


Figure 3.4: The crystal structure of a monoclinic unit cell of ZnAs_2 . The lengths a , b and c are the lattice parameters.

Table 3.9: Atomic pair distances (in Å) for the first six nearest atoms in ZnAs₂

Atom A	Atom B	Cell	Atomic Pair Distance								
			PBEsol	PBE	PWGGA	LDA PZ	LDA VWN	B3LYP	B3PW	PBE0	HSE06
1Zn	22As	(0 0 0)	2.371	2.406	2.403	2.343	2.344	2.431	2.408	2.402	2.401
	23As	(0 0 1)	2.373	2.407	2.405	2.344	2.346	2.432	2.409	2.405	2.403
	17As	(0 -1 0)	2.412	2.449	2.446	2.378	2.383	2.476	2.452	2.447	2.443
	13As	(0 0 0)	2.416	2.455	2.452	2.382	2.386	2.486	2.460	2.455	2.450
	21As	(0 -1 0)	3.717	3.777	3.774	3.671	3.662	3.816	3.785	3.772	3.770
	21As	(0 0 0)	3.860	3.899	3.897	3.814	3.833	3.930	3.892	3.882	3.886
5Zn	10As	(1 0 0)	2.406	2.444	2.442	2.374	2.374	2.471	2.450	2.441	2.440
	9As	(0 0 0)	2.420	2.454	2.453	2.396	2.393	2.487	2.460	2.453	2.458
	13As	(0 0 0)	2.442	2.482	2.479	2.409	2.411	2.511	2.486	2.481	2.477
	20As	(0 0 0)	2.446	2.485	2.483	2.412	2.417	2.515	2.489	2.483	2.480
	24As	(0 0 0)	3.637	3.679	3.680	3.603	3.601	3.709	3.676	3.669	3.667
	21As	(0 0 0)	3.679	3.712	3.712	3.645	3.651	3.737	3.703	3.697	3.697
9As	6Zn	(1 1 0)	2.406	2.444	2.442	2.374	2.374	2.471	2.450	2.441	2.440
	5Zn	(0 0 0)	2.420	2.454	2.453	2.396	2.393	2.487	2.460	2.453	2.458
	16As	(0 0 0)	2.461	2.489	2.488	2.443	2.442	2.491	2.464	2.453	2.457
	17As	(0 0 0)	2.461	2.489	2.488	2.443	2.443	2.491	2.465	2.454	2.456
	21 As	(0 0 0)	3.756	3.804	3.802	3.730	3.727	3.815	3.773	3.755	3.764
	24As	(0 0 0)	3.761	3.812	3.811	3.732	3.731	3.826	3.782	3.764	3.771
13As	1Zn	(0 0 0)	2.416	2.455	2.452	2.382	2.386	2.467	2.440	2.430	2.450
	21As	(0 0 0)	2.435	2.462	2.462	2.409	2.411	2.486	2.460	2.454	2.433
	5Zn	(0 0 0)	2.442	2.482	2.479	2.417	2.417	2.491	2.465	2.455	2.477
	12As	(0 01)	2.461	2.489	2.488	2.443	2.442	2.511	2.486	2.481	2.457
	17As	(0 0 0)	3.677	3.725	3.723	3.646	3.645	3.741	3.700	3.686	3.688
	8Zn	(0 0 1)	3.792	3.830	3.830	3.760	3.761	3.857	3.819	3.811	3.814
17As	1Zn	(0 1 0)	2.412	2.449	2.446	2.378	2.383	2.458	2.433	2.424	2.443
	21As	(0 0 0)	2.427	2.455	2.454	2.412	2.411	2.476	2.452	2.447	2.427
	8Zn	(0 0 1)	2.446	2.485	2.483	2.412	2.417	2.491	2.464	2.453	2.480
	9As	(0 0 0)	2.461	2.489	2.488	2.443	2.443	2.515	2.489	2.483	2.456
	13As	(0 0 0)	3.677	3.725	3.723	3.646	3.645	3.741	3.700	3.686	3.688
	5Zn	(0 0 0)	3.787	3.827	3.827	3.755	3.756	3.857	3.817	3.809	3.810
21As	2Zn	(0 0 0)	2.371	2.406	2.403	2.343	2.344	2.431	2.408	2.402	2.401
	3Zn	(0 0 1)	2.373	2.407	2.405	2.344	2.346	2.432	2.409	2.405	2.403
	17As	(0 0 0)	2.427	2.455	2.454	2.412	2.411	2.458	2.433	2.424	2.427
	13As	(0 0 0)	2.435	2.462	2.462	2.417	2.417	2.467	2.440	2.430	2.433
	8Zn	(0 0 1)	3.637	3.679	3.680	3.603	3.601	3.709	3.676	3.669	3.667
	5Zn	(0 0 0)	3.679	3.712	3.712	3.645	3.651	3.737	3.703	3.697	3.667

Atomic pair distances for monoclinic ZnAs_2 for the first 06 nearest atoms are shown in **Table 3.9**. The unit cell of ZnAs_2 has 06 nonequivalent atoms [47, 50], namely Zn(I), Zn(II), P(I), P(II), P(III) and P(IV). In general, the nearest atomic pair Zn-As distance is smaller than the nearest atomic pair As-As distance. For example, in **Table 3.9**, the nearest atomic pair Zn-As distance is about 2.34 Å and the nearest atomic pair As-As distance is about 2.41 Å in the LDA functional.

3.3.3.2 Equation of State

The Birch-Murnaghan [124, 132, 133, 134, 135], Vinet [124, 130, 131] and Poirier-Tarantola [124, 132, 136] equations of states [173] are utilized for calculations of the isothermal bulk modulus B_0 and first pressure derivative B'_0 . In this work, with these mentioned EOS schemes, the computed values of B_0 , B'_0 and volume of the cell of ZnAs_2 at zero pressure are depicted in **Table 3.10**. Under the PBE functional, using the Vinet EOS, the plot of relative energy E (per unit cell) of ZnAs_2 versus its monoclinic unit cell volume is shown in **Fig. 3.5**.

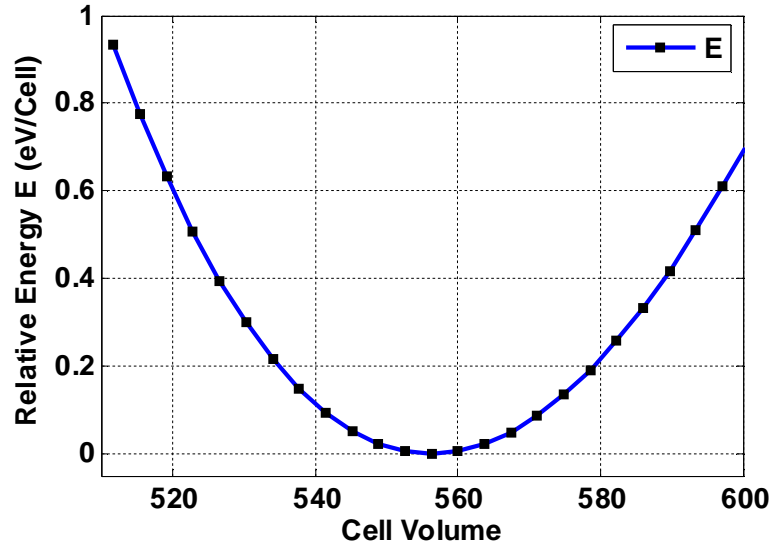


Figure 3.5: Under the Vinet EOS scheme, the plot of relative energy per unit cell E (with respect to minimum energy) of ZnAs_2 versus its unit cell volume (\AA^3). The obtained data points are shown in the volume range of the unit cell from 512 \AA^3 to 600 \AA^3 .

Table 3.10: At zero pressure, the computed values of bulk modulus B_0 (GPa), first pressure derivative B'_0 and unit cell volume V_0 (\AA^3) of ZnAs_2 under different functionals

Scheme	EOS method	B_0	B'_0	V_0
PBEsol	Vinet	79.88	3.26	531.486
PBEsol	Poirier-Tarantola	79.89	3.26	531.484
PBEsol	Birch-Murnaghan	79.88	3.25	531.490
PBE	Vinet	73.31	4.01	556.253
PBE	Poirier-Tarantola	73.37	4.01	556.252
PBE	Birch-Murnaghan	73.25	4.00	556.253
PWGGA	Vinet	73.96	3.96	553.897
PWGGA	Poirier-Tarantola	74.02	3.97	553.896
PWGGA	Birch-Murnaghan	73.90	3.96	553.899
LDA PZ	Vinet	86.05	2.73	515.507
LDA PZ	Poirier-Tarantola	86.03	2.74	515.506
LDA PZ	Birch-Murnaghan	86.13	2.72	515.512
LDA VWN	Vinet	83.54	2.62	515.248
LDA VWN	Poirier-Tarantola	83.52	2.62	515.248
LDA VWN	Birch-Murnaghan	83.63	2.61	515.252
B3LYP	Vinet	72.38	4.16	569.734
B3LYP	Poirier-Tarantola	72.46	4.16	569.733
B3LYP	Birch-Murnaghan	72.31	4.16	569.735
B3PW	Vinet	75.77	4.20	554.109
B3PW	Poirier-Tarantola	75.86	4.20	554.108
B3PW	Birch-Murnaghan	75.69	4.19	554.112
PBE0	Vinet	79.12	3.98	547.737
PBE0	Poirier-Tarantola	79.26	3.98	547.736
PBE0	Birch-Murnaghan	79.19	3.98	547.739
HSE06	Vinet	78.59	3.96	548.669
HSE06	Poirier-Tarantola	78.65	3.96	548.667
HSE06	Birch-Murnaghan	78.52	3.95	548.671

The typical estimated value of the first pressure derivative for ZnAs_2 is 2.61–4.20, which lies in the typical range of B'_0 from 2 to 6 for solids [220]. For the given

functional scheme, all three EOS schemes provide nearly the same estimated values for B_0 , B'_0 and unit cell volumes V_0 . It is evident from **Table 3.10** that the computed value of B'_0 is the minimum under the LDA scheme and the maximum under the B3PW scheme.

3.4 Conclusions

This chapter explores the structural properties of α -CdP₂, α -ZnP₂ and ZnAs₂ by implementing DFT methods. Our investigation illustrates that atomic pair P-P has the nearest distance of about 2.17 Å, whereas atomic pair Cd-P has the nearest distance of about 2.53 Å in the unit cell of α -CdP₂ under LDA scheme. The lattice parameters and volume of the α -CdP₂ cell computed from LDA functionals are closer to experimental results. An important feature, such as the pressure derivative in the equation of state, was also elaborated. The values of bulk modulus and first pressure derivative of α -CdP₂ computed with EOS (Vinet, Poirier-Tarantola and Birch-Murnaghan) are in the range 51.94–64.57 GPa and in the range 3.93–4.17, respectively, at zero pressure under various functionals. For the alpha phase of CdP₂, the first pressure derivative B'_0 lies in the general range of B'_0 from 2 to 6 for solids.

For atomic pair distances of α -ZnP₂, the nearest P-P pair distance is about 2.17 Å and the nearest Zn-P pair distance is about 2.3 Å under LDA functional. The obtained nearest neighbor distance between the P-P atomic pair in α -ZnP₂ is not much different from the nearest neighbor distance between the P-P atomic pair in α -CdP₂. The nearest neighbor distance between the Zn-P atomic pair in α -ZnP₂ is less than the nearest neighbor distance between the Cd-P atomic pair in α -CdP₂. The estimated range of the first pressure derivative B'_0 for α -ZnP₂ is about 4.34–4.58. The computed range of bulk modulus B_0 for α -ZnP₂ is about 64–83 GPa.

For ZnAs₂, deviations in the calculated lattice parameters a , b and c with their respective experimental values are about –0.33%, –0.27% and 0.21%, respectively, under the PBE scheme. The value of computed angle β of ZnAs₂ is about 102.46° at zero pressure under various functionals. The unit cell of ZnAs₂ has 06 nonequivalent atoms, namely Zn(I), Zn(II), P(I), P(II), P(III) and P(IV). The nearest atomic pair As-As distance is larger than the nearest atomic pair Zn-As distance in the unit cell of ZnAs₂.

Under the LDA scheme, the nearest atomic pair Zn-As distance is about 2.34 Å and the nearest atomic pair As-As distance is about 2.41 Å in the monoclinic ZnAs₂ crystal. The typical calculated value of the first pressure derivative for ZnAs₂ is 2.61–4.20. The estimated value of bulk modulus for ZnAs₂ lies in the range 72.31–86.13 GPa.

CHAPTER 4

**ELECTRONIC PROPERTIES OF
CdP₂, ZnP₂ AND ZnAs₂ COMPOUNDS**

4.1 Introduction

Investigation of the density of states and electronic band structures provides information on the electronic properties of materials. Using special \vec{k} points of high symmetry in the reciprocal space, the electronic band structure of the material is plotted. The electronic band structure provides an energy band gap for the material. The direct energy band gaps as well as the indirect energy band gaps of semiconductor compounds may be determined by the electronic band structures. The total DOS (density of states) and the PDOS (partial density of states) are useful in predicting conduction properties. Understanding the electronic band structure of a substance can elucidate its optical properties. The region close to Fermi energy in the band structure has importance in the deduction of important conclusions about electronic properties. The position of Fermi energy in the plot between the density of states and the energy plays an important role in finding out the conduction properties of solids [13].

Contributions to the electronic states of different shells and orbitals may be illustrated by the partial density of states (PDOS). Mulliken population analysis may be utilized to illustrate electronic charge density distribution. Overlap population is useful in predicting the various features of the nature of chemical bonds in substances. An analysis of the overlap population may indicate the covalent and ionic characters of a chemical bond. Mulliken population analysis can also reveal typical atomic charges. Investigations on the electronic properties of II–V₂ semiconductor compounds will be useful in the development of semiconductor devices for optoelectronics.

4.2 Methodology

Using the CRYSTAL Code [124, 140], electronic properties are investigated. The keyword BAND is used for the analysis of electronic band properties [124]. Band structures are plotted using CRYSPLOT [180]. The plot of the energy of a band state as a function of a wave vector \vec{k} (in the Brillouin zone) forms a band structure [50]. In this work, the density of states is plotted using CRYSPLOT [180]. Mulliken population [126] is analyzed by means of the keyword PPAN [124]. Overlap population and charge transfer are also studied with different functionals. Properties

of the density of states are studied using the keyword DOSS [124]. The energy band range (first and last bands) may be specified for the computation of the density of states. The energy band gaps of compounds are computed with different functionals.

In the present work, computations are performed with the functionals PBE [187, 188], PBEsol [189, 190], PWGGA [191, 192, 193, 194, 195, 196], LDA PZ [197, 198], LDA VWN [197, 199], B3PW [191, 192, 193, 200, 201], B3LYP [199, 200, 202, 203], PBE0 [204, 205, 206, 207] and HSE06 [187, 188, 208, 209, 210, 211, 212, 213, 214, 215]. In the present investigation, the study of the electronic properties of α -CdP₂, α -ZnP₂ and ZnAs₂ is carried out.

4.3 Results and Discussions

4.3.1 Electronic Properties of CdP₂

4.3.1.1 Band Structure and DOS

The beta phase of cadmium diphosphide has an energy band gap of about 2.02 eV [54, 68, 73]. For polarizations $E \parallel c$ and $E \perp c$, Sobolev *et al.* studied the energy band gap of β -CdP₂ [37]. In the present study, the electronic properties of the alpha phase of CdP₂ are investigated. The band structure calculations can provide details about the energy band gap, Fermi energy, band structures, the valence band maxima, the conduction band minima, etc. [224]. The band structure of α -CdP₂ is shown in **Fig. 4.1**. The band structure of α -CdP₂ is drawn along appropriate paths connecting special points of high symmetry [225].

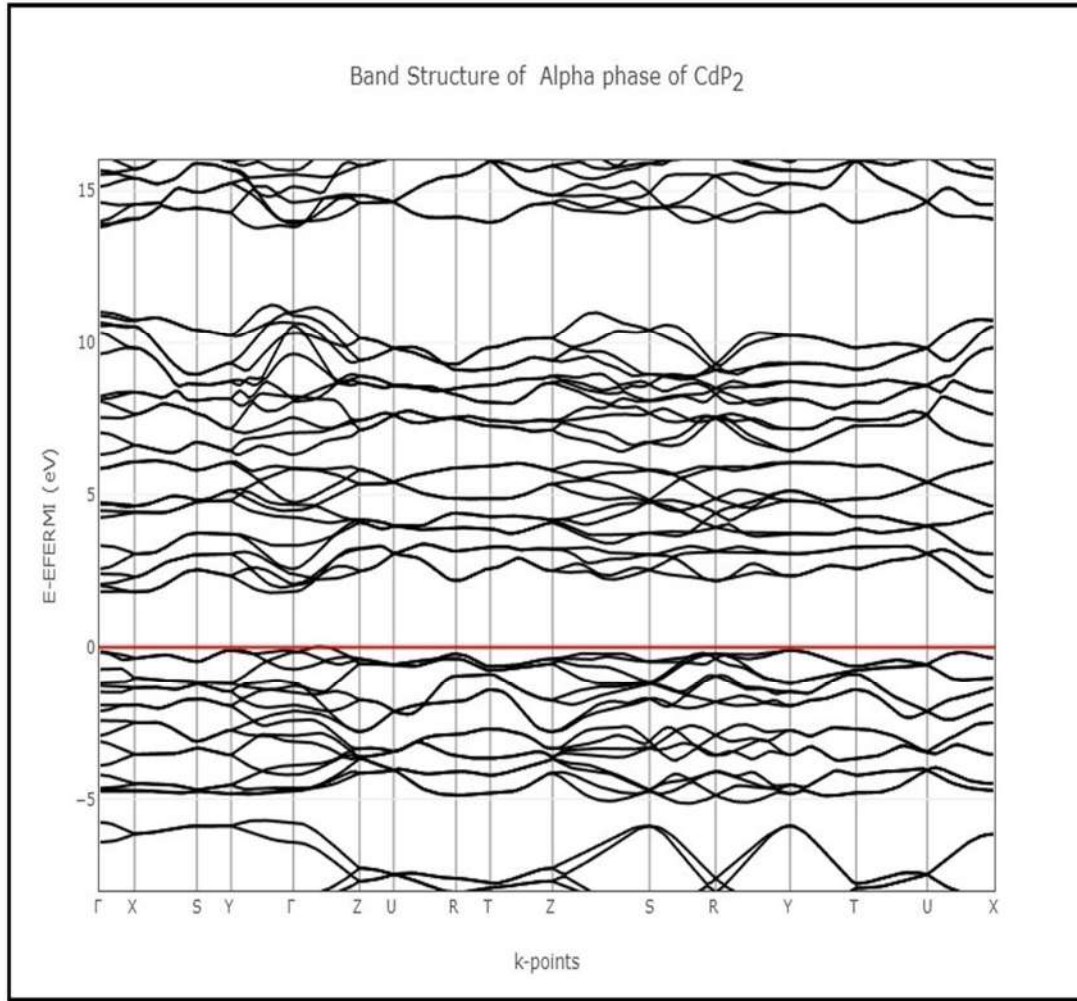


Figure 4.1: The band structure of α -CdP₂ under the PBE scheme.

The energy band gap of α -CdP₂ is 1.79 eV under the PBE scheme. The energy value of 1.79 eV lies in the energy band gap range for semiconductors. The highest point of the valence band can be seen on the path Γ -Z in **Fig. 4.1**. It is also evident from **Fig. 4.1** that the lowest point of the conduction band exists near the X point. Hence, it shows the indirect energy band gap of 1.79 eV for the alpha phase of cadmium diphosphide. For electronic band structure, computations are performed along high symmetry directions for these special points (namely X, Z, S, U, Y, R, Γ , etc.) in the Brillouin zone [4].

Relative to the Fermi energy level, the density of states is plotted from about -5 eV to 10 eV, as shown in **Fig. 4.2**. On the y-axis, arbitrary units are used for the density of states, but scaling is the same for all the atoms, which are mentioned in **Fig. 4.2**.

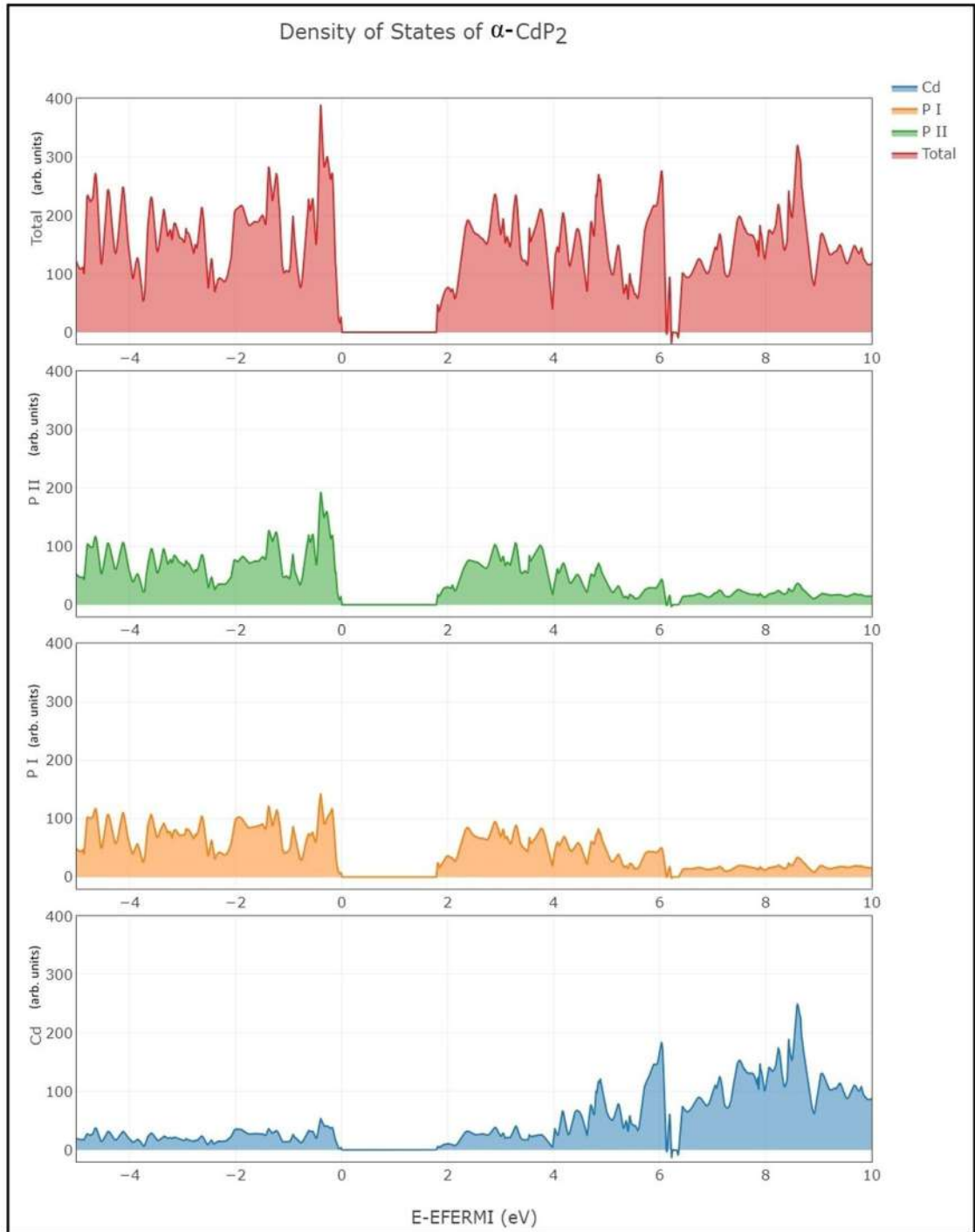


Figure 4.2: The density of states of α -CdP₂ under the PBE scheme.

The total DOS and the density of states of nonequivalent atoms [Cd, P(I) and P(II)] of α -CdP₂ are shown in **Fig. 4.2**. Each P(I) and P(II) atom contributes more in comparison to the contribution to the density of states by Cd atom. The density of states lying near the Fermi energy level has importance in determining the electronic properties of materials. The study of the band structure and the density of states for α -CdP₂ was carried out with the PBEsol functional in other work [219].

4.3.1.2 Mulliken Population Analysis

Table 4.1 shows the charges of nonequivalent Cd and P atoms in α -CdP₂ crystal. The charge transfer in the LDA scheme is less than that in other schemes, as mentioned in **Table 4.1**. The charge transfer values for Cd, P(I) and P(II) are $1.055e$, $0.536e$ and $0.519e$, respectively, under the PBE scheme. It is evident from **Table 4.1** that the maximum charge transfer values for Cd, P(I) and P(II) atoms take place under the PBE0 scheme. The energy band gap values for α -CdP₂ crystals are shown in **Table 4.2**. The energy band gap value is the least for the LDA scheme and the maximum for the PBE0 scheme, as evident from **Table 4.2**. The overlap population in α -CdP₂ crystal for the first six nearest neighbors is shown in **Table 4.3**. In **Table 4.3**, negative values of the overlap population show antibonding [126]. Positive values of the overlap population show bonding [126]. For bonding states, overlap population values for most of the pairs are higher for the LDA scheme, as shown in **Table 4.3**. From **Table 3.2**, it is obvious that pairs 1Cd-7P and 5P-3Cd each have a minimum distance, and the overlap population has a maximum value, as seen in **Table 4.3**. The overlap population for pair 1Cd-7P has a value of 0.148 under the PBE method.

Table 4.1: Charges (in terms of e) of nonequivalent Cd and P atoms of α -CdP₂

Scheme	Charge Cd	Charge P (I)	Charge P (II)
PBEsol ^{4a}	46.977	15.522	15.502
PBE	46.945	15.536	15.519
PWGGA	46.960	15.528	15.512
LDA PZ	47.038	15.493	15.469
LDA VWN	47.038	15.493	15.469
B3LYP	46.941	15.533	15.526
B3PW	46.906	15.554	15.541
PBE0	46.879	15.568	15.554
HSE06	46.887	15.564	15.549

^{4a}Ref. [219].

Table 4.2: Energy band gap (in eV) of α -CdP₂

PBEsol ^{4b}	PBE	PWGGA	LDA PZ	LDA VWN	B3LYP	B3PW	PBE0	HSE06	Other Work ^{4c}
1.76	1.79	1.78	1.71	1.71	2.90	2.97	3.25	2.60	1.49

^{4b}Ref. [219].^{4c}Ref. [226, 227].**Table 4.3:** Overlap population for the first six nearest neighbors in α -CdP₂

Atom A	Atom B	Cell	Overlap Population AB								
			PBEsol ^{4d}	PBE	PWGGA	LDA PZ	LDA VWN	B3LYP	B3PW	PBE0	HSE06
1Cd	7P	(0 0 0)	0.154	0.148	0.150	0.164	0.164	0.148	0.146	0.144	0.145
	9P	(0 0 0)	0.139	0.134	0.136	0.145	0.145	0.136	0.132	0.129	0.129
	5P	(0 0 1)	0.141	0.136	0.138	0.148	0.148	0.135	0.132	0.130	0.130
	10P	(0 0 0)	0.135	0.128	0.130	0.144	0.144	0.128	0.127	0.125	0.125
	6P	(0 1 0)	-0.009	-0.008	-0.008	-0.009	-0.010	-0.007	-0.008	-0.008	-0.008
	10P	(0 1 0)	-0.010	-0.008	-0.008	-0.010	-0.011	-0.008	-0.009	-0.009	-0.009
5P	10P	(0 1 -1)	0.068	0.076	0.078	0.066	0.066	0.102	0.089	0.087	0.085
	9P	(0 0 0)	0.021	0.030	0.032	0.018	0.018	0.062	0.046	0.044	0.042
	3Cd	(0 1 0)	0.154	0.148	0.150	0.164	0.164	0.148	0.146	0.144	0.145
	1Cd	(0 0 -1)	0.141	0.136	0.138	0.148	0.148	0.135	0.132	0.130	0.130
	6P	(0 1 0)	-0.066	-0.060	-0.059	-0.069	-0.069	-0.056	-0.066	-0.071	-0.069
	2Cd	(0 1 0)	-0.009	-0.008	-0.008	-0.009	-0.010	-0.007	-0.008	-0.008	-0.008
9P	6P	(0 1 0)	0.068	0.076	0.078	0.066	0.066	0.102	0.089	0.087	0.085
	5P	(0 0 0)	0.021	0.030	0.032	0.018	0.018	0.062	0.046	0.044	0.042
	1Cd	(0 0 0)	0.139	0.134	0.136	0.145	0.145	0.136	0.132	0.129	0.129
	2Cd	(0 0 0)	0.135	0.128	0.130	0.144	0.144	0.128	0.127	0.125	0.125
	10P	(0 1 0)	-0.069	-0.062	-0.062	-0.072	-0.072	-0.060	-0.070	-0.074	-0.072
	2Cd	(0 1 0)	-0.010	-0.008	-0.008	-0.010	-0.011	-0.008	-0.009	-0.009	-0.009

^{4d}Ref. [219].

4.3.2 Electronic Properties of ZnP_2

4.3.2.1 Band Structure and DOS

The energy band gap of beta modification of ZnP_2 (monoclinic) is nearly 1.33–1.37 eV, as reported by Hegyi *et al.* [34]. In the present work, the electronic band structure and the density of states of alpha modification of ZnP_2 are studied. The energy band gap (in eV) of the $\alpha\text{-ZnP}_2$ crystal under different functionals is shown in **Table 4.4**. It is clear that the energy band gap value is more than 2.3 eV under the B3LYP, B3PW, PBE0, and HSE06 schemes. The value of the energy band gap under the LDA scheme is 1.36 eV. The band structure of $\alpha\text{-ZnP}_2$ under PBE scheme is shown in **Fig. 4.3**. The value of the energy band gap under PBE functional is 1.54 eV. The energy band gap value of 1.54 eV corresponds to the IR region. It is evident from **Fig. 4.3** that Brillouin point *M* is the highest point of the valence band for the forbidden region. **Fig. 4.3** shows an indirect energy band gap of 1.54 eV for the alpha phase of ZnP_2 . Huang *et al.* reported an energy band gap of 1.48 eV for the alpha phase of ZnP_2 [88].

Table 4.4: Energy band gap (in eV) of $\alpha\text{-ZnP}_2$

PBEsol	PBE	PWGGA	LDA PZ	LDA VWN	B3LYP	B3PW	PBE0	HSE06	Exp. Work ^{4e}	Exp. Work ^{4f}	Other Work ^{4g}
1.41	1.54	1.55	1.36	1.36	2.84	2.72	2.98	2.33	2.14	1.65	1.48

^{4e}Ref. [77].

^{4f}Ref. [28, 228].

^{4g}Ref. [88].

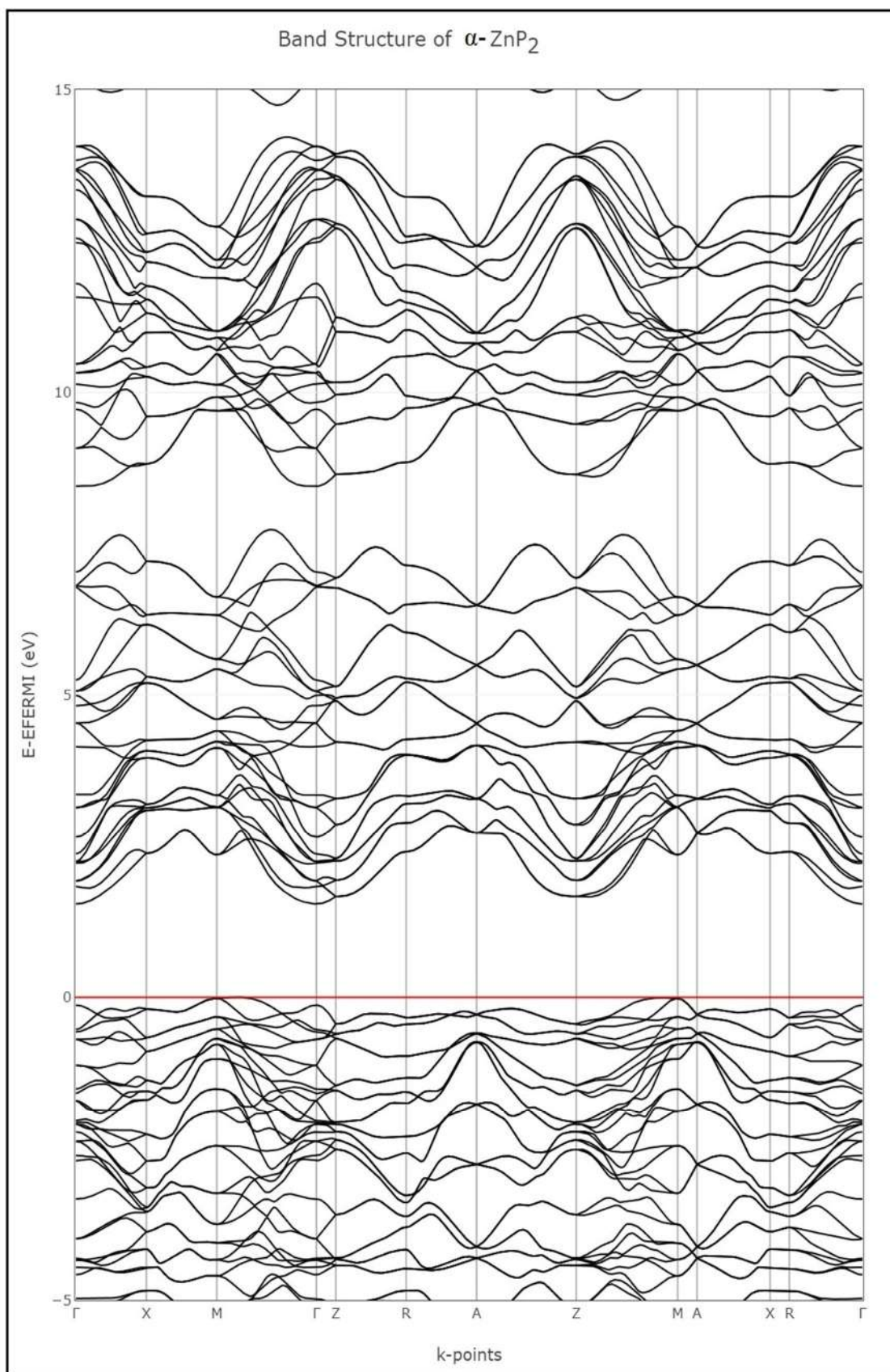


Figure 4.3: The band structure of α -ZnP₂ under the PBE scheme.

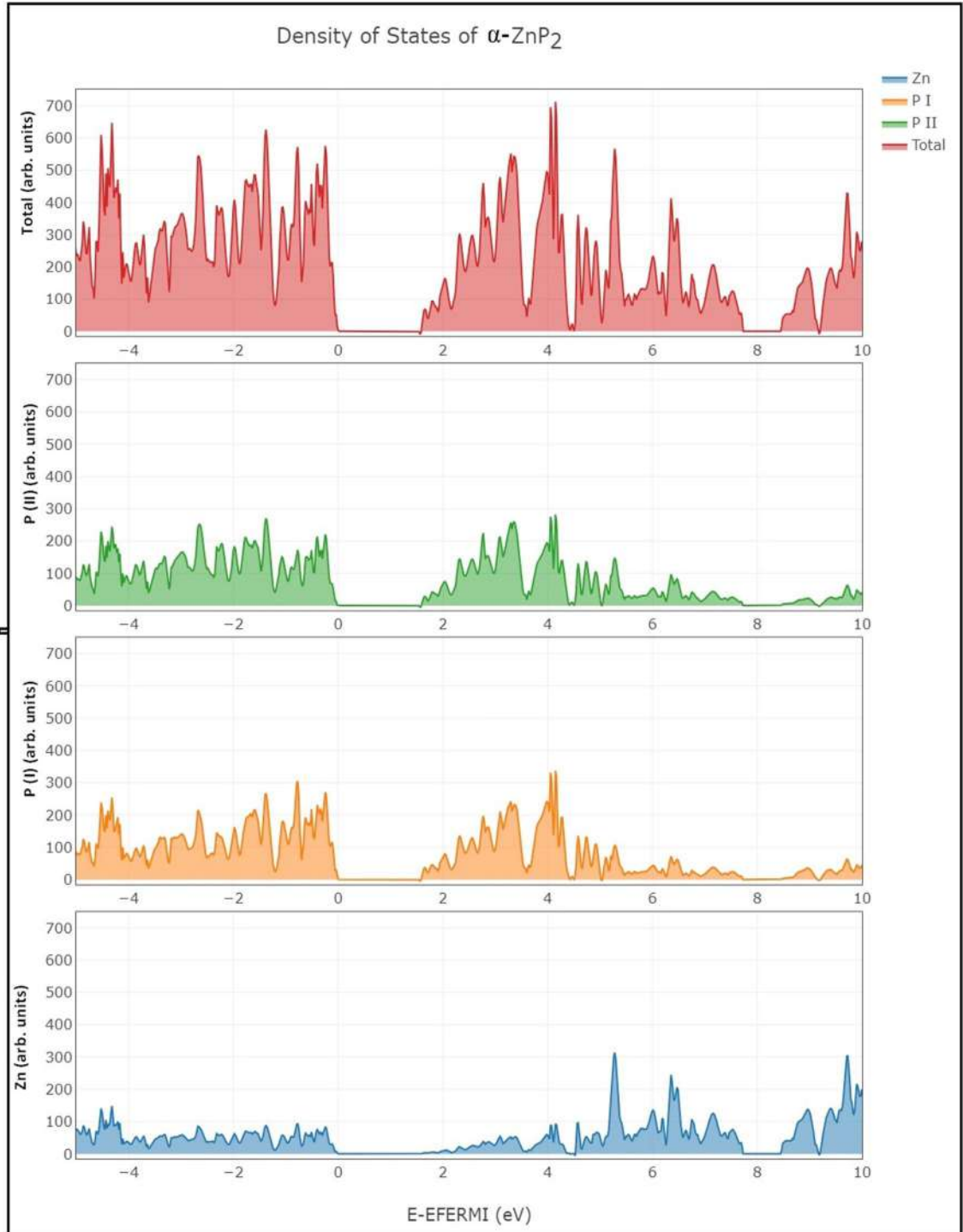


Figure 4.4: The density of states of α -ZnP₂ under the PBE scheme.

The total density of states and contribution of nonequivalent atoms [Zn, P(I) and P(II)] of α -ZnP₂ under the PBE scheme are shown in **Fig. 4.4**. Near the Fermi energy, each P(I) and P(II) atom contributes more to DOS in comparison with Zn atom.

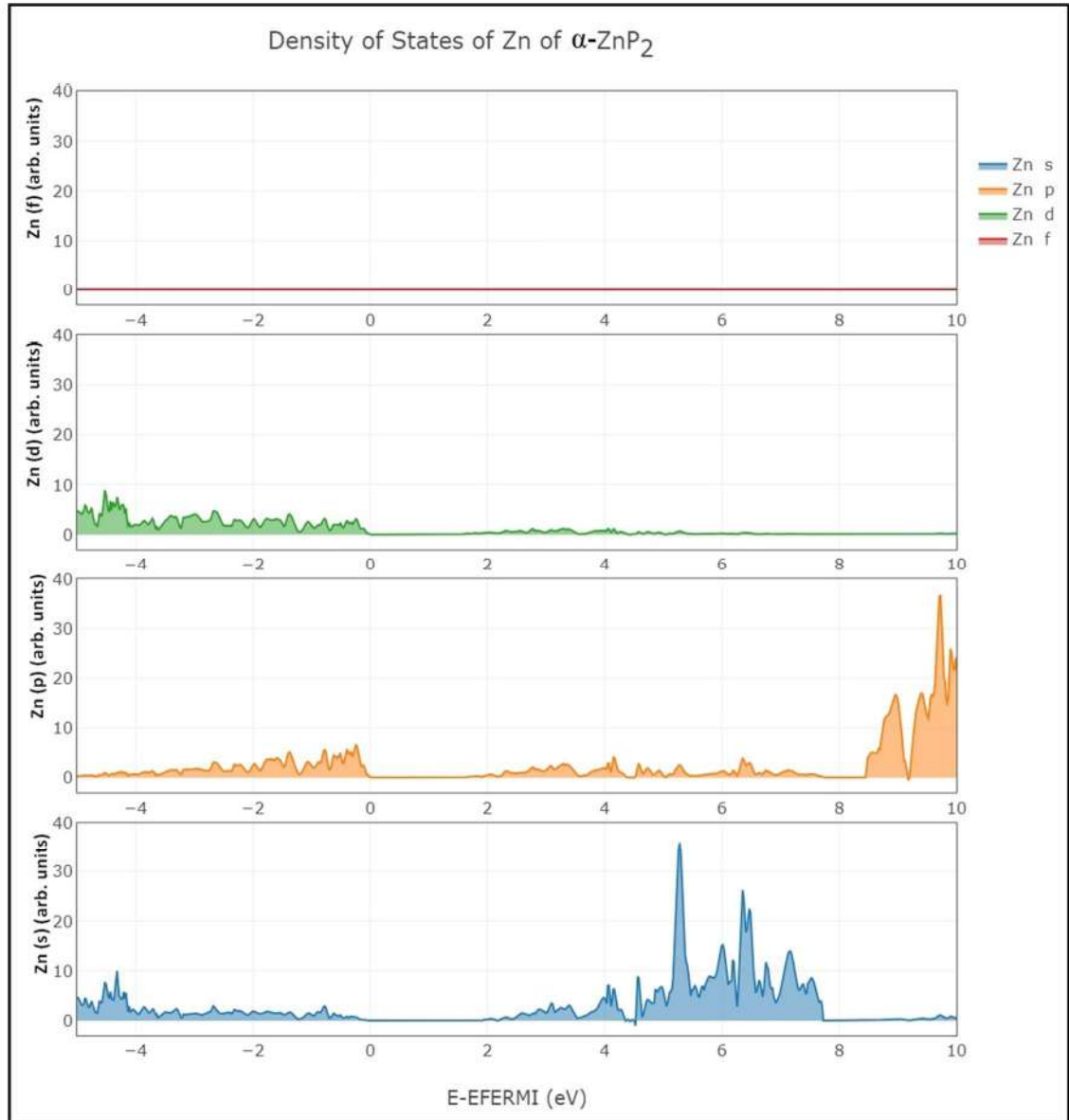


Figure 4.5: The density of states of the nonequivalent zinc atom of α -ZnP₂ under the PBE scheme.

Figure 4.5 illustrates the contribution of orbitals (s , p , d and f) of nonequivalent Zn atoms in the density of states. The contribution of f orbitals of Zn atom of α -ZnP₂ is minimal to the density of states. The contribution of s orbitals of Zn atom to the density of states is smaller than the individual contributions of p and d orbitals near Fermi energy.

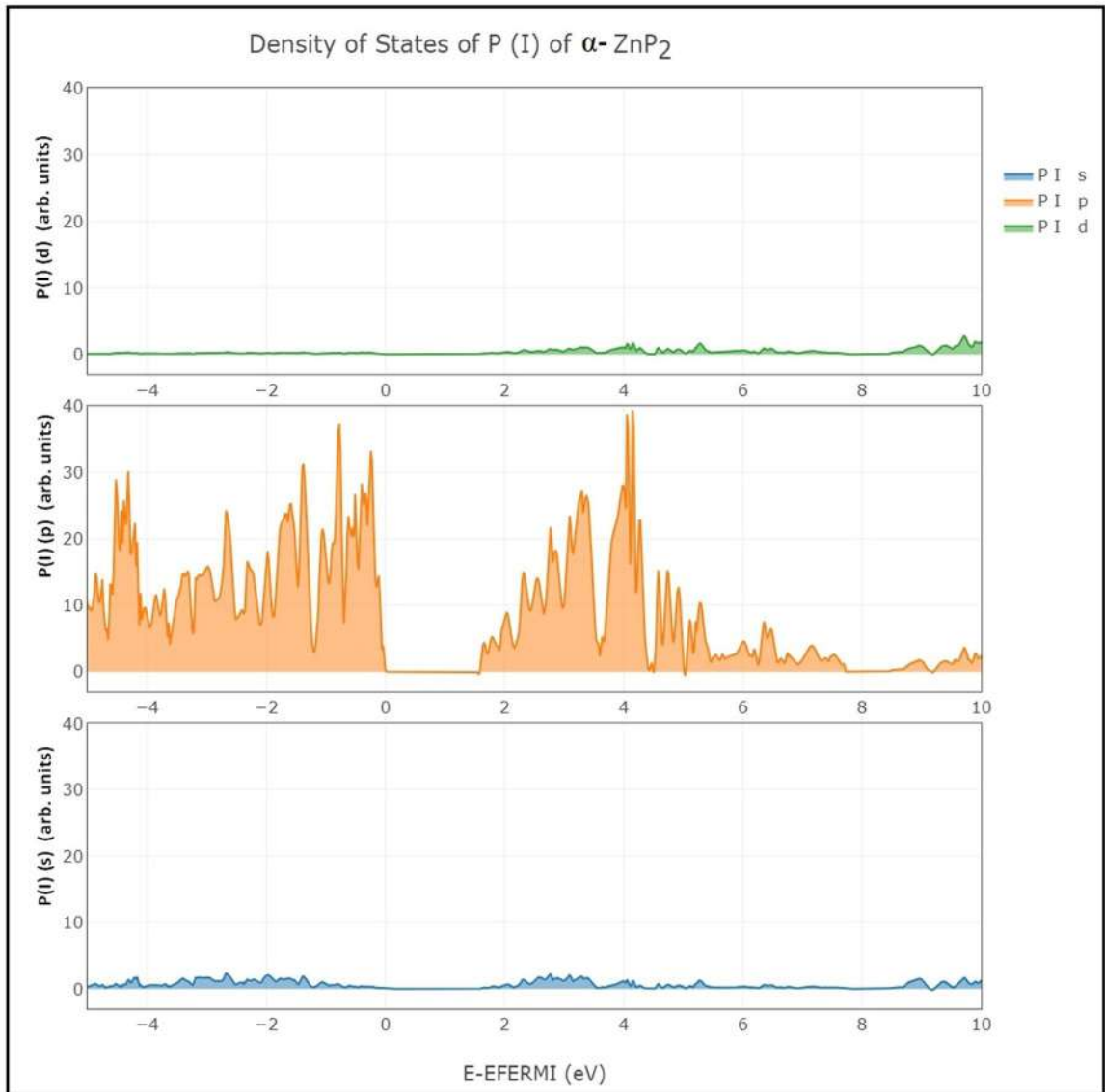


Figure 4.6: The density of states of the nonequivalent phosphorus atom [P(I)] of α -ZnP₂ under the PBE scheme.

The density of states of the nonequivalent P(I) atom of α -ZnP₂ under the PBE scheme is shown in **Fig. 4.6**. For the DOS, the contribution of *p* orbitals of P(I) atom of α -ZnP₂ is much higher than that of *s* and *d* orbitals. The contributions of *s* and *d* orbitals of P(I) atom of α -ZnP₂ are minimal to the density of states near the Fermi level.

Figure 4.7 shows the density of states of the nonequivalent P(II) atom of α -ZnP₂ under the PBE scheme. The contributions of *s* and *d* orbitals of P(II) atom of α -ZnP₂ are minimal to the density of states near the Fermi level. For the DOS, the

contribution of p orbitals of P(II) atom of α -ZnP₂ is much higher than that of other orbitals of P(II) atom.

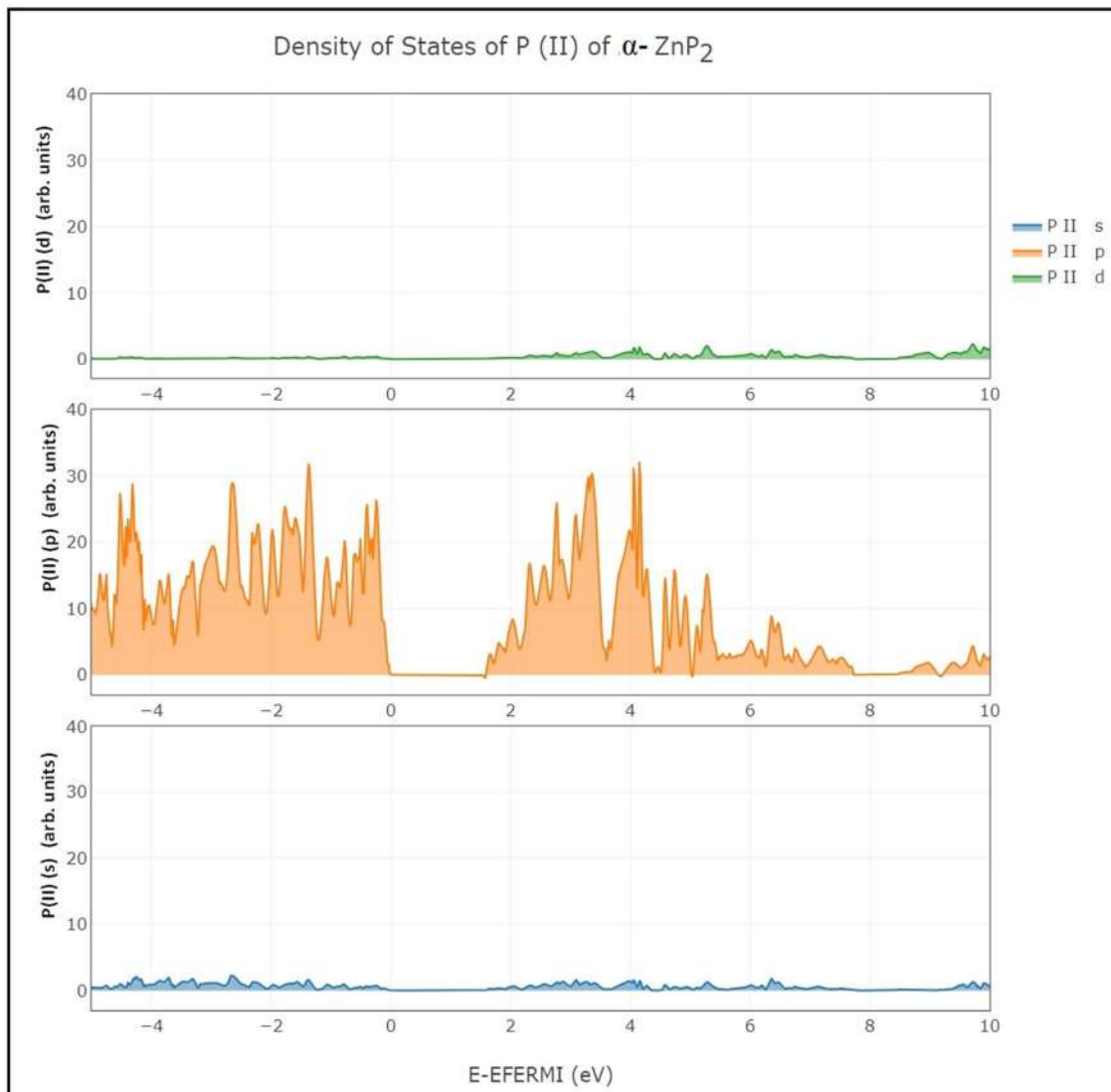


Figure 4.7: The density of states of the nonequivalent phosphorus atom [P(II)] of α -ZnP₂ under the PBE scheme.

4.3.2.2 Mulliken Population Analysis

Table 4.5 shows the charges of nonequivalent Zn and P atoms in the α -ZnP₂ crystal. The amount of charge transfer is relatively small under the LDA functional in comparison to other functionals, as mentioned in **Table 4.5**. For the functionals PBE0 and HSE06, the amount of charge transfer is relatively high in comparison to other functionals, as mentioned in **Table 4.5**. With PBE functional, the charge transfer of

nearly $1.07e$ takes place from Zn atom. Charge transfers of almost $0.52e$ and $0.54e$ take place from P(I) atom and P(II) atom, respectively.

Table 4.5: Charges (in terms of e) of nonequivalent Zn and P atoms of α -ZnP₂

Scheme	Charge Zn	Charge P (I)	Charge P (II)
PBEsol	28.957	15.510	15.533
PBE	28.932	15.524	15.544
PWGGA	28.945	15.517	15.538
LDA PZ	29.012	15.482	15.506
LDA VWN	29.013	15.482	15.506
B3LYP	28.924	15.530	15.546
B3PW	28.898	15.542	15.560
PBE0	28.874	15.554	15.572
HSE06	28.880	15.551	15.570

Table 4.6: Overlap population for the first six nearest neighbors in α -ZnP₂

Atom A	Atom B	Cell	Overlap Population AB								
			PBEsol	PBE	PWGGA	LDA PZ	LDA VWN	B3LYP	B3PW	PBE0	HSE06
1Zn	22P	(0 0 0)	0.180	0.171	0.173	0.188	0.188	0.164	0.166	0.164	0.164
	11P	(0 -1 0)	0.165	0.158	0.160	0.173	0.173	0.153	0.153	0.151	0.151
	9P	(0 0 0)	0.159	0.152	0.153	0.168	0.168	0.147	0.147	0.145	0.145
	17P	(0 -1 0)	0.166	0.158	0.160	0.174	0.174	0.150	0.152	0.151	0.151
	9P	(0 -1 0)	-0.008	-0.007	-0.007	-0.009	-0.009	-0.007	-0.007	-0.008	-0.007
	19P	(0 -1 0)	-0.009	-0.008	-0.008	-0.010	-0.010	-0.007	-0.008	-0.008	-0.008
9P	17P	(0 0 0)	0.028	0.038	0.040	0.028	0.028	0.073	0.060	0.059	0.057
	19P	(0 0 0)	-0.031	-0.015	-0.013	-0.034	-0.034	0.030	0.010	0.008	0.005
	3Zn	(0 0 0)	0.165	0.158	0.160	0.173	0.173	0.153	0.153	0.151	0.151
	1Zn	(0 0 0)	0.159	0.152	0.153	0.168	0.168	0.147	0.147	0.145	0.145
	11P	(0 0 0)	-0.073	-0.067	-0.066	-0.076	-0.076	-0.065	-0.074	-0.077	-0.076
	11P	(0 -1 0)	-0.032			-0.035	-0.035				
17P	1Zn	(0 1 0)		-0.007	-0.007			-0.007	-0.007	-0.008	-0.007
	9P	(0 0 0)	0.028	0.038	0.040	0.028	0.028	0.073	0.060	0.059	0.057
	11P	(-1 0 0)	-0.031	-0.015	-0.013	-0.034	-0.034	0.030	0.010	0.008	0.005
	6Zn	(0 0 0)	0.180	0.171	0.173	0.188	0.188	0.164	0.166	0.164	0.164
	1Zn	(0 1 0)	0.166	0.158	0.160	0.174	0.174	0.150	0.152	0.151	0.151
	19P	(0 0 0)	-0.058	-0.054	-0.053	-0.060	-0.060	-0.052	-0.060	-0.063	-0.062
	3Zn	(0 0 0)	-0.009	-0.008	-0.008	-0.010	-0.010	-0.007	-0.008	-0.008	-0.008

It is evident from **Table 4.6** that in most of the pairs, the magnitude of overlap population is higher under the LDA schemes than other functionals. Under the LDA scheme, the maximum value of the overlap population is found between pairs Zn-P, which is 0.188. Under the LDA scheme, the maximum value of the overlap population between pairs P-P is 0.028. Under the PBE scheme, the maximum values of the overlap populations between pairs Zn-P and pairs P-P are 0.171 and 0.038, respectively. In **Table 4.6**, the overlap population for atomic pair 9P-1Zn is not mentioned under PBEsol scheme, as 1Zn is not the first six nearest neighbors of 9P in α -ZnP₂. With different functionals, overlap populations are mentioned only for the pairs under the first six nearest neighbors in **Table 4.6**.

4.3.3 Electronic Properties of ZnAs₂

4.3.3.1 Band Structure and DOS

The energy band gap of the monoclinic ZnAs₂ crystal under different functionals is shown in **Table 4.7**. Under the PBE scheme, the energy band gap of ZnAs₂ is 0.82 eV. The functionals B3LYP and B3PW give band gaps of 1.79 eV and 1.83 eV, respectively. Under the PBE0 scheme, the energy band gap of ZnAs₂ is 2.10 eV. The computed value of the energy band gap is the minimum with the LDA functional, as seen in **Table 4.7**. **Fig. 4.8** shows the band structure of ZnAs₂ under the PBE scheme. For the electronic band structure of ZnAs₂, computations are carried out along high symmetry directions for the special points (namely *A*, *B*, *C*, *D*, *E*, *Y* and *Z*) in the Brillouin zone [124]. It is clear from **Fig. 4.8** that the Brillouin zone point *Y* is the uppermost point in the valence band region. Relative to the Fermi energy level, the electronic band structure is plotted from nearly -7 eV to 15 eV, as shown in **Fig. 4.8**.

Table 4.7: Energy band gap (in eV) of ZnAs₂

PBEsol ^{4h}	PBE	PWGGA	LDA PZ	LDA VWN	B3LYP	B3PW	PBE0	HSE06	Exp. ⁴ⁱ
~0.78	0.82	0.81	0.74	0.75	1.79	1.83	2.10	1.56	~1

^{4h}Ref. [223].

⁴ⁱRef. [50, 99].

Figure 4.9 shows the density of states of monoclinic ZnAs_2 under the PBE scheme. Relative to Fermi energy level, the density of states is plotted from about -5 eV to 10 eV as shown in **Fig. 4.9**. On the y-axis, arbitrary units are used for the density of states, but scaling is the same for all the atoms, which are mentioned in **Fig. 4.9**. It illustrates the contributions of nonequivalent atoms [Zn(I), Zn(II), As(I), As(II), As(III) and As(IV)] of ZnAs_2 . In the vicinity of Fermi energy, the contribution of each nonequivalent As atom is greater than that of each nonequivalent Zn atom. **Fig. 4.10** describes the density of states of the nonequivalent Zn(I) atom of ZnAs_2 under the PBE scheme. Near the Fermi energy, the contributions of p and d orbitals of Zn(I) atom are greater than those of s and f orbitals in **Fig. 4.10**. The contribution of the f orbitals of Zn(I) atom is negligible. On the y-axis, arbitrary units are used for the contribution of orbitals to the density of states, but scaling is the same for all the orbitals, which are mentioned in **Fig. 4.10**.

Fig. 4.11 illustrates the density of states of the nonequivalent Zn(II) atom of ZnAs_2 under the PBE scheme. Regarding the contributions of s , p , d and f orbitals of the nonequivalent Zn(II) atom, a nearly similar pattern of the nonequivalent Zn(I) atom is observed. The contribution of the f orbitals of Zn(II) atom of ZnAs_2 is also negligible.

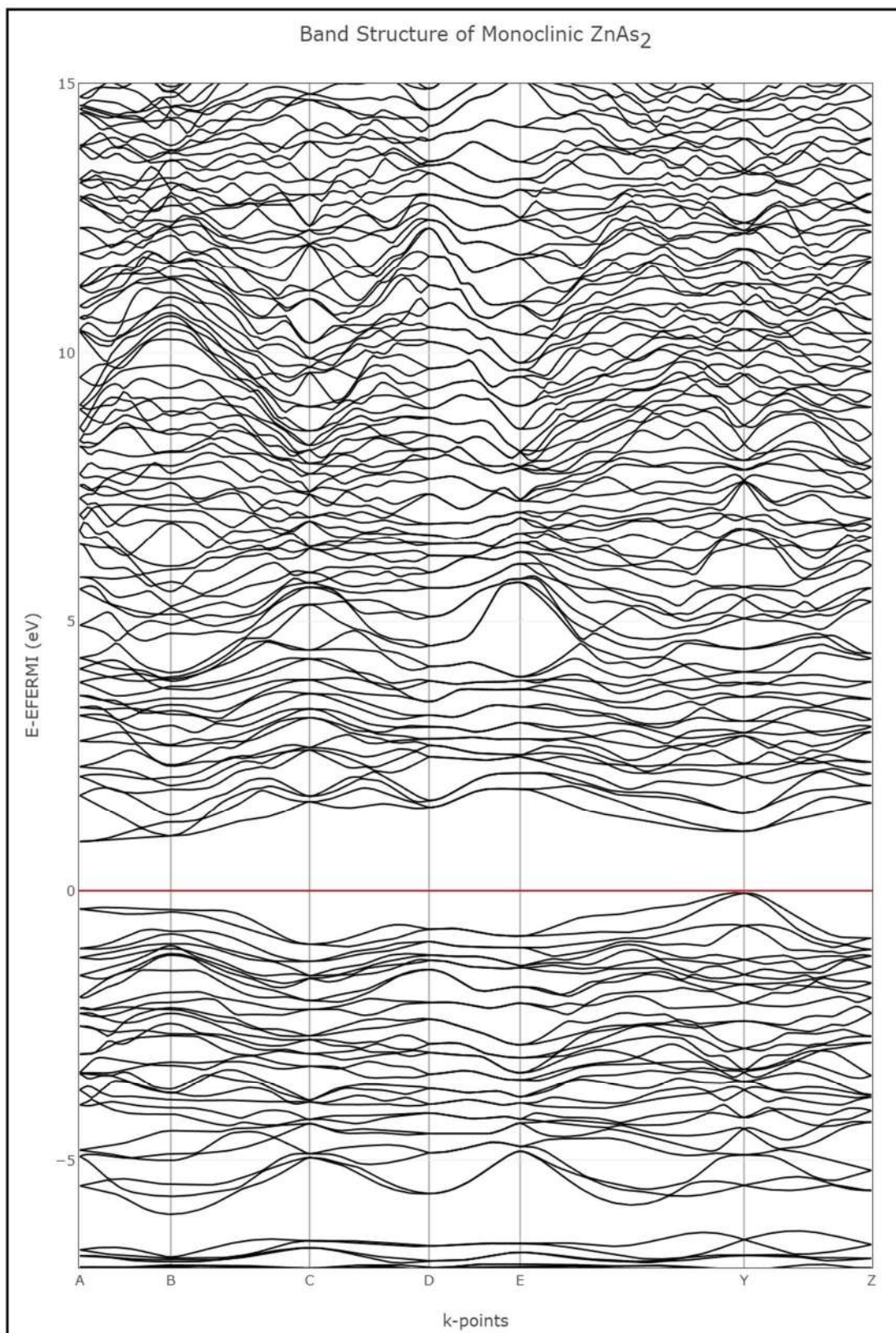


Figure 4.8: The band structure of ZnAs₂ under the PBE scheme.

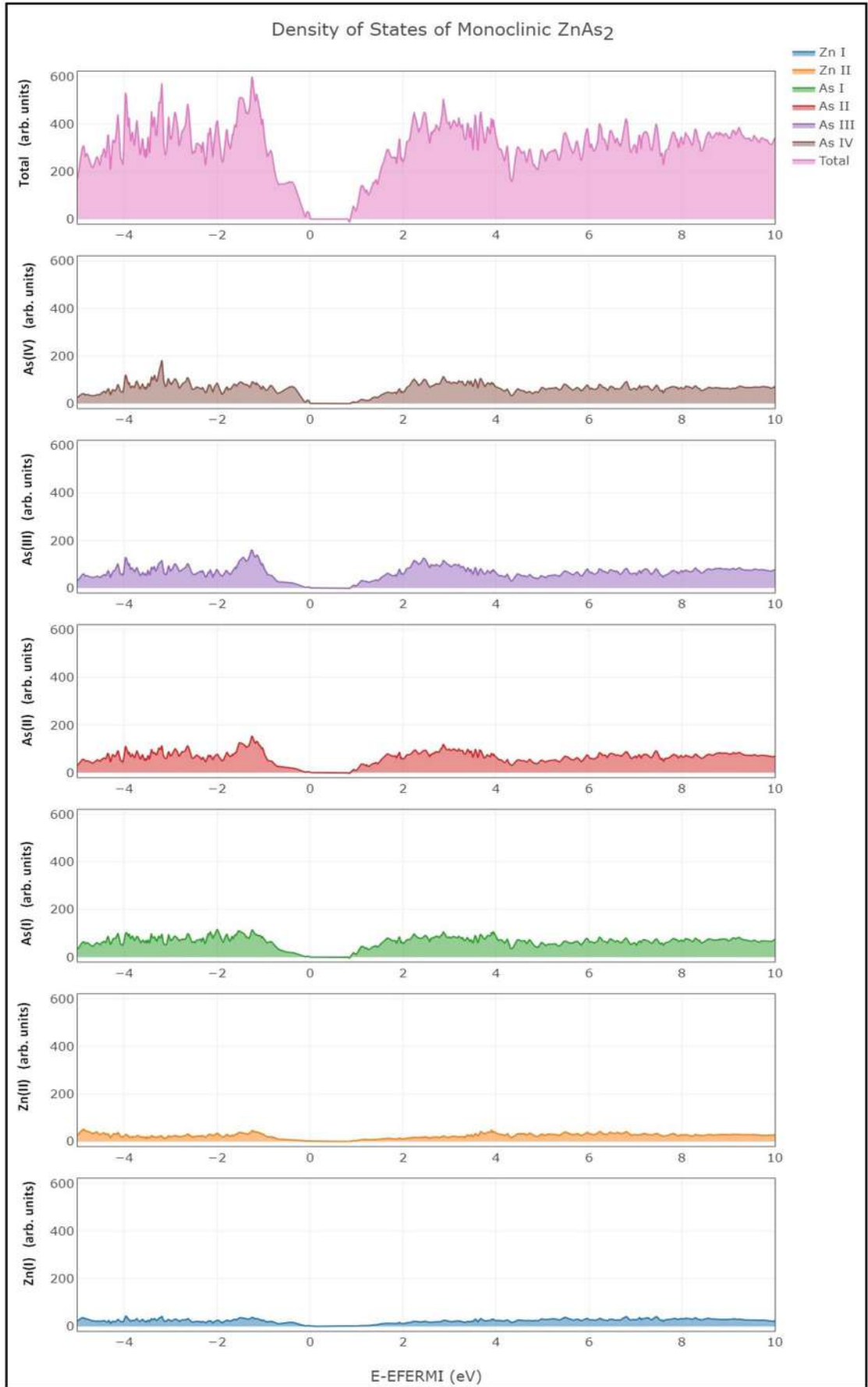


Figure 4.9: The density of states of ZnAs₂ under the PBE scheme.

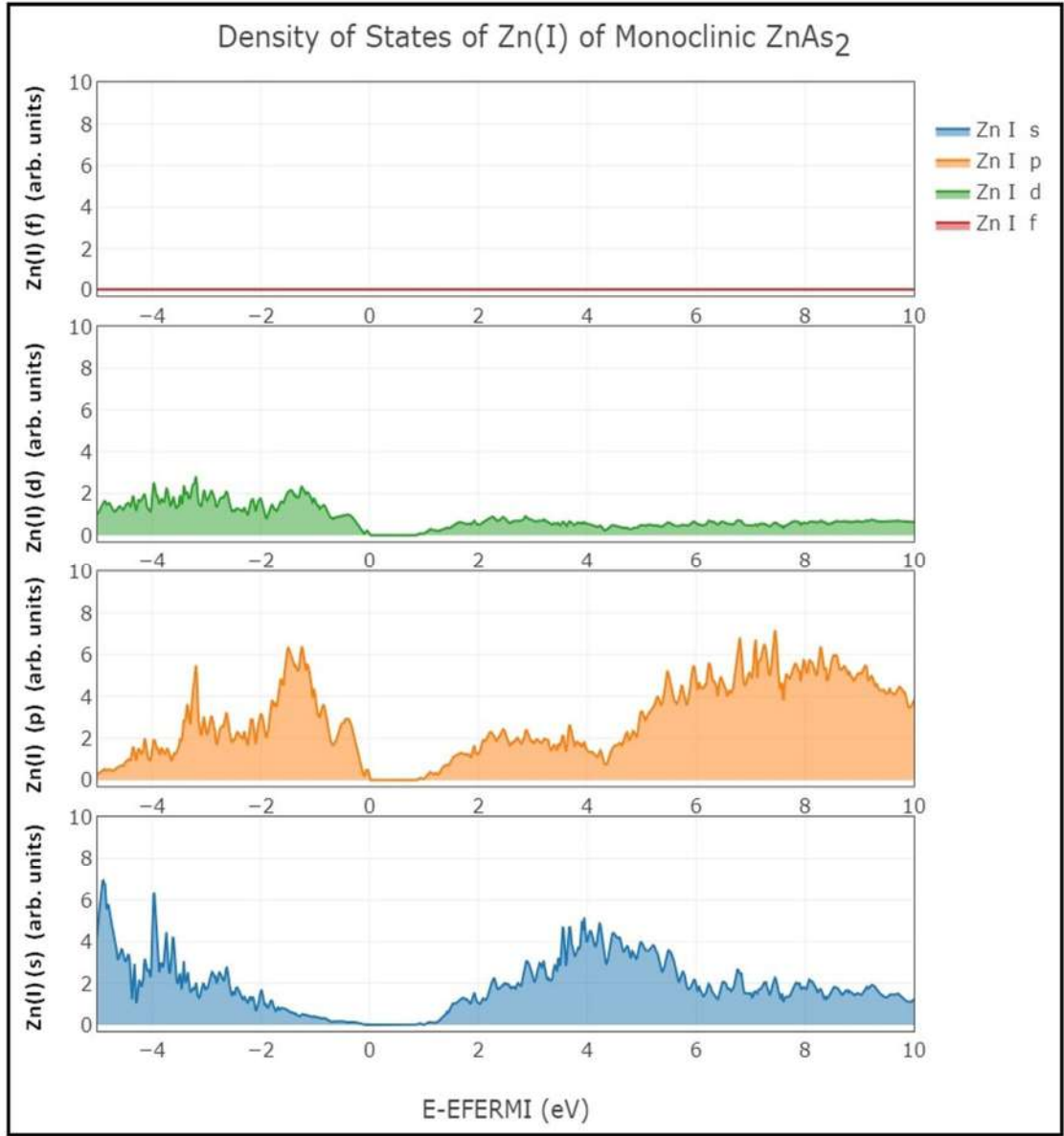


Figure 4.10: The density of states of the nonequivalent zinc atom [Zn(I)] of ZnAs_2 under the PBE scheme.

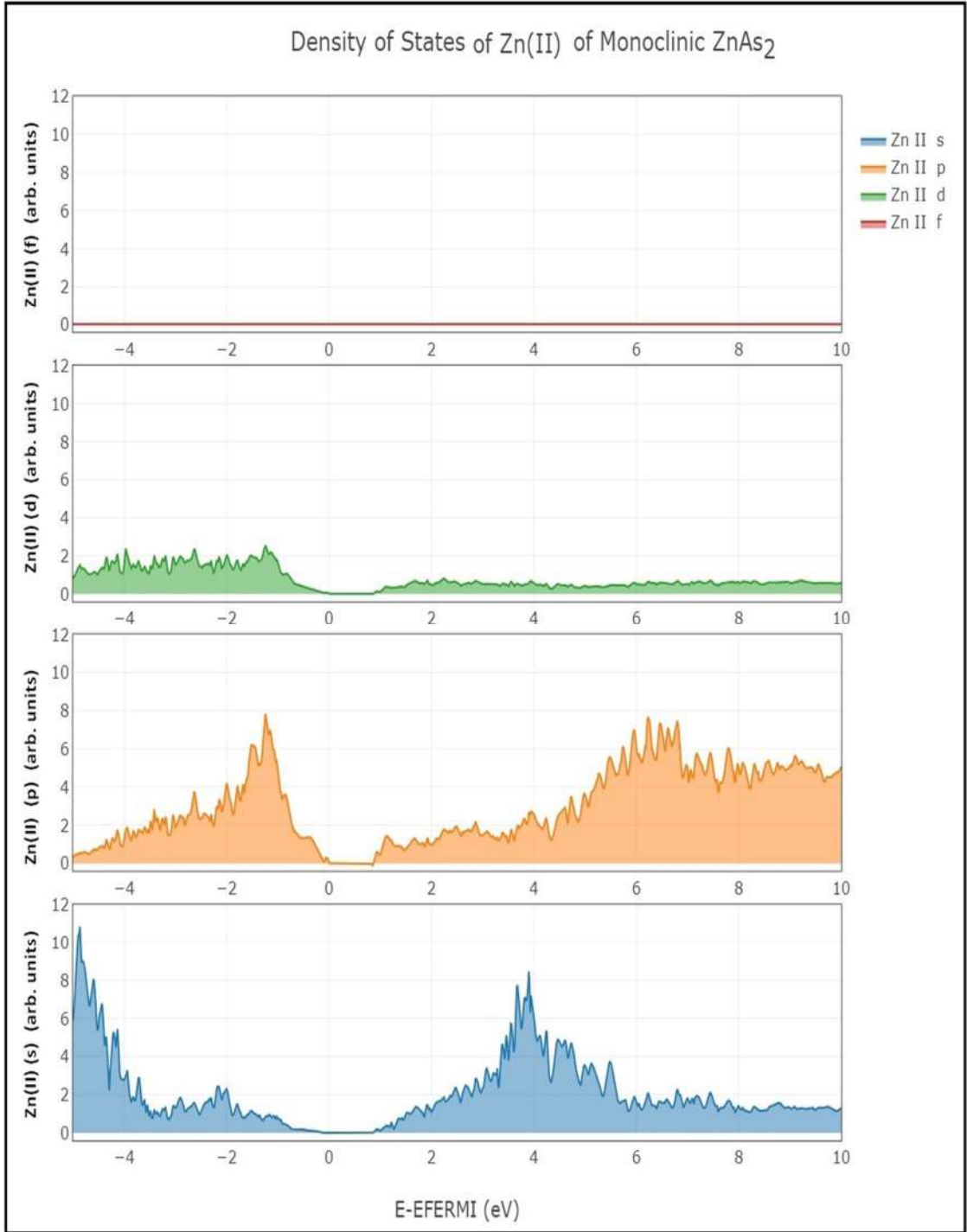


Figure 4.11: The density of states of the nonequivalent zinc atom [Zn(II)] of ZnAs₂ under the PBE scheme.

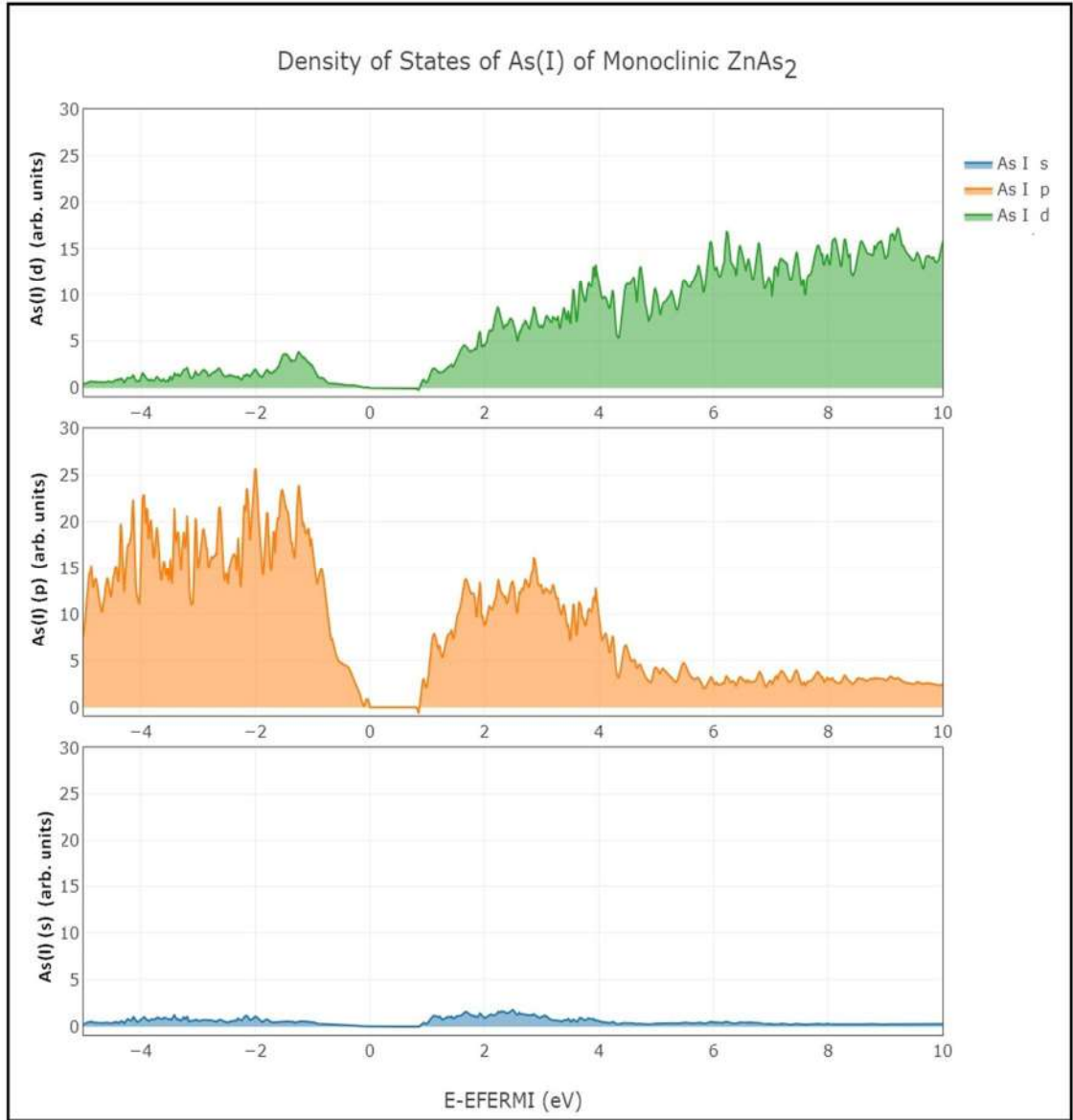


Figure 4.12: The density of states of the nonequivalent arsenic atom [As(I)] of ZnAs_2 under the PBE scheme.

Fig. 4.12 shows the density of states of the nonequivalent As(I) atom of ZnAs_2 under the PBE scheme. Near the Fermi energy, the contribution of p orbitals of As(I) atom is greater than those of s and d orbitals of As(I) atom in **Fig. 4.12**.

Fig. 4.13 illustrates the density of states of the nonequivalent As(II) atom of ZnAs_2 under the PBE scheme. The density of states of the nonequivalent As(III) and As(IV) atoms of ZnAs_2 under the PBE scheme are illustrated in **Fig. 4.14** and **Fig. 4.15**, respectively. The contribution of p orbitals of As(III) atom is greater than

those of s and d orbitals of As(III) in **Fig. 4.14** near the Fermi energy. Similarly, near the Fermi energy, the contribution of p orbitals of As(IV) atom is greater than that of s and d orbitals of As(IV) in **Fig. 4.15**.

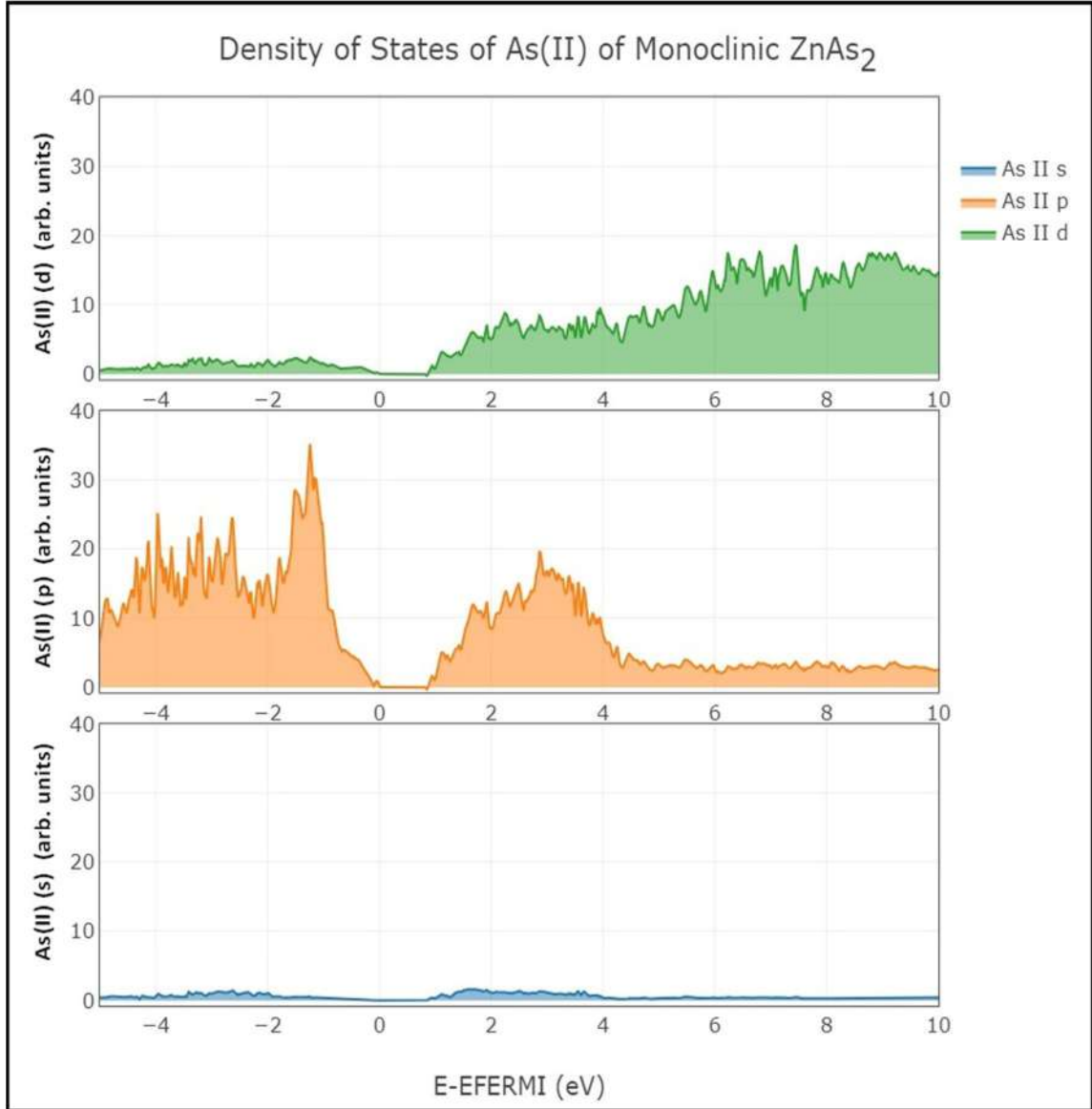


Figure 4.13: The density of states of the nonequivalent arsenic atom [As(II)] of ZnAs_2 under the PBE scheme.

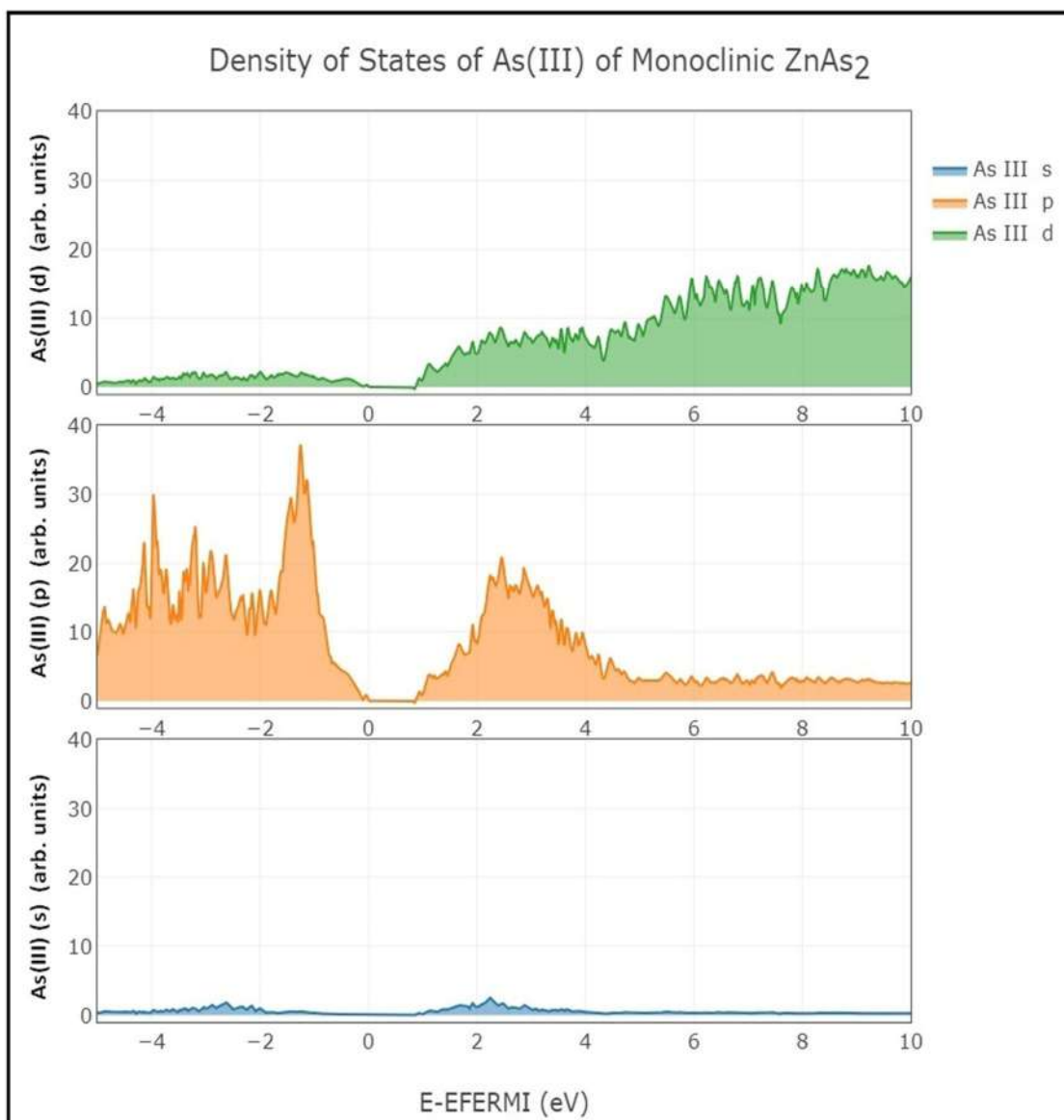


Figure 4.14: The density of states of the nonequivalent arsenic atom [As(III)] of ZnAs₂ under the PBE scheme.

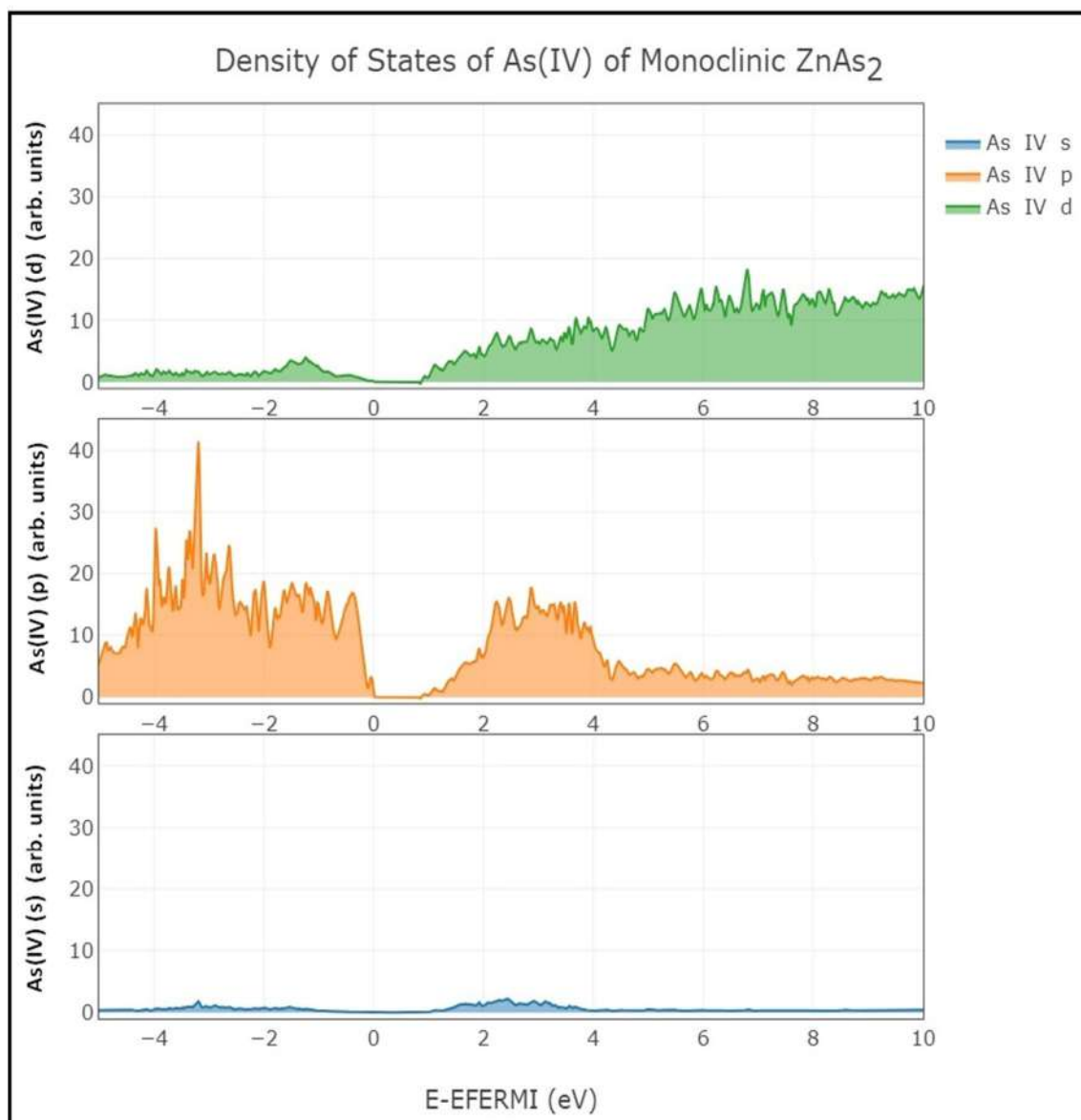


Figure 4.15: The density of states of the nonequivalent arsenic atom [As(IV)] of ZnAs_2 under the PBE scheme.

4.3.3.2 Mulliken Population Analysis

Table 4.8 shows charges of nonequivalent Zn and As atoms in the ZnAs_2 crystal. It is evident from **Table 4.8** that charge transfer in Zn(I) atom is a little more than in Zn(II) atom. Under the LDA scheme, the charge transfer in Zn(I) atom is about $0.9e$. The obtained charge transfer value is higher under the PBE0 scheme for ZnAs_2 . It is evident from **Table 4.8** that charge transfer in As(IV) atoms is little more than in other nonequivalent As atoms. Charge transfer values for As(I), As(II) and As(III) are

nearly $0.46e$, whereas charge transfer value for As(IV) is nearly $0.51e$ under the PBE scheme.

Table 4.8: Charges (in terms of e) of nonequivalent Zn and As atoms of ZnAs_2

Scheme	Charge Zn(I)	Charge Zn(II)	Charge As (I)	Charge As (II)	Charge As (III)	Charge As (IV)
PBEsol	29.050	29.072	33.461	33.453	33.459	33.504
PBE	29.042	29.065	33.467	33.457	33.461	33.507
PWGGA	29.054	29.077	33.461	33.452	33.456	33.501
LDA PZ	29.091	29.112	33.438	33.434	33.440	33.486
LDA VWN	29.092	29.113	33.438	33.434	33.439	33.486
B3LYP	29.041	29.065	33.463	33.459	33.463	33.509
B3PW	29.011	29.033	33.480	33.474	33.479	33.523
PBE0	28.986	29.009	33.492	33.485	33.491	33.537
HSE06	28.991	29.014	33.489	33.483	33.489	33.533

Table 4.9 describes the overlap population for the first six nearest neighbors in the ZnAs_2 crystal. Under the PBE scheme, the maximum value of overlap population for Zn-As pair in ZnAs_2 is 0.196. The maximum value of overlap population for As-As pair in ZnAs_2 is 0.276 under the PBE functional, as shown in **Table 4.9**. Negative values of overlap population between pairs Zn-As and As-As indicate antibonding states.

Table 4.9: Overlap population for the first six nearest neighbors in ZnAs₂

Atom A	Atom B	Cell	Overlap Population AB								
			PBEsol	PBE	PWGGA	LDA PZ	LDA VWN	B3LYP	B3PW	PBE0	HSE06
1Zn	22As	(0 0 0)	0.202	0.196	0.197	0.208	0.208	0.186	0.187	0.186	0.186
	23As	(0 0 1)	0.200	0.195	0.195	0.207	0.206	0.184	0.186	0.184	0.185
	17As	(0 -1 0)	0.186	0.180	0.180	0.193	0.192	0.170	0.173	0.172	0.173
	13As	(0 0 0)	0.184	0.177	0.178	0.191	0.190	0.167	0.170	0.169	0.170
	21As	(0 -1 0)	0.001	0.001	0.001	0.002	0.002	0.001	0.001	0.001	0.001
	21As	(0 0 0)	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
5Zn	10As	(1 0 0)	0.195	0.187	0.188	0.202	0.202	0.177	0.180	0.180	0.180
	9As	(0 0 0)	0.200	0.194	0.194	0.205	0.206	0.183	0.186	0.186	0.185
	13As	(0 0 0)	0.182	0.175	0.176	0.188	0.188	0.167	0.169	0.167	0.168
	20As	(0 0 0)	0.178	0.172	0.173	0.185	0.184	0.163	0.166	0.164	0.166
	24As	(0 0 0)	0.001	0.001	0.001	0.001	0.001	0.001	0.001	0.001	0.001
	21As	(0 0 0)	0.001	0.001	0.001	0.001	0.000	0.001	0.000	0.000	0.000
9As	6Zn	(1 1 0)	0.195	0.187	0.188	0.202	0.202	0.177	0.180	0.180	0.180
	5Zn	(0 0 0)	0.200	0.194	0.194	0.205	0.206	0.183	0.186	0.186	0.185
	16As	(0 0 0)	0.276	0.275	0.273	0.272	0.272	0.282	0.289	0.296	0.295
	17As	(0 0 0)	0.275	0.274	0.272	0.271	0.271	0.281	0.289	0.295	0.295
	21As	(0 0 0)	0.002	0.002	0.002	0.001	0.001	0.001	0.001	0.000	0.001
	24As	(0 0 0)	0.002	0.002	0.002	0.001	0.001	0.001	0.001	0.000	0.001
13As	1Zn	(0 0 0)	0.184	0.177	0.178	0.191	0.190	0.167	0.170	0.169	0.170
	21As	(0 0 0)	0.274	0.274	0.272	0.269	0.269	0.279	0.287	0.293	0.292
	5Zn	(0 0 0)	0.182	0.175	0.176	0.188	0.188	0.167	0.169	0.167	0.168
	12As	(0 0 1)	0.276	0.275	0.273	0.272	0.272	0.282	0.289	0.296	0.295
	17As	(0 0 0)	-0.005	-0.003	-0.003	-0.006	-0.006	-0.004	-0.005	-0.006	-0.005
	8Zn	(0 0 1)	-0.001	0.000	0.000	-0.001	-0.001	0.000	-0.001	-0.001	-0.001
17As	1Zn	(0 1 0)	0.186	0.180	0.180	0.193	0.192	0.170	0.173	0.172	0.173
	21As	(0 0 0)	0.276	0.276	0.274	0.271	0.271	0.282	0.289	0.295	0.295
	8Zn	(0 0 1)	0.178	0.172	0.173	0.185	0.184	0.163	0.166	0.164	0.166
	9As	(0 0 0)	0.275	0.274	0.272	0.271	0.271	0.281	0.289	0.295	0.295
	13As	(0 0 0)	-0.005	-0.003	-0.003	-0.006	-0.006	-0.004	-0.005	-0.006	-0.005
	5 Zn	(0 0 0)	0.000	0.000	0.000	-0.001	-0.001	0.000	-0.001	-0.001	-0.001
21As	2Zn	(0 0 0)	0.202	0.196	0.197	0.208	0.208	0.186	0.187	0.186	0.186
	3Zn	(0 0 1)	0.200	0.195	0.195	0.207	0.206	0.184	0.186	0.184	0.185
	17As	(0 0 0)	0.276	0.276	0.274	0.271	0.271	0.282	0.289	0.295	0.295
	13As	(0 0 0)	0.274	0.274	0.272	0.269	0.269	0.279	0.287	0.293	0.292
	8Zn	(0 0 1)	0.001	0.001	0.001	0.001	0.001	0.001	0.001	0.001	0.001
	5Zn	(0 0 0)	0.001	0.001	0.001	0.001	0.000	0.001	0.000	0.000	0.000

4.4 Conclusions

The value of the energy band gap of the alpha phase of CdP₂ is 1.79 eV under the PBE functional. The contribution to the density of states of each nonequivalent P(I) and P(II) atom is greater in comparison to Cd atom for the alpha phase of CdP₂. Typical

values of charge transfer for Cd, P(I) and P(II) are $1.055e$, $0.536e$ and $0.519e$, respectively, under the PBE scheme for α -CdP₂. The value of the energy band gap under the PBE scheme is 1.54 eV for α -ZnP₂. The value of the energy band gap of 1.54 eV corresponds to the IR region of the electromagnetic wave. In the vicinity of Fermi energy, each P(I) and P(II) atom contributes more to DOS in comparison with Zn atom in the α -ZnP₂. The contribution of p orbitals of P(I) atom of the alpha phase of ZnP₂ to the density of states is much higher than that of s and d orbitals of P(I) atom near the Fermi level. For the density of states, the contribution of the f orbitals of Zn atom of α -ZnP₂ is negligible. Near the Fermi energy, for the DOS, the contribution of s orbitals of Zn atom is smaller than the contributions of p and d orbitals of Zn atom in the α -ZnP₂. The contribution of the p orbitals of the P(II) atom of α -ZnP₂ is much greater than that of s and d orbitals of P(II) atom in the vicinity of the Fermi level. For α -ZnP₂, the charge transfer of approximately $1.07e$ takes place from Zn atom under the PBE scheme. From P(I) atom and P(II) atom of α -ZnP₂, charge transfers of approximately $0.52e$ and $0.54e$, respectively, take place under the PBE scheme. The maximum value of the overlap population between the Zn-P pair for α -ZnP₂ is 0.188 under the LDA functional.

The energy band gap of monoclinic ZnAs₂ is 0.82 eV under the PBE functional. For ZnAs₂, the contribution of each nonequivalent arsenic atom to DOS is greater than that of each nonequivalent zinc atom near Fermi energy. The contributions of p and d orbitals of Zn(I) atom are greater than that of s and f orbitals of Zn(I) atom in ZnAs₂. The contribution of p orbitals of As(I) atom is greater than that of s and d orbitals of As(I) atom in ZnAs₂ near the Fermi energy under the PBE functional. All As atoms of ZnAs₂ also follow nearly the same pattern for DOS in the vicinity of the Fermi level. For ZnAs₂, the charge transfer in Zn(I) atom is a little more than in Zn(II) atom. The charge transfer in Zn(I) atom in ZnAs₂ is about $0.9e$ under the LDA scheme. The charge transfer values for the nonequivalent As(I), As(II) and As(III) atoms are nearly $0.46e$, whereas the charge transfer value for the nonequivalent As(IV) atom is nearly $0.51e$ under the PBE functional for ZnAs₂. Under the PBE functional, the maximum value of the overlap population for As-As pair in ZnAs₂ is 0.275, whereas the maximum value of the overlap population for Zn-As pair in ZnAs₂ is 0.196.

CHAPTER 5

ELASTIC PROPERTIES OF CdP_2 , ZnP_2 AND ZnAs_2 COMPOUNDS

5.1 Introduction

The elastic stiffness coefficients illustrate the response of crystals to stress. A completely asymmetric crystal has 21 independent stiffness constants C_{ij} [16]. Elastic stiffness constants are useful for estimating the mechanical strength of the crystals. Density functional theory has the capability to predict the elastic behavior of crystals. The estimation of the directional elastic properties of crystals under different tensile stresses may play an important role in the device application. A correlation between volume change and applied uniform pressure may be made by means of the bulk modulus B . Reuss bulk modulus B_R and Voigt bulk modulus B_V may be represented as [184, 185, 186]

$$B_R = [S_{11} + S_{22} + S_{33} + 2S_{12} + 2S_{13} + 2S_{23}]^{-1} \quad (5.1)$$

where S_{ij} represents elastic compliance constants.

$$B_V = \frac{1}{9}[C_{11} + C_{22} + C_{33} + 2C_{12} + 2C_{13} + 2C_{23}] \quad (5.2)$$

Also, Reuss shear modulus G_R and Voigt shear modulus G_V may be expressed as [184, 185, 186]

$$G_R = 15[4(S_{11} + S_{22} + S_{33}) + 3(S_{44} + S_{55} + S_{66}) - 4(S_{12} + S_{13} + S_{23})]^{-1} \quad (5.3)$$

$$G_V = \frac{1}{15}[C_{11} + C_{22} + C_{33} - C_{12} - C_{13} - C_{23}] + \frac{1}{5}[C_{44} + C_{55} + C_{66}] \quad (5.4)$$

Macroscopic polycrystalline shear modulus G_H and bulk modulus B_H may be represented by Voigt-Reuss-Hill theory in the following manner [184, 185, 186]

$$G_H = \frac{1}{2}[G_R + G_V] \quad (5.5)$$

$$B_H = \frac{1}{2}[B_R + B_V] \quad (5.6)$$

Also, polycrystalline Poisson's ratio ν_H and Young's modulus E_H may be estimated as [184, 185, 186]

$$\nu_H = \frac{3B_H - 2G_H}{2(3B_H + G_H)} \quad (5.7)$$

$$E_H = \frac{9B_H G_H}{3B_H + G_H} \quad (5.8)$$

The mechanical elastic behavior of the material is correlated with various elastic quantities, such as bulk modulus, Young's modulus, Poisson's ratio and shear modulus. For design calculations for devices, directional shear modulus, Young's modulus and Poisson's ratio are the key parameters. The proper anisotropic description of elasticity for materials results in noteworthy advantages for the prediction of preferred orientations of crystals for their technological usages. Moreover, understanding the anisotropic behavior of materials assists in improving the durability of the devices, which helps in obtaining the desired electrical and physical properties. The first principle methods in computational materials science play a significant role in predicting the elastic anisotropy of solid materials. It is worthwhile to investigate the anisotropic properties of the materials for microelectromechanical engineering.

5.2 Methodology

Elastic properties of the alpha phase of CdP₂, the alpha phase of ZnP₂ and monoclinic ZnAs₂ are investigated with the CRYSTAL package (periodic *ab initio* HF and DFT code) [124, 140]. In the present investigation, computations are performed with the functionals PBE [187, 188], PBEsol [189, 190], PWGGA [191, 192, 193, 194, 195, 196], LDA PZ [197, 198], LDA VWN [197, 199], B3PW [191, 192, 193, 200, 201], B3LYP [199, 200, 202, 203], PBE0 [204, 205, 206, 207] and HSE06 [187, 188, 208, 209, 210, 211, 212, 213, 214, 215].

The computations of EOS [173] and elastic properties [171, 172, 173] are performed with the various functionals. Also, the BROYDEN accelerator scheme [124, 140, 154, 155] is implemented. The keyword ELASTCON is employed to analyze the elastic properties. The strain step for this work of elastic calculations is 0.01. The convergence threshold TOLDEE on energy is implemented at 10⁻⁸ Hartree. The ELATE program [181, 182] is also utilized for the determination of the maximum and minimum values of various elastic quantities. The dependence of bulk modulus

on pressure is also obtained using the keyword EOS [173]. To change the default values of truncation tolerances, the keyword TOLINTEG is used in the CRYSTAL CODE [124]. The study of the directional dependence of linear compressibility β , Poisson's ratio ν , shear modulus G and Young's modulus E is carried out by plotting polar graphs using the ELATE program [181, 182]. These graphs are plotted under the PBE scheme in this work.

5.3 Results and Discussions

5.3.1 Elastic Properties of CdP₂

5.3.1.1 Elastic Constants

Feng *et al.* [72] computed elastic constants (in GPa) for the beta phase of CdP₂, which are $C_{11}=92.6$, $C_{22}=92.6$, $C_{33}=102.2$, $C_{44}=36.2$, $C_{55}=36.2$, $C_{66}=41.1$, $C_{12}=33.2$, $C_{13}=32.3$, and $C_{23}=32.3$. Soshnikov *et al.* [229] reported the elastic compliance constants (in $10^{-11} \text{ m}^2/\text{N}$) of β -CdP₂, which are $S_{11}=2.327$, $S_{33}=2.288$, $S_{44}=3.81$, $S_{66}=3.295$, $S_{12}=-0.504$ and $S_{13}=-1.096$. For the beta phase of CdP₂, the values of the directional Young modulus (in GPa) are $E_{100}=42.97$ and $E_{101}=43.71$ [229]. The bulk modulus is 64.5 GPa and the shear modulus is 17.97 GPa for β -CdP₂ [229]. Poisson's ratios ν_{12} and ν_{13} are 0.217 and 0.479, respectively, for β -CdP₂ [229].

The orthorhombic crystal has 09 independent elastic constants C_{11} , C_{12} , C_{13} , C_{22} , C_{23} , C_{33} , C_{44} , C_{55} and C_{66} [16]. The necessary and sufficient elastic stability conditions for the orthorhombic crystal, as stated by Mouhat *et al.* [230], are expressed by the following three conditions:

$$C_{11} > 0, C_{44} > 0, C_{55} > 0, C_{66} > 0 \quad (5.9)$$

$$2C_{12}C_{13}C_{23} + C_{11}C_{22}C_{33} - C_{12}^2C_{33} - C_{13}^2C_{22} - C_{23}^2C_{11} > 0 \quad (5.10)$$

$$C_{12}^2 < C_{11}C_{22} \quad (5.11)$$

The computed elastic constants C_{11} , C_{12} , C_{13} , C_{22} , C_{23} , C_{33} , C_{44} , C_{55} and C_{66} under various schemes are shown in **Table 5.1**. In the present study, the obtained elastic

stiffness constants of α -CdP₂ (shown in **Table 5.1**) satisfy the mentioned elastic stability conditions. Hence, it asserts the mechanical stability of the α -CdP₂ crystal. The computed value of the elastic constant C_{11} is the greatest and C_{55} is the least among the coefficients C_{11} , C_{12} , C_{13} , C_{22} , C_{23} , C_{33} , C_{44} , C_{55} and C_{66} . The values of C_{13} and C_{23} are nearly equal. It is evident from **Table 5.1** that the LDA functional gives relatively higher values for C_{11} , C_{12} , C_{13} , C_{22} , C_{23} and C_{33} . In the present work, **Table 5.1** shows that $C_{11} > C_{22} > C_{33} > C_{12}$ and $C_{44} > C_{66} > C_{55}$.

Table 5.1: Elastic constants C_{ij} (in GPa) of α -CdP₂ at zero pressure

Scheme	C_{11}	C_{12}	C_{13}	C_{22}	C_{23}	C_{33}	C_{44}	C_{55}	C_{66}
PBEsol ^{5a}	105.16	50.35	43.41	86.52	41.86	74.23	33.42	24.33	27.48
PBE	95.41	43.00	36.37	81.15	36.27	69.15	32.74	23.92	27.21
PWGGA	95.63	42.81	36.51	82.12	36.28	69.58	31.98	23.13	26.98
LDA PZ	116.70	57.75	49.35	92.80	46.98	81.87	34.70	25.44	28.98
LDA VWN	116.75	57.43	49.31	92.86	46.87	82.11	34.79	25.60	28.99
B3LYP	96.58	40.13	35.08	86.08	35.63	76.68	36.26	26.26	29.04
B3PW	103.81	45.01	38.91	88.59	38.23	76.48	35.51	25.90	29.86
PBE0	107.59	47.61	41.43	90.36	40.35	78.18	35.45	26.04	30.19
HSE06	106.40	47.24	40.97	89.29	39.89	77.22	35.01	25.65	29.66
Other Work ^{5b}	101.3	31.1	37.7	91.4	32.5	87.3	37.4	28.2	19.0

^{5a}Ref. [219].

^{5b}Ref. [72].

Elastic compliance constants S_{11} , S_{12} , S_{13} , S_{22} , S_{23} , S_{33} , S_{44} , S_{55} and S_{66} of α -CdP₂ at zero pressure are shown in **Table 5.2**. The values of elastic coefficients S_{12} , S_{13} and S_{23} are negative. It is obvious from **Table 5.2** that the elastic compliance constants S_{ij} of α -CdP₂ at zero pressure follow the relation $S_{55} > S_{66} > S_{44} > S_{33} > S_{22} > S_{11}$. Elastic compliance constant S_{23} has the most negative value among elastic compliance constants S_{12} , S_{13} and S_{23} .

Table 5.2: Elastic compliance constants S_{ij} [in (TPa)⁻¹] of α -CdP₂ at zero pressure

Scheme	S_{11}	S_{12}	S_{13}	S_{22}	S_{23}	S_{33}	S_{44}	S_{55}	S_{66}
PBEsol ^{5c}	14.46	-5.96	-5.11	18.34	-6.86	20.33	29.92	41.10	36.38
PBE	14.91	-5.74	-4.83	18.31	-6.58	20.46	30.54	41.82	36.76
PWGGA	14.81	-5.57	-4.87	17.92	-6.42	20.27	31.27	43.23	37.06
LDA PZ	13.55	-6.06	-4.69	17.90	-6.62	18.84	28.82	39.31	34.51
LDA VWN	13.48	-5.97	-4.69	17.77	-6.56	18.74	28.74	39.07	34.50
B3LYP	13.82	-4.74	-4.12	16.01	-5.27	17.38	27.58	38.09	34.43
B3PW	13.40	-4.93	-4.35	16.21	-5.59	18.09	28.16	38.61	33.49
PBE0	13.20	-4.98	-4.43	16.26	-5.75	18.11	28.21	38.40	33.13
HSE06	13.38	-5.08	-4.47	16.49	-5.83	18.33	28.57	38.99	33.71

^{5c}Using Ref. [219].

Table 5.3: Young's modulus E (in GPa), bulk modulus B (in GPa) and shear modulus G (in GPa) of α -CdP₂ at zero pressure

Scheme	B_V	B_R	B_H	G_V	G_R	G_H	E_V	E_R	E_H
PBEsol ^{5d}	59.68	57.81	58.75	25.73	24.74	25.23	67.50	64.94	66.22
PBE	53.00	51.65	52.32	25.44	24.56	25.00	65.80	63.61	64.71
PWGGA	53.17	51.85	52.51	25.20	24.43	24.81	65.29	63.33	64.31
LDA PZ	66.62	64.30	65.46	26.98	25.93	26.45	71.30	68.57	69.93
LDA VWN	66.55	64.28	65.42	27.08	26.05	26.57	71.54	68.85	70.20
B3LYP	53.45	52.78	53.11	28.21	27.49	27.85	71.97	70.28	71.12
B3PW	57.02	55.74	56.38	28.04	27.22	27.63	72.27	70.23	71.25
PBE0	59.43	57.97	58.70	28.12	27.27	27.69	72.86	70.71	71.79
HSE06	58.79	57.32	58.06	27.72	26.88	27.30	71.86	69.73	70.80

^{5d}Using Ref. [219].

Various elastic quantities Young's modulus E , bulk modulus B and shear modulus G of α -CdP₂ are computed by means of the ELATE program [181, 182] using computed elastic constants C_{ij} . These elastic quantities are shown in **Table 5.3**.

It is observed that $E_H > B_H > G_H$. It is evident from **Table 5.3** that the LDA functional gives relatively higher values for B_H . The values of E_H and G_H obtained with the schemes B3LYP, B3PW, PBE0, HSE06 are relatively higher in comparison with the respective values of E_H and G_H obtained with the schemes PBEsol, PBE, PWGGA, LDA PZ and LDA VWN.

Poisson's ratios (ν_V , ν_R and ν_H) of α -CdP₂ at zero pressure are computed by means of the ELATE program [181, 182] using obtained elastic constants. These values of Poisson's ratio under different schemes are shown in **Table 5.4**. It is evident from **Table 5.4** that the LDA functional gives relatively higher values for Poisson's ratio ν . **Table 5.4** shows that the obtained values of Poisson's ratios are around 0.3. Thus, computed values of Poisson's ratios lie in the theoretically predicted range [137] for materials.

Table 5.4: Poisson's ratio ν (unitless) of α -CdP₂ at zero pressure

Scheme	ν_V	ν_R	ν_H
PBEsol ^{5e}	0.312	0.313	0.312
PBE	0.293	0.295	0.294
PWGGA	0.295	0.296	0.296
LDA PZ	0.322	0.322	0.322
LDA VWN	0.321	0.321	0.321
B3LYP	0.276	0.278	0.277
B3PW	0.289	0.290	0.289
PBE0	0.296	0.297	0.296
HSE06	0.296	0.297	0.297

^{5e}Using Ref. [219].

The brittleness and malleability properties of polycrystalline substances may be correlated with the ratio of bulk modulus B to shear modulus G [231]. The malleable nature of a polycrystalline substance is likely to be predicted for a ratio B/G greater than tentatively 1.75 [231]. **Table 5.3** shows that the value of B_H/G_H is about 2.1–2.2. Hence, it predicts the malleable nature of the alpha phase of CdP₂.

Using the keyword EOS, variation of bulk modulus with pressure is obtained with the PBE functional under the Vinet [124, 130, 131] scheme. The variation of bulk

modulus with pressure is plotted in **Fig. 5.1**. The variation of bulk modulus with pressure is nearly linear in the given range of pressure, as depicted in **Fig. 5.1**. To our best knowledge, there is no other theoretically or experimentally reported bulk modulus data for α -CdP₂ at higher pressures for comparison with our investigation.

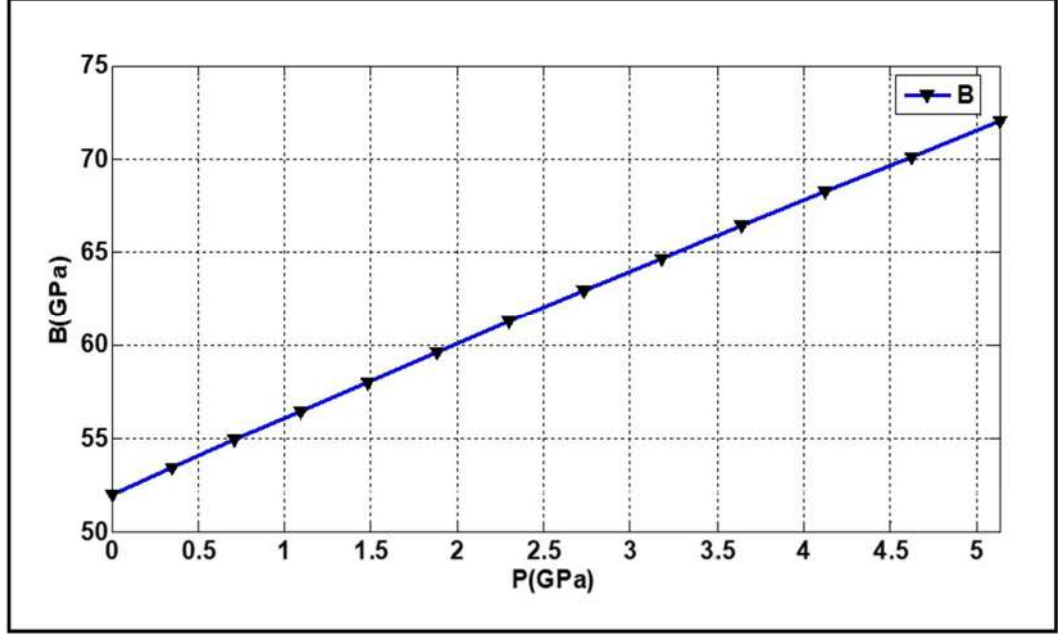


Figure 5.1: Computed bulk modulus B of α -CdP₂ as a function of applied pressure P .

5.3.1.2 Elastic Anisotropy

In terms of elastic compliance constants S_{ij} , Young's modulus E along the unit vector l_i for the orthorhombic crystal may be represented as [16]

$$E = \left[l_1^4 S_{11} + 2l_1^2 l_2^2 S_{12} + 2l_2^2 l_3^2 S_{23} + 2l_1^2 l_3^2 S_{13} + l_2^4 S_{22} + l_3^4 S_{33} + l_1^2 l_3^2 S_{55} + l_2^2 l_3^2 S_{44} + l_1^2 l_2^2 S_{66} \right]^{-1} \quad (5.12)$$

where the direction cosines are denoted by l_1 , l_2 and l_3 .

The directional linear compressibility β along the unit vector l_i for orthorhombic crystal may be represented as [16]

$$\beta = (S_{11} + S_{12} + S_{13})l_1^2 + (S_{12} + S_{23} + S_{22})l_2^2 + (S_{13} + S_{33} + S_{23})l_3^2 \quad (5.13)$$

The elastic anisotropy may be represented in various ways. The degree of elastic anisotropy may be introduced by the following expression [232, 233]

$$A_G = \frac{G_V - G_R}{G_V + G_R} \quad (5.14)$$

The value of A_G is zero in the case of elastic-isotropic materials. For defining the degree of elastic anisotropy for all crystalline symmetry, Ranganathan *et al.* [234] introduced the term universal elastic anisotropy index A^U as

$$A^U = \frac{B_V}{B_R} + 5 \frac{G_V}{G_R} - 6 \quad (5.15)$$

Elastic isotropic materials have a value of zero for index A^U [234]. Values of A^U greater than zero reflect the degree of elastic anisotropy in materials. Minimum and maximum values of linear compressibility (β_{\min} and β_{\max}), Poisson's ratio (ν_{\min} and ν_{\max}), shear modulus (G_{\min} and G_{\max}) and Young's modulus (E_{\min} and E_{\max}) of the α -CdP₂ crystal are shown in **Table 5.5**. These elastic quantities are calculated by means of the ELATE program [181, 182] using computed values of the α -CdP₂ crystal.

From **Table 5.6**, it is evident that the percentage variation of Poisson's ratio relative to its minimum value is higher among the corresponding percentage variations of Young's modulus, linear compressibility, shear modulus and Poisson's ratio for α -CdP₂. It is also clear that almost all the anisotropy indices shown in **Table 5.6** have relatively high values under the PBEsol and LDA schemes. Under the B3LYP scheme, almost all the mentioned anisotropy indices have relatively low values.

Polar graphs for the directional-dependent Young's modulus of α -CdP₂ at zero pressure under the PBE scheme are depicted in **Fig. 5.2** and **Fig. 5.3** using the ELATE program [181, 182].

Table 5.5: Minimum and maximum values of linear compressibility β [in (TPa)⁻¹], Poisson's ratio ν (unitless), shear modulus G (in GPa) and Young's modulus E (in GPa) of α -CdP₂

Scheme	G_{\min}	G_{\max}	E_{\min}	E_{\max}	β_{\min}	β_{\max}	ν_{\min}	ν_{\max}
PBEsol ^{5f}	19.09	33.42	49.20	73.14	3.41	8.36	0.091	0.418
PBE	19.26	32.74	48.89	71.53	4.34	9.04	0.088	0.391
PWGGA	19.59	31.98	49.32	71.00	4.37	8.99	0.104	0.387
LDA PZ	20.01	34.70	53.07	77.28	2.80	7.53	0.102	0.447
LDA VWN	20.15	34.79	53.36	77.37	2.82	7.49	0.103	0.443
B3LYP	22.77	36.26	57.55	79.51	4.96	7.98	0.094	0.359
B3PW	21.99	35.51	55.30	78.33	4.12	8.14	0.099	0.376
PBE0	21.80	35.45	55.23	78.62	3.80	7.93	0.105	0.387
HSE06	21.52	35.01	54.54	77.60	3.82	8.04	0.104	0.386

^{5f}Ref. [219].

Table 5.6: Elastic anisotropy parameters: ratio of maximum to minimum values of Young's modulus E , linear compressibility β , shear modulus G and Poisson's ratio ν for α -CdP₂. Elastic anisotropy parameters A_G and A^U for α -CdP₂

Scheme	Anisotropy					
	$\frac{E_{\max}}{E_{\min}}$	$\frac{\beta_{\max}}{\beta_{\min}}$	$\frac{G_{\max}}{G_{\min}}$	$\frac{\nu_{\max}}{\nu_{\min}}$	A_G	A^U
PBEsol ^{5g}	1.49	2.45	1.75	4.59	0.020	0.234
PBE	1.46	2.08	1.70	4.44	0.018	0.205
PWGGA	1.44	2.06	1.63	3.70	0.016	0.184
LDA PZ	1.46	2.69	1.73	4.40	0.020	0.238
LDA VWN	1.45	2.65	1.73	4.32	0.019	0.233
B3LYP	1.38	1.61	1.59	3.83	0.013	0.143
B3PW	1.42	1.98	1.62	3.82	0.015	0.173
PBE0	1.42	2.09	1.63	3.70	0.015	0.181
HSE06	1.42	2.10	1.63	3.70	0.015	0.182

^{5g}Using Ref. [219].

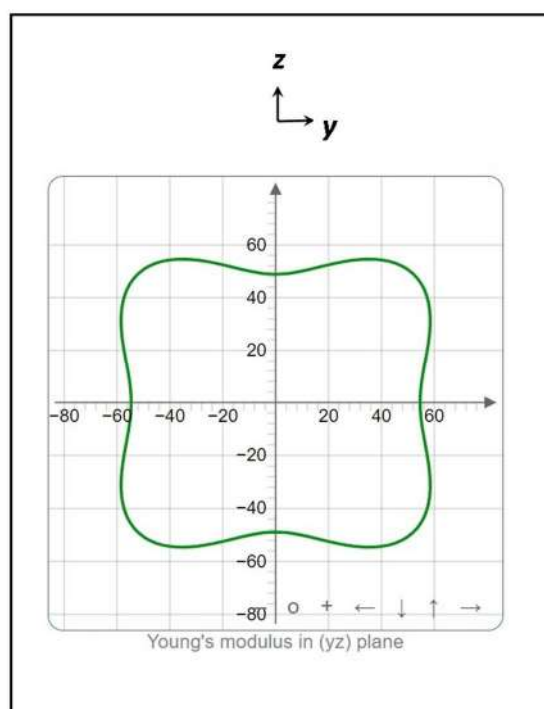
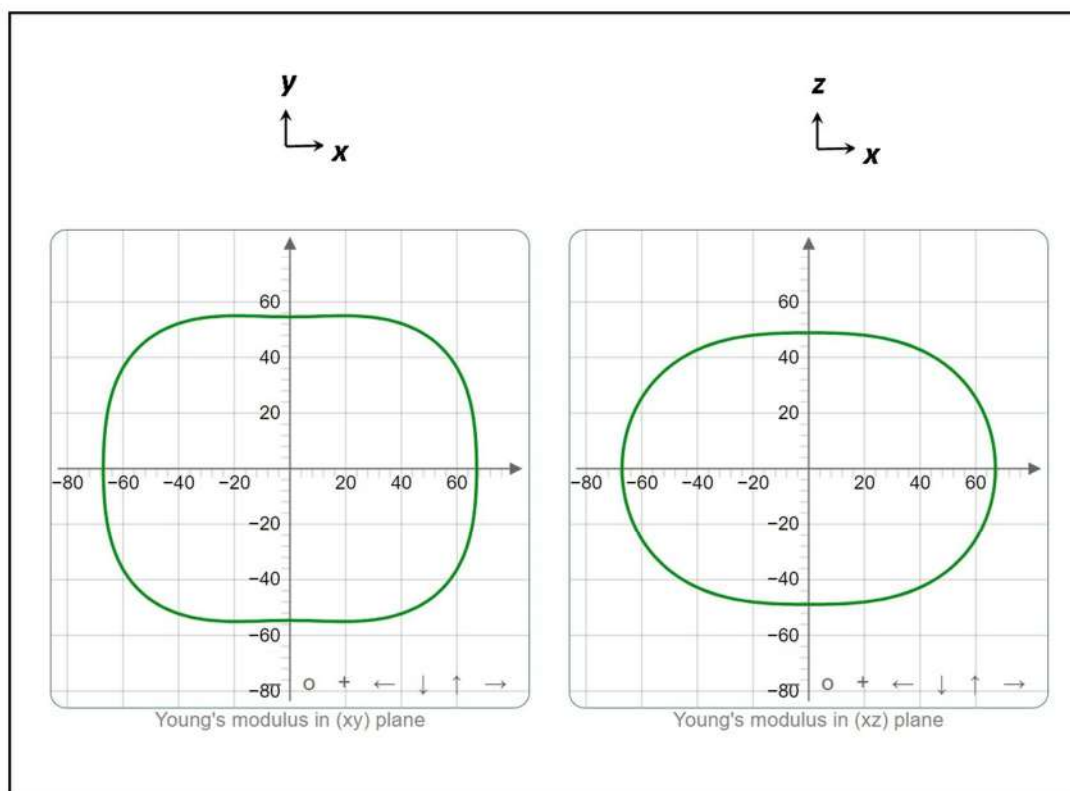


Figure 5.2: Polar graphs^{5h} (2D view) for the directional-dependent Young's modulus E (in GPa) of α -CdP₂ at zero pressure under the PBE scheme.

^{5h}Using the ELATE program [181, 182].

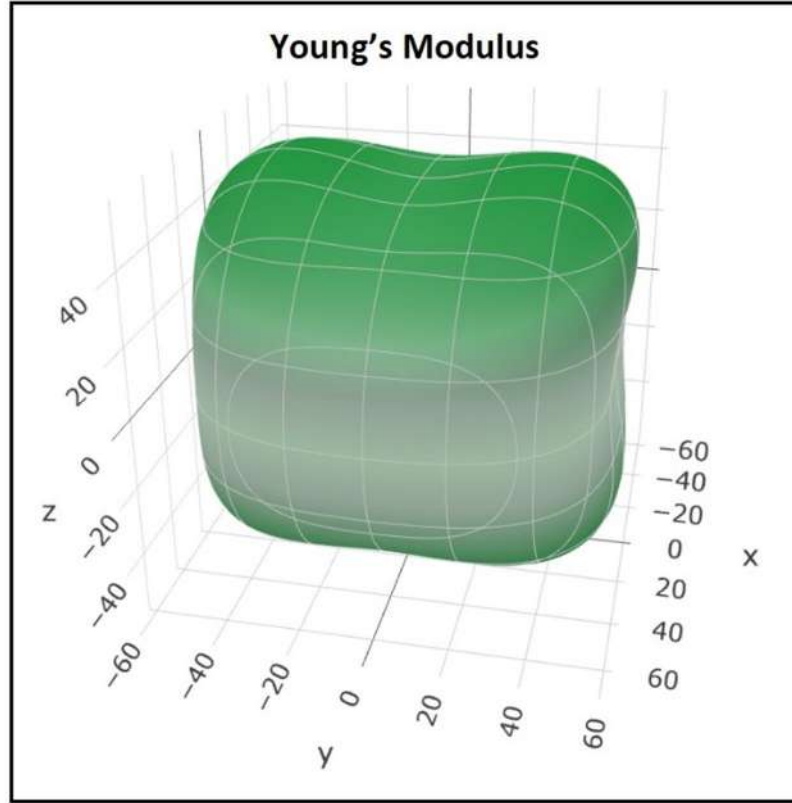


Figure 5.3: Polar graph⁵ⁱ (3D view) for the directional-dependent Young's modulus E (in GPa) of α -CdP₂ at zero pressure under the PBE scheme.

⁵ⁱUsing the ELATE program [181, 182].

The directional Young's modulus varies from a minimum value of 48.89 GPa to a maximum value of 71.53 GPa under the PBE scheme. Polar graphs for the directional-dependent linear compressibility β of α -CdP₂ at zero pressure under the PBE scheme are shown in **Fig. 5.4** and **Fig. 5.5** using the ELATE program [181, 182]. The directional shear modulus varies from a minimum value of 19.26 GPa to a maximum value of 32.74 GPa under the PBE method.

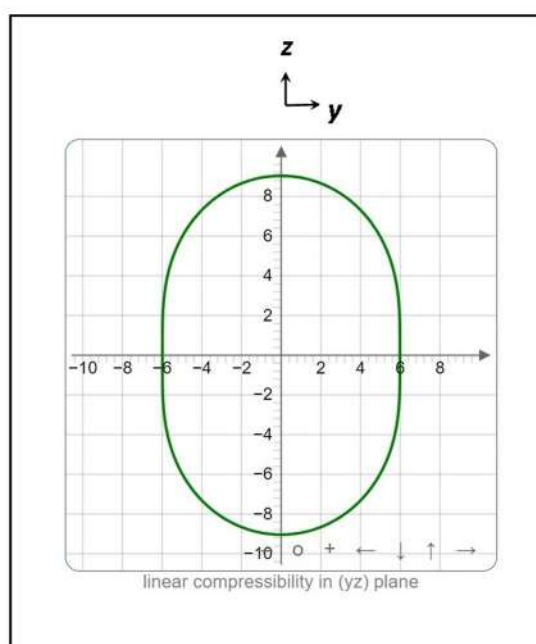
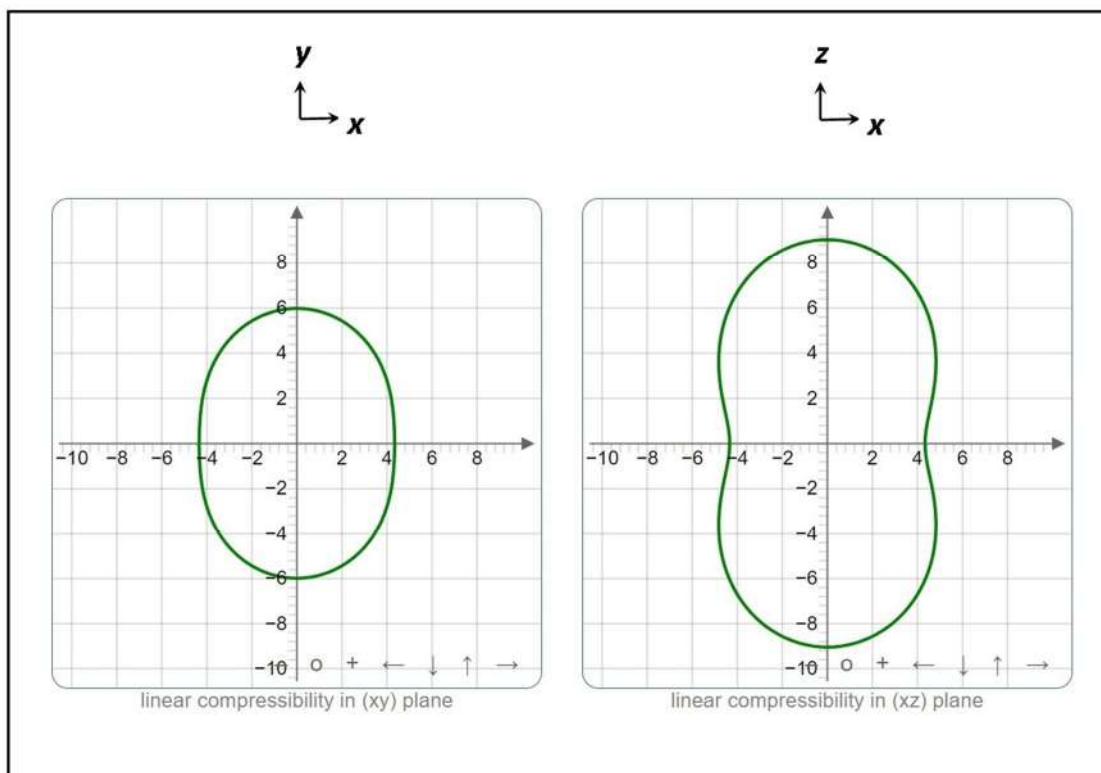


Figure 5.4: Polar graphs^{5j} (2D view) for the directional-dependent linear compressibility β [in (TPa)⁻¹] of α -CdP₂ at zero pressure under the PBE scheme.

^{5j}Using the ELATE program [181, 182].

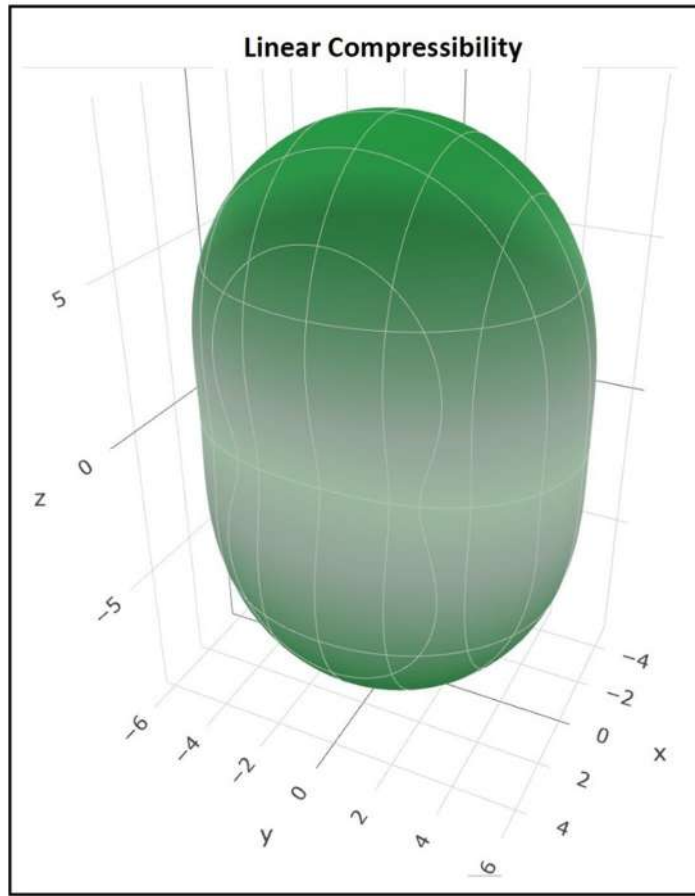


Figure 5.5: Polar graph^{5k} (3D view) for the directional-dependent linear compressibility β [in (TPa)⁻¹] of α -CdP₂ at zero pressure under the PBE scheme.

^{5k}Using the ELATE program [181, 182].

The variation of directional-dependent Poisson's ratio and shear modulus of α -CdP₂ at zero pressure under the PBE scheme in polar form are illustrated in **Fig. 5.6**, **Fig. 5.7**, **Fig. 5.8** and **Fig. 5.9**. These polar graphs are plotted as per the convention used for the ELATE program [181, 182]. Variations of Young's modulus and linear compressibility were also plotted with the PBEsol scheme in other work [219].

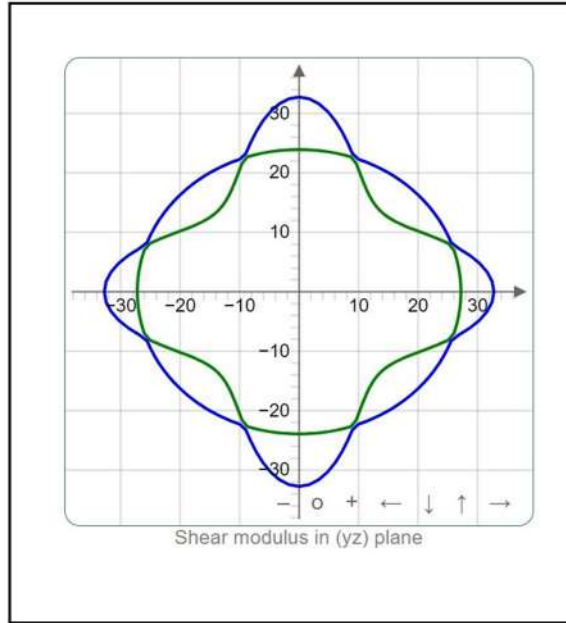
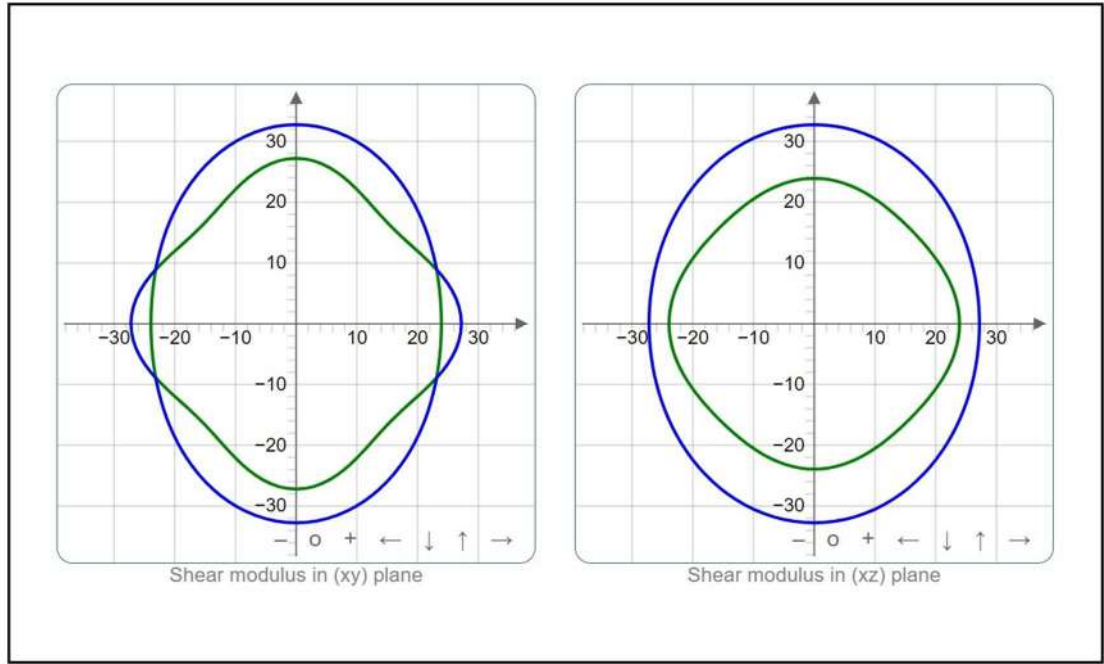


Figure 5.6: Polar graphs⁵¹ (2D view) for the directional-dependent shear modulus G (in GPa) of α -CdP₂ at zero pressure under the PBE scheme.

⁵¹Using the ELATE program [181, 182].

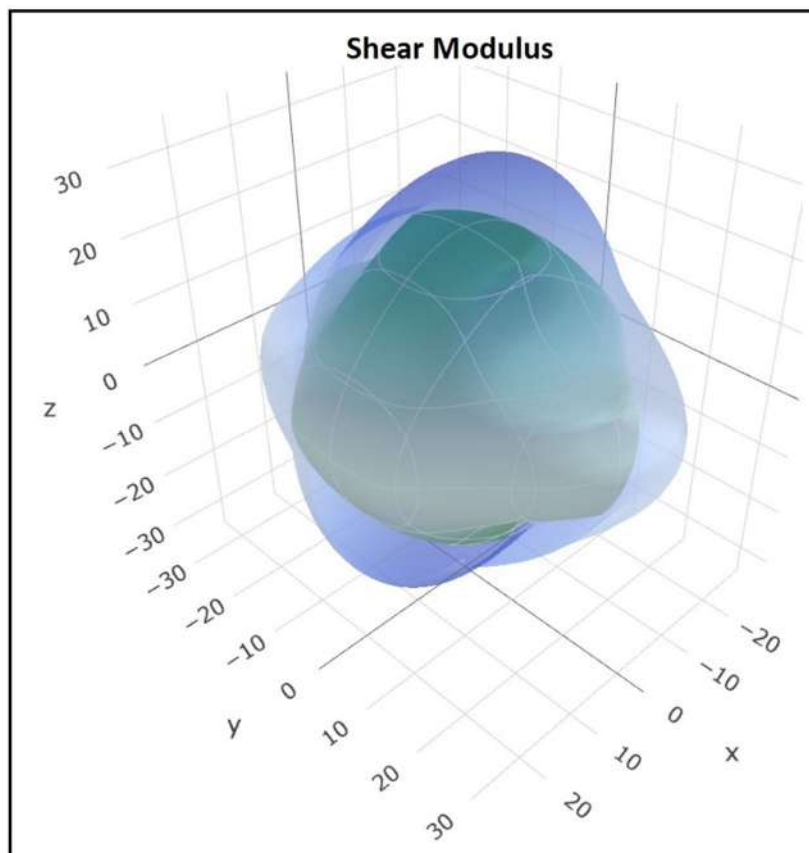


Figure 5.7: Polar graph^{5m} (3D view) for the directional-dependent shear modulus G (in GPa) of α -CdP₂ at zero pressure under the PBE scheme.

^{5m}Using the ELATE program [181, 182].

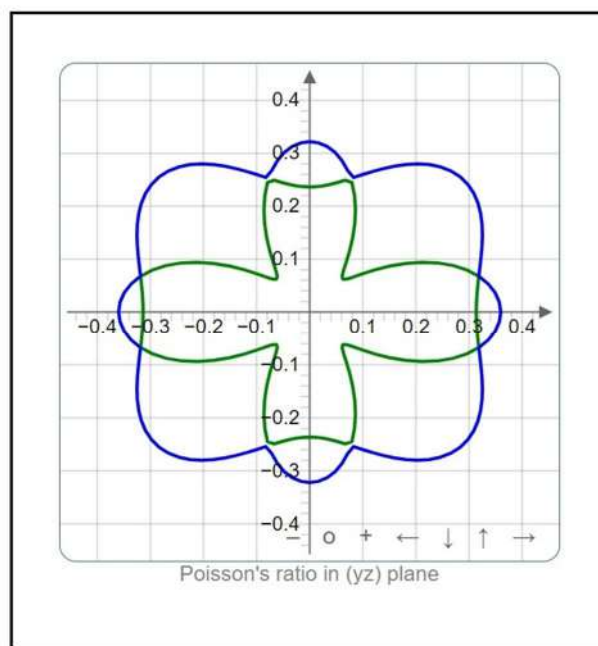
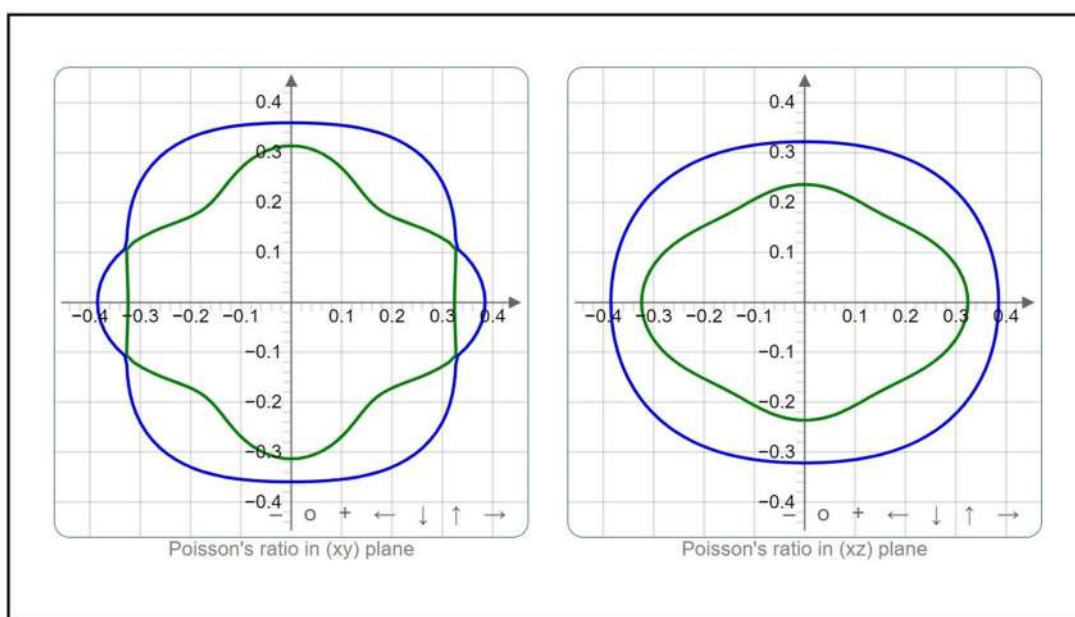


Figure 5.8: Polar graphs⁵ⁿ (2D view) for the directional-dependent Poisson's ratio ν (unitless) of α -CdP₂ at zero pressure under the PBE scheme.

⁵ⁿUsing the ELATE program [181, 182].

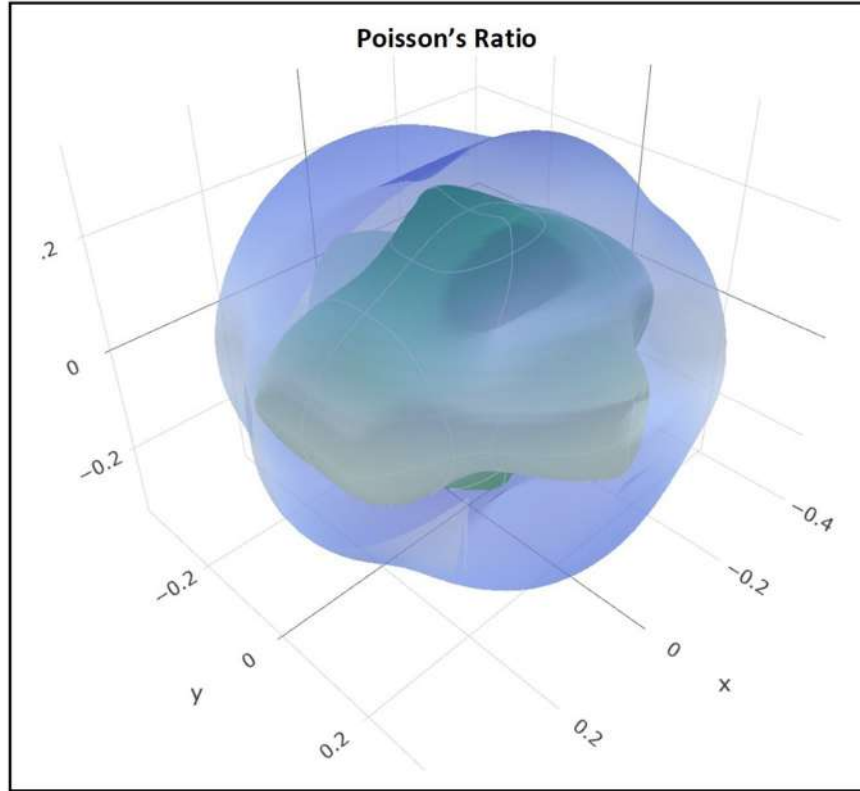


Figure 5.9: Polar graph⁵⁰ (3D view) for the directional-dependent Poisson's ratio ν (unitless) of α -CdP₂ at zero pressure under the PBE scheme.

⁵⁰Using the ELATE program [181, 182].

5.3.2 Elastic Properties of ZnP₂

5.3.2.1 Elastic Constants

The bulk modulus and shear modulus of β -ZnP₂ are 68 GPa and 44 GPa, respectively, as reported by Huang *et al.* [88]. Young's modulus and Poisson's ratio of the beta phase of ZnP₂ are 110 GPa and 0.23, respectively [88]. The zero pressure elastic constants (in GPa) of β -ZnP₂ are $C_{11} = 117$, $C_{22} = 126$, $C_{33} = 136$, $C_{44} = 30$, $C_{55} = 50$, $C_{66} = 54$, $C_{12} = 50$, $C_{13} = 45$, $C_{23} = 22$, $C_{15} = -0.03$, $C_{25} = -0.3$, $C_{35} = -0.4$ and $C_{46} = 0$ [88].

In this work, an investigation of the elastic properties of the alpha phase of ZnP₂ is carried out. The crystal of the tetragonal (I) class (4/mmm) has six independent elastic stiffness constants C_{11} , C_{12} , C_{13} , C_{33} , C_{44} and C_{66} [16]. In the present study, the obtained elastic stiffness constants C_{ij} of α -ZnP₂ are shown in **Table 5.7** along with

theoretical [88, 235] and experimental data [229]. As stated by Mouhat *et al.* [230], necessary and sufficient elastic stability conditions for the crystal of the tetragonal (I) class (4/mmm) are expressed by the following four conditions:

$$C_{11} > |C_{12}| \quad (5.16)$$

$$2C_{13}^2 < C_{33}(C_{11} + C_{12}) \quad (5.17)$$

$$C_{44} > 0 \quad (5.18)$$

$$C_{66} > 0 \quad (5.19)$$

In this study, the obtained elastic stiffness constants (shown in **Table 5.7**) satisfy these necessary and sufficient elastic stability conditions for the crystal of the tetragonal (I) class (4/mmm). Thus, it asserts the mechanical stability of the α -ZnP₂ crystal. At zero pressure, it is obvious that the obtained elastic stiffness constants C_{ij} are in fair agreement with the experimental values [229].

Table 5.7: Elastic constants C_{ij} (in GPa) of α -ZnP₂ at zero pressure

Scheme	C_{11}	C_{12}	C_{13}	C_{33}	C_{44}	C_{66}
PBEsol	117.90	55.65	51.00	129.63	47.57	66.64
PBE	108.21	46.66	42.39	118.78	44.92	62.04
PWGGA	109.24	46.98	42.70	119.63	45.26	62.65
LDA PZ	128.01	62.21	57.15	139.06	50.72	71.60
LDA VWN	128.34	62.35	57.29	139.40	50.85	71.79
B3LYP	110.24	42.25	38.22	120.05	46.07	62.85
B3PW	115.67	48.82	44.68	126.75	47.06	65.09
PBE0	117.95	51.43	47.44	129.75	47.29	65.71
HSE06	116.65	50.95	46.90	128.33	46.93	64.99
Other Work ^{5p}	116.48	54.18	48.12	126.37	44.34	59.42
Other Work ^{5q}	118	53	45	123	45	60
Exp. ^{5r}	102.1	30.76		115.7	42.85	52.08

^{5p}Ref. [235].

^{5q}Ref. [88].

^{5r}Ref. [229].

For the crystal of the tetragonal (I) class (4/mmm) B_R , B_V , G_R and G_V may be represented in the following way [184, 185, 186]

$$B_R = [2S_{11} + S_{33} + 2S_{12} + 4S_{13}]^{-1} \quad (5.20)$$

$$B_V = \frac{1}{9}[2C_{11} + C_{33} + 2C_{12} + 4C_{13}] \quad (5.21)$$

$$G_R = 15[4(2S_{11} + S_{33}) + 3(2S_{44} + S_{66}) - 4(S_{12} + 2S_{13})]^{-1} \quad (5.22)$$

$$G_V = \frac{1}{15}[2C_{11} + C_{33} - C_{12} - 2C_{13}] + \frac{1}{5}[2C_{44} + C_{66}] \quad (5.23)$$

Elastic compliance constants S_{ij} [in (TPa)⁻¹] of α -ZnP₂ at zero pressure are shown in **Table 5.8**. The computed elastic moduli of α -ZnP₂ are reported in **Table 5.9**. The orthorhombic phosphorus crystal has a Young's modulus of 30.4 GPa [29] and a bulk modulus of nearly 36 GPa [236]. The Young's modulus and bulk modulus for zinc crystals are 92.7 GPa and 60.6 GPa, respectively [29]. **Table 5.9** shows that the respective computed values of Young's modulus E and bulk modulus B of α -ZnP₂ are higher than those of its constituent elements. In view of resisting structural deformation, the typical bulk modulus of ~66 GPa of α -ZnP₂ reflects its considerably ample mechanical strength.

It is evident that the value of B/G is ~1.55 at zero pressure under the PBE scheme. Hence, it suggests the brittle nature of α -ZnP₂. Our computed ratio c/a at zero pressure is 3.645 under PBE scheme, which is almost equal to the experimental value of 3.659 [36]. Hence, it is obvious that the computed values of Poisson's ratio lie in the theoretically predicted range [137] for materials. Now, we illustrate the various elastic properties with different functional schemes. It is evident from **Table 5.7** that computation with the LDA functional gives relatively high values of coefficients C_{11} , C_{12} , C_{13} , C_{33} , C_{44} and C_{66} for α -ZnP₂.

Table 5.8: Elastic compliance constants S_{ij} [in (TPa)⁻¹] of α -ZnP₂ at zero pressure

Scheme	S_{11}	S_{12}	S_{13}	S_{33}	S_{44}	S_{66}
PBEsol	11.78	-4.28	-2.95	10.04	21.02	15.01
PBE	12.14	-4.11	-2.86	10.46	22.26	16.12
PWGGA	12.01	-4.05	-2.84	10.39	22.10	15.96
LDA PZ	11.09	-4.11	-2.87	9.55	19.72	13.97
LDA VWN	11.06	-4.10	-2.86	9.53	19.67	13.93
B3LYP	11.26	-3.45	-2.48	9.91	21.71	15.91
B3PW	11.24	-3.72	-2.65	9.76	21.25	15.36
PBE0	11.23	-3.80	-2.71	9.69	21.15	15.22
HSE06	11.36	-3.86	-2.74	9.80	21.31	15.39
Other Work ^{5s}	12.5	-1.5	-4.69	12.64	23.34	19.2

^{5s}Ref. [229].**Table 5.9:** Young's modulus E (in GPa), bulk modulus B (in GPa) and shear modulus G (in GPa) of α -ZnP₂ at zero pressure

Scheme	B_V	B_R	B_H	G_V	G_R	G_H	E_V	E_R	E_H
PBEsol	75.64	75.59	75.61	46.21	43.32	44.77	115.17	109.12	112.16
PBE	66.45	66.41	66.43	43.96	41.64	42.80	108.05	103.33	105.70
PWGGA	66.99	66.95	66.97	44.35	42.02	43.18	108.99	104.25	106.63
LDA PZ	83.12	83.09	83.10	49.18	45.94	47.56	123.24	116.38	119.83
LDA VWN	83.33	83.29	83.31	49.31	46.07	47.69	123.55	116.68	120.14
B3LYP	64.21	64.18	64.20	45.79	43.94	44.87	110.98	107.33	109.17
B3PW	70.50	70.45	70.47	46.50	44.30	45.4	114.36	109.87	112.12
PBE0	73.14	73.08	73.11	46.68	44.37	45.53	115.48	110.71	113.11
HSE06	72.34	72.29	72.32	46.23	43.93	45.08	114.33	109.59	111.97
Other Work ^{5t}	73.35	73.33	73.34	43.55	41.66	42.61	109.06	105.08	107.07

^{5t}Ref. [235].

Table 5.8 shows that S_{12} and S_{13} have negative values. Young's modulus (E_V , E_R and E_H), bulk modulus (B_V , B_R and B_H), and shear modulus (G_V , G_R and G_H) of

α -ZnP₂ are reported in **Table 5.9**. Poisson's ratio ν (unitless) of α -ZnP₂ at zero pressure is illustrated in **Table 5.10**. Using the obtained elastic constants, these elastic quantities (shown in **Table 5.9** and **Table 5.10**) are calculated by means of the ELATE program [181, 182]. It is also obvious that the values of elastic quantities obtained in **Table 5.9** and **Table 5.10** have relatively high values under the LDA functionals.

Based on ultrasonic measurements, according to Soshnikov *et al.*, the calculated shear modulus and bulk modulus of the alpha phase of the ZnP₂ are 35.7 GPa and 62.91 GPa [229]. According to Huang *et al.* [88], the computed values of shear modulus, bulk modulus, Young's modulus and Poisson's ratio of α -ZnP₂ are 44 GPa, 72 GPa, 110 GPa and 0.24, respectively.

Table 5.10: Poisson's ratio ν (unitless) of α -ZnP₂ at zero pressure

Scheme	ν_V	ν_R	ν_H
PBEsol	0.246	0.259	0.253
PBE	0.229	0.241	0.235
PWGGA	0.229	0.240	0.235
LDA PZ	0.253	0.267	0.260
LDA VWN	0.253	0.267	0.260
B3LYP	0.212	0.221	0.217
B3PW	0.230	0.240	0.235
PBE0	0.237	0.248	0.242
HSE06	0.237	0.247	0.242

5.3.2.2 Elastic Anisotropy

The α -ZnP₂ crystal has directional-dependent variations in elastic quantities, such as shear modulus G , Young's modulus E and Poisson's ratio ν . In terms of elastic compliance constants S_{ij} , Young's modulus E along the unit vector l_i for the crystal of the tetragonal class (4/mmm) may be represented as [16]

$$E = \left[l_3^4 S_{33} + (l_1^4 + l_2^4) S_{11} + l_1^2 l_2^2 (S_{66} + 2S_{12}) + l_3^2 (1 - l_3^2) (2S_{13} + S_{44}) \right]^{-1} \quad (5.24)$$

where the direction cosines are denoted by l_1 , l_2 and l_3 .

The directional linear compressibility β along the unit vector l_i , for all classes of tetragonal crystal systems, may be represented as [16]

$$\beta = (S_{11} + S_{13} + S_{12}) - (S_{11} + S_{12} - S_{33} - S_{13})l_3^2 \quad (5.25)$$

Along different directions, the directional Young's modulus and linear compressibility for α -ZnP₂ at zero pressure under the PBE scheme are illustrated in **Table 5.11**.

Table 5.11: Under the PBE method, the directional Young's modulus and linear compressibility for α -ZnP₂ at zero pressure

$\frac{E_{[100]}}{(\text{GPa})}$	$\frac{E_{[010]}}{(\text{GPa})}$	$\frac{E_{[001]}}{(\text{GPa})}$	$\frac{E_{[110]}}{(\text{GPa})}$	$\frac{\beta_{[100]}}{(\text{TPa})^{-1}}$	$\frac{\beta_{[010]}}{(\text{TPa})^{-1}}$	$\frac{\beta_{[001]}}{(\text{TPa})^{-1}}$	$\frac{\beta_{[110]}}{(\text{TPa})^{-1}}$
82.41	82.41	95.57	124.35	5.16	5.16	4.74	5.16

Along the different crystallographic directions, the following results about the directional Young's modulus and linear compressibility of the α -ZnP₂ crystal may be inferred from our investigation:

$$E_{[100]} = E_{[010]} < E_{[001]} < E_{[110]} ; a = b < c \quad (5.26)$$

$$\beta_{[100]} = \beta_{[010]} = \beta_{[110]} > \beta_{[001]} ; a = b < c \quad (5.27)$$

These substantial differences themselves indicate the existence of considerable elastic anisotropy in the α -ZnP₂ crystal. Minimum and maximum values of linear compressibility (β_{\min} and β_{\max}), Poisson's ratio (ν_{\min} and ν_{\max}), shear modulus (G_{\min} and G_{\max}) and Young's modulus (E_{\min} and E_{\max}) of α -ZnP₂ crystal are shown in **Table 5.12**. These elastic quantities are calculated by means of the ELATE program [181, 182] using computed values of the α -ZnP₂ crystal.

Table 5.12: Minimum and maximum values of linear compressibility β [in (TPa)⁻¹], Poisson's ratio ν (unitless), shear modulus G (in GPa) and Young's modulus E (in GPa) of α -ZnP₂

Scheme	G_{\min}	G_{\max}	E_{\min}	E_{\max}	β_{\min}	β_{\max}	ν_{\min}	ν_{\max}
PBEsol	31.13	66.64	84.90	133.35	4.14	4.55	0.0006	0.396
PBE	30.78	62.04	82.41	124.35	4.74	5.16	0.0022	0.361
PWGGA	31.13	62.65	83.28	125.52	4.71	5.12	0.0017	0.360
LDA PZ	32.90	71.60	90.18	143.22	3.81	4.11	0.0002	0.407
LDA VWN	32.99	71.79	90.42	143.58	3.80	4.10	0.0001	0.407
B3LYP	34.00	62.85	88.85	126.92	4.94	5.32	0.0096	0.319
B3PW	33.43	65.09	88.98	131.56	4.46	4.87	0.0107	0.349
PBE0	33.26	65.71	89.06	133.04	4.26	4.71	0.0123	0.360
HSE06	32.85	64.99	88.03	131.63	4.31	4.76	0.0127	0.361

Polar graphs (2D and 3D views) for the directional-dependent various elastic quantities of α -ZnP₂ at zero pressure under the PBE scheme are plotted in **Fig. 5.10** to **Fig. 5.17**.

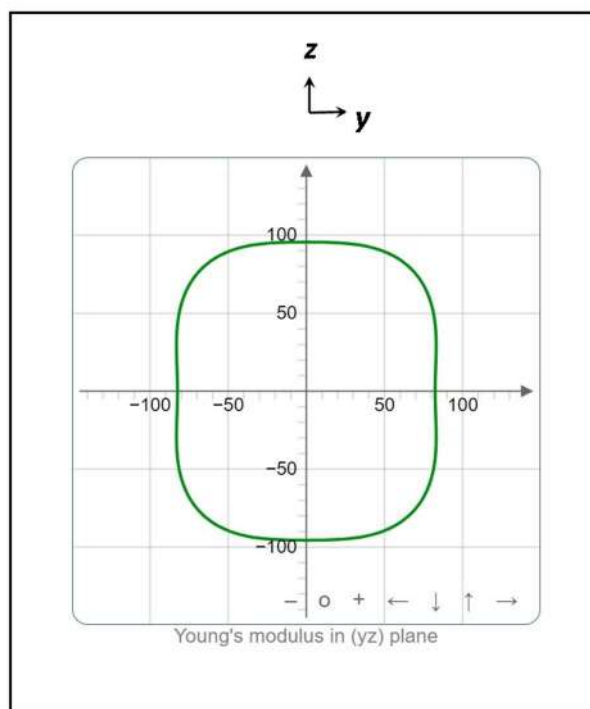
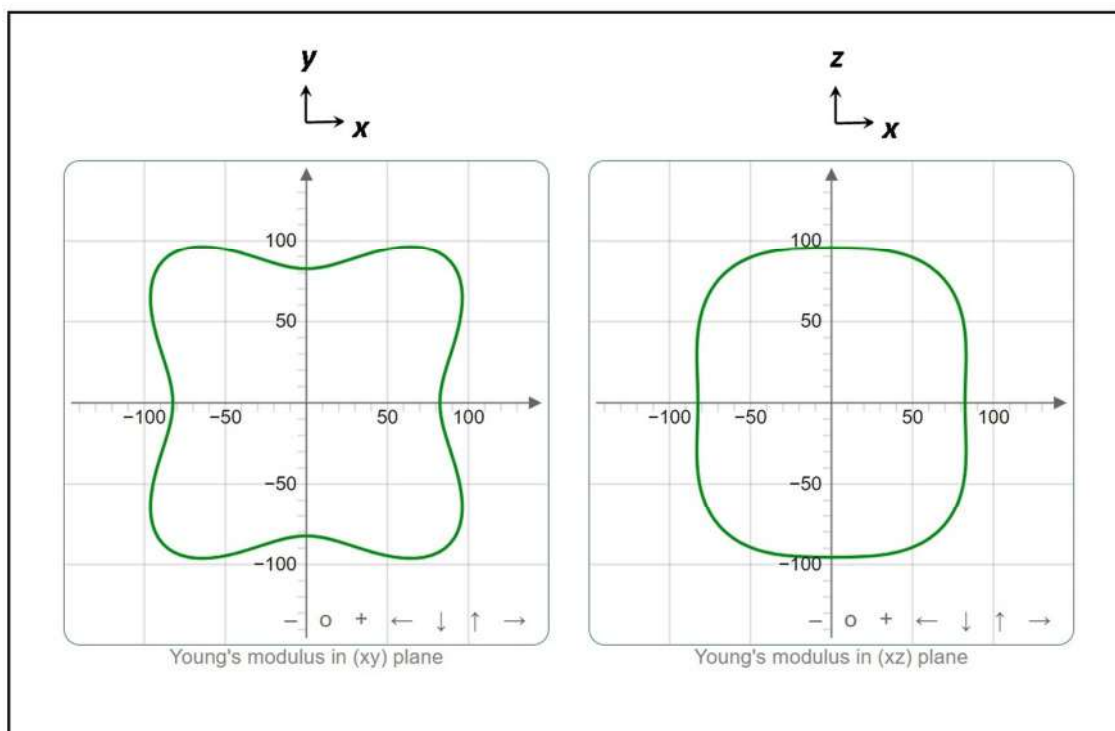


Figure 5.10: Polar graphs^{5u} (2D view) for the directional-dependent Young's modulus E (in GPa) of α -ZnP₂ at zero pressure under the PBE scheme.

^{5u}Using the ELATE program [181, 182].

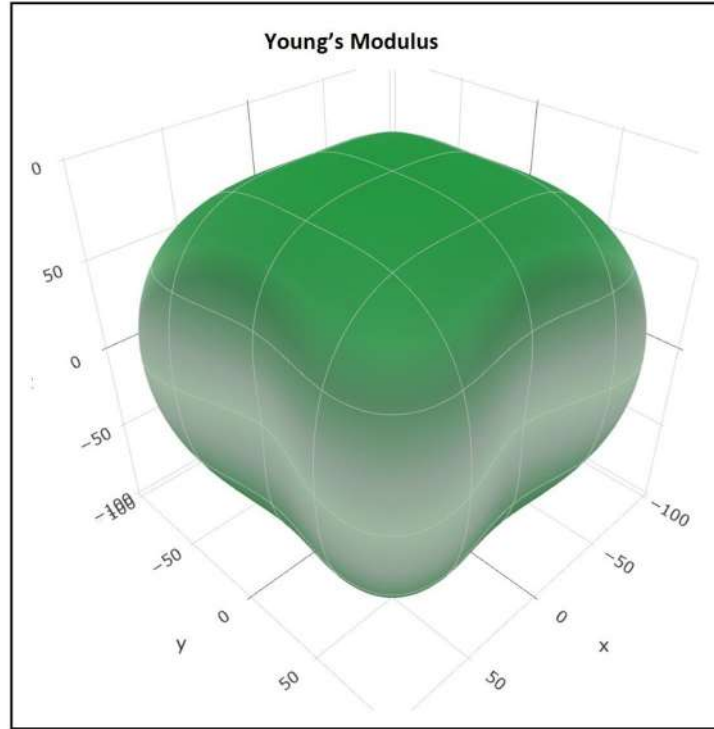


Figure 5.11: Polar graph^{5v} (3D view) for the directional-dependent Young's modulus E (in GPa) of α -ZnP₂ at zero pressure under the PBE scheme.

^{5v}Using the ELATE program [181, 182].

It is evident from **Fig. 5.10** that the xy -plane has greater anisotropy than the yz -plane for Young's modulus E . In the yz -plane, it is observed that E increases from 82.41 GPa to about 103.4 GPa (the maximum value in the yz -plane) as the angle (with the [010] direction) varies from 0° to about 53.9° , and then E decreases from about 103.4 GPa to 95.57 GPa as the angle increases from about 53.9° to 90° . The approximate maximum value of 103.4 GPa of Young's modulus is again found at an angle of about 126.1° in the yz -plane. In the xy -plane, an increase of the angle (with the [100] direction) from 0° to 45° results in a continuous increase in the value of Young's modulus E from 82.41 GPa to 124.35 GPa (E_{\max} in **Table 5.12**). Also, as the angle (with the [100] direction) varies from 45° to 90° , E decreases continuously from 124.35 GPa to 82.41 GPa (E_{\min} in **Table 5.12**). In the xy -plane, the maxima of E again occur at an angle of 135° (with the [100] direction). For the yz - and xy - planes, the maximum percentage change in the value of Young's modulus E with respect to their minimum values (in the corresponding planes) is about 25.5% and 50.9%, respectively.

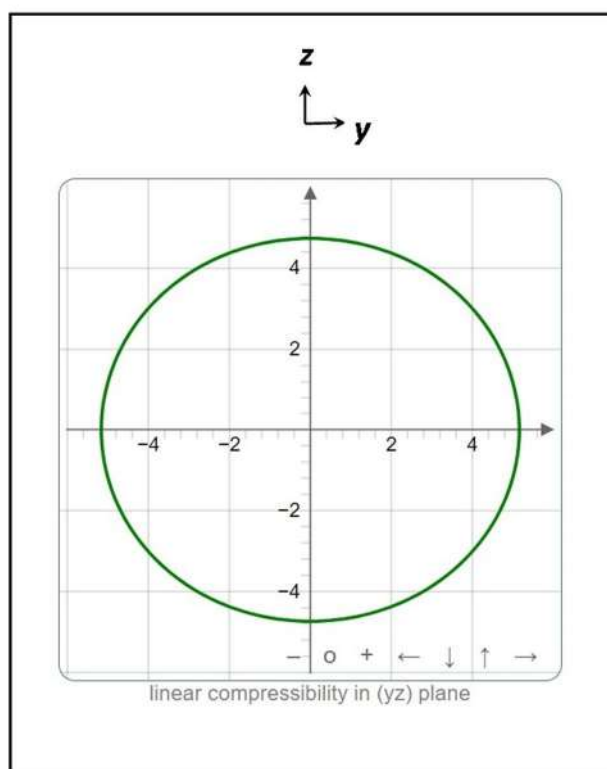
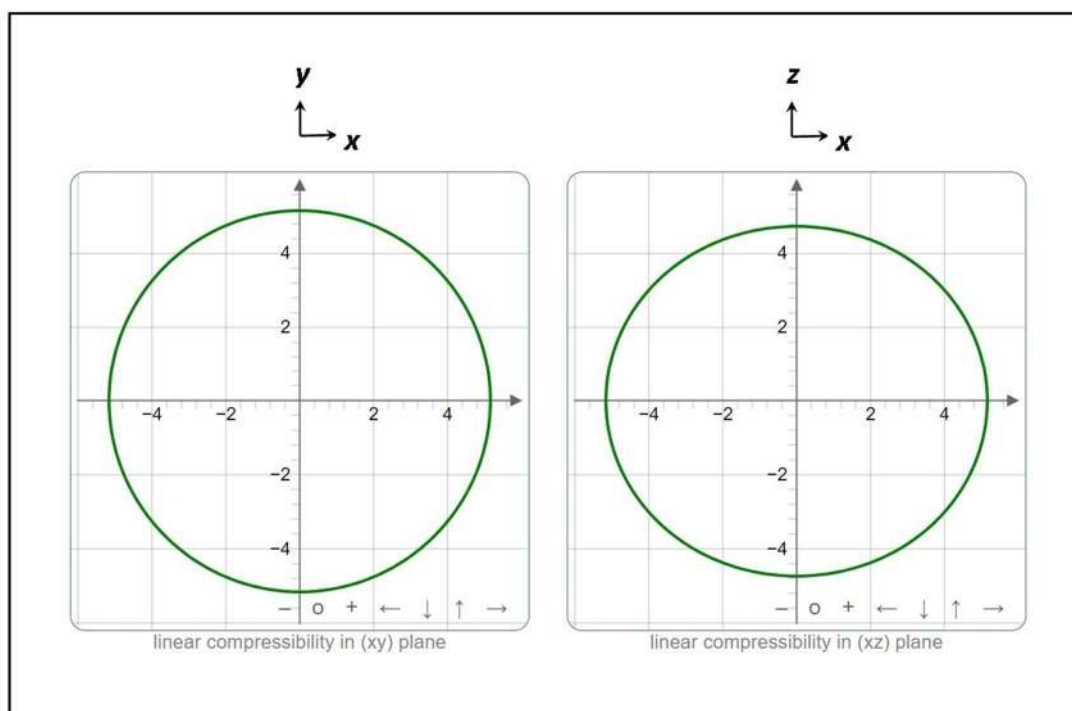


Figure 5.12: Polar graphs^{5w} (2D view) for the directional-dependent linear compressibility β [in $(\text{TPa})^{-1}$] of α -ZnP₂ at zero pressure under the PBE scheme.

^{5w}Using the ELATE program [181, 182].

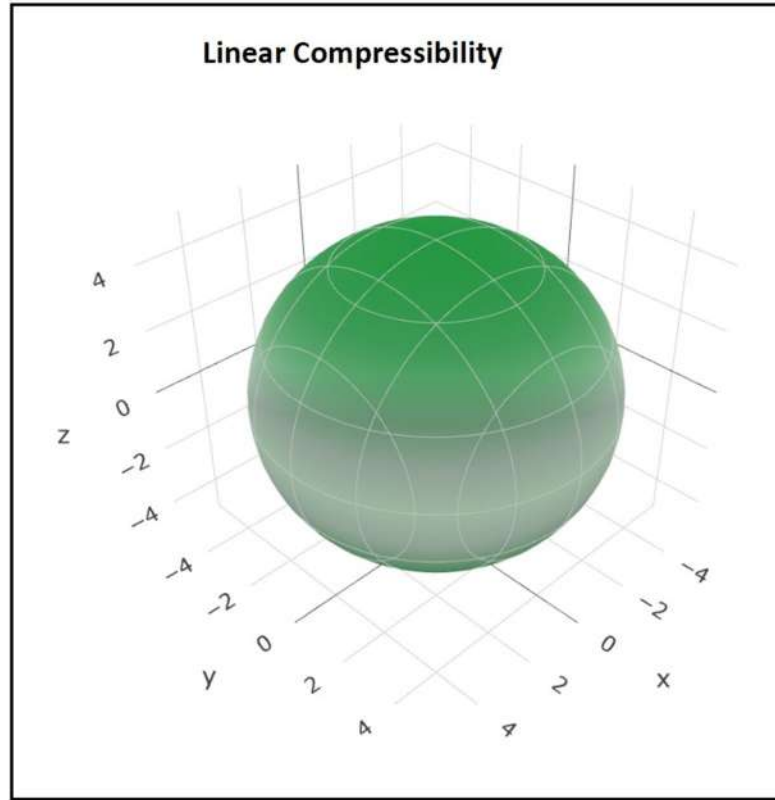


Figure 5.13: Polar graph^{5x} (3D view) for the directional-dependent linear compressibility β [in (TPa)⁻¹] of α -ZnP₂ at zero pressure under the PBE scheme.

^{5x}Using the ELATE program [181, 182].

For linear compressibility β , no variation of β with angle is observed in the xy -plane, as apparent from **Fig. 5.12**. In the xz -plane, as the angle (with the [100] direction) changes from 0° to 90°, linear compressibility decreases continuously from 5.16 (TPa)⁻¹ to 4.74 (TPa)⁻¹. Thus, in the case of linear compressibility, the xz -plane has anisotropy. For the xz -plane, the maximum percentage change in the value of linear compressibility with respect to its minimum value is about 8.9%. Moreover, it can be said that overall, more anisotropy is observed for Young's modulus in comparison to linear compressibility for α -ZnP₂.

Directional-dependent Poisson's ratio and shear modulus of α -ZnP₂ at zero pressure in polar form are shown from **Fig. 5.14** to **Fig. 5.17**. These polar graphs are plotted under the PBE scheme as per the convention used for the ELATE program [181, 182].

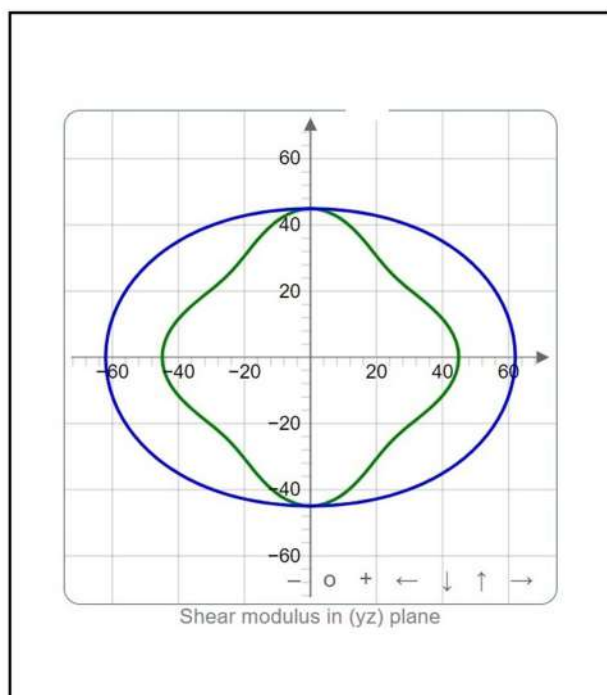
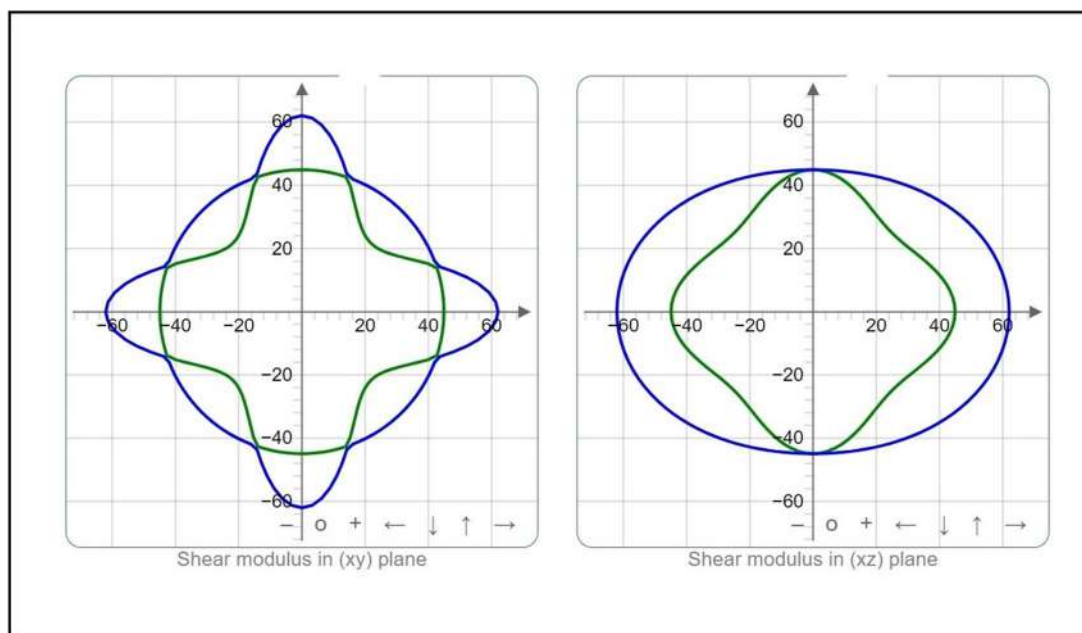


Figure 5.14: Polar graphs^{5y} (2D view) for the directional-dependent shear modulus G (in GPa) of α -ZnP₂ at zero pressure under the PBE scheme.

^{5y}Using the ELATE program [181, 182].

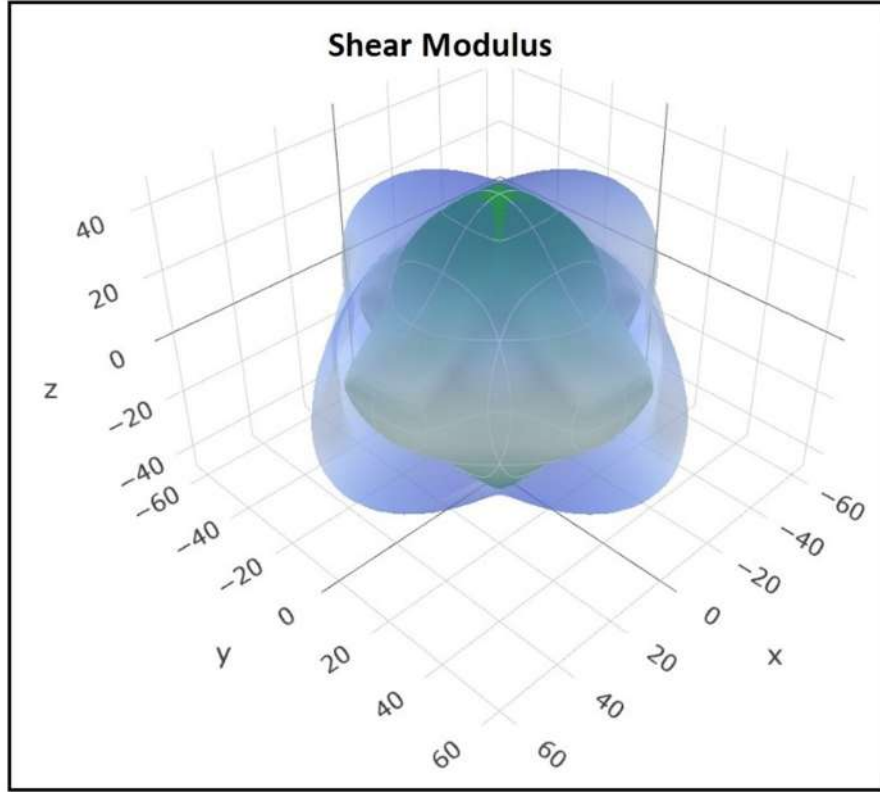


Figure 5.15: Polar graph^{5z} (3D view) for the directional-dependent shear modulus G (in GPa) of α -ZnP₂ at zero pressure under the PBE scheme.

^{5z}Using the ELATE program [181, 182].

As per the convention used in the ELATE program for the plots, the value of the directional shear modulus G does not vary with angular variation in the xy -plane. In the case of the yz -plane, shear modulus G varies from 44.92 GPa to 62.04 GPa (G_{\max} in **Table 5.12**). For the yz -plane, the maximum percentage change in the value of shear modulus G relative to its minimum value is 38.1%. For the physical quantities Young's modulus E and linear compressibility β , this study reveals that the xy -plane has a higher level of anisotropy for Young's modulus.

From **Table 5.13**, it is evident that the percentage variations in linear compressibility and Young's modulus are about 10% and 50%, respectively. The shear modulus G has around 100% variation relative to its minimum value. Here, anisotropy parameter A_G has relatively higher values in comparison with the alpha phase of CdP₂. The variation in Poisson's ratio is more significant in the alpha phase of ZnP₂ than in the alpha phase of CdP₂.

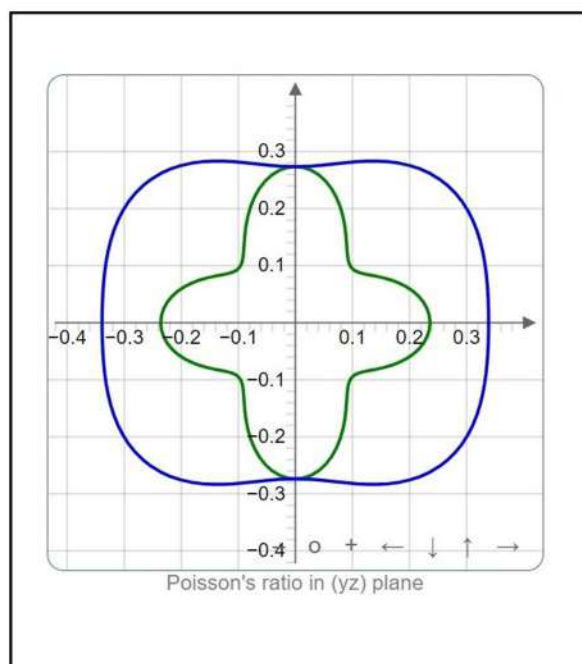
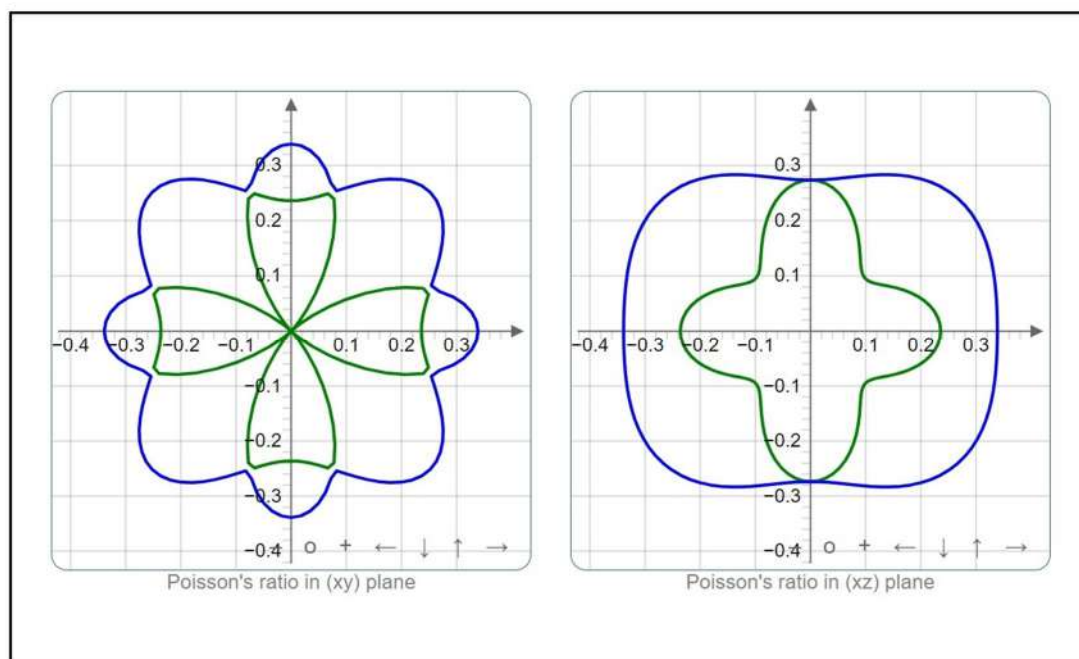


Figure 5.16: Polar graphs^{5aa} (2D view) for the directional-dependent Poisson's ratio ν (unitless) of α -ZnP₂ at zero pressure under the PBE scheme.

^{5aa}Using the ELATE program [181, 182].

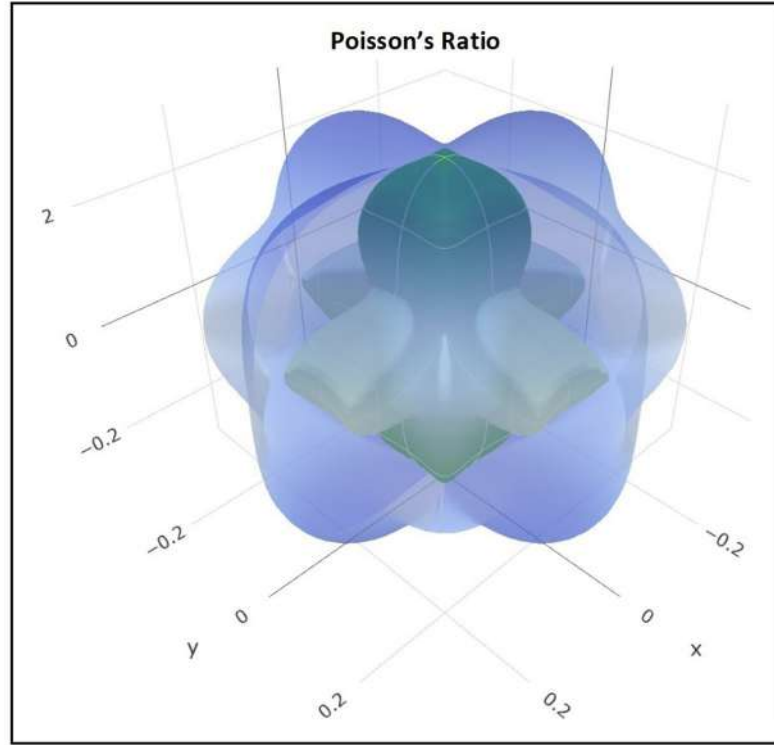


Figure 5.17: Polar graph^{5bb} (3D view) for the directional-dependent Poisson's ratio ν (unitless) of α -ZnP₂ at zero pressure under the PBE scheme.

^{5bb}Using the ELATE program [181, 182].

Table 5.13: Elastic anisotropy parameters: ratio of maximum to minimum values of Young's modulus E , linear compressibility β , shear modulus G and Poisson's ratio ν for α -ZnP₂. Elastic anisotropy parameters A_G and A^U for α -ZnP₂

Scheme	Anisotropy					
	$\frac{E_{\max}}{E_{\min}}$	$\frac{\beta_{\max}}{\beta_{\min}}$	$\frac{G_{\max}}{G_{\min}}$	$\frac{\nu_{\max}}{\nu_{\min}}$	A_G	A^U
PBEsol	1.57	1.10	2.14	706.14	0.032	0.333
PBE	1.51	1.09	2.02	162.80	0.027	0.279
PWGGA	1.51	1.09	2.01	213.01	0.027	0.278
LDA PZ	1.59	1.08	2.18	2379.97	0.034	0.353
LDA VWN	1.59	1.08	2.18	4168.02	0.034	0.352
B3LYP	1.43	1.08	1.85	33.07	0.017	0.157
B3PW	1.48	1.09	1.95	32.71	0.024	0.249
PBE0	1.49	1.11	1.98	29.33	0.025	0.261
HSE06	1.50	1.10	1.98	28.54	0.026	0.262

5.3.3 Elastic Properties of ZnAs₂

5.3.3.1 Elastic Constants

The monoclinic crystal has 13 independent elastic stiffness constants [16], namely C_{11} , C_{12} , C_{13} , C_{15} , C_{22} , C_{23} , C_{25} , C_{33} , C_{35} , C_{44} , C_{46} , C_{55} and C_{66} for standard orientation. The elastic properties are studied for ZnAs₂, which has a monoclinic unit cell. The computations of elastic stiffness constants under various functional schemes are shown in **Table 5.14**. It is quite apparent from **Table 5.14** that the elastic stiffness constants C_{11} , C_{22} and C_{33} are significantly greater than the other elastic stiffness constants, such as C_{12} , C_{13} , C_{15} , C_{23} , C_{25} , C_{35} , C_{44} , C_{46} , C_{55} and C_{66} .

Table 5.14: Elastic constants C_{ij} (in GPa) of ZnAs₂ at zero pressure

Scheme	C_{11}	C_{12}	C_{13}	C_{15}	C_{22}	C_{23}	C_{25}	C_{33}	C_{35}	C_{44}	C_{46}	C_{55}	C_{66}
PBEsol ^{5cc}	126.72	63.47	59.95	-4.41	136.81	38.35	6.07	145.84	1.75	26.73	4.23	44.58	44.18
PBE	117.83	56.74	53.64	-4.90	126.51	32.57	5.71	136.43	1.71	25.50	3.92	42.95	41.65
PWGGA	117.87	56.70	53.71	-4.55	126.63	33.52	5.53	135.11	1.64	25.61	3.92	42.20	42.37
LDA PZ	136.26	70.03	66.34	-3.61	146.28	43.81	6.91	156.69	2.11	27.72	4.87	45.98	47.66
LDA VWN	134.95	68.87	65.20	-3.63	146.97	42.65	6.94	156.59	2.30	27.89	4.65	46.66	46.87
B3LYP	119.12	53.06	50.94	-5.22	126.78	29.48	5.48	138.05	1.51	27.30	3.92	44.91	43.75
B3PW	123.83	56.94	53.76	-5.06	131.85	32.60	5.62	142.25	1.73	28.15	4.04	45.77	45.29
PBE0	127.56	59.81	56.46	-4.98	135.93	34.90	5.88	146.12	1.82	28.33	4.13	46.55	45.95
Other Work ^{5dd}	95.63	31.47			102.5			112.7		20.76			40.45

^{5cc}Ref. [237].

^{5dd}Ref. [67, 238].

Table 5.15: Elastic compliance constants S_{ij} [in $(\text{TPa})^{-1}$] of ZnAs_2 at zero pressure

Scheme	S_{11}	S_{12}	S_{13}	S_{15}	S_{22}	S_{23}	S_{25}	S_{33}	S_{35}	S_{44}	S_{46}	S_{55}	S_{66}
PBEsol ^{5ee}	12.06	-4.63	-3.76	1.97	9.71	-0.63	-1.75	8.58	-0.62	37.98	-3.64	22.89	22.98
PBE	12.63	-4.78	-3.86	2.23	10.27	-0.55	-1.89	8.99	-0.73	39.80	-3.74	23.82	24.36
PWGGA	12.59	-4.71	-3.86	2.13	10.26	-0.65	-1.83	9.11	-0.68	39.60	-3.66	24.19	23.94
LDA PZ	11.45	-4.48	-3.62	1.74	9.26	-0.67	-1.71	8.11	-0.56	36.73	-3.75	22.17	21.36
LDA VWN	11.44	-4.40	-3.59	1.72	9.13	-0.63	-1.67	8.06	-0.58	36.45	-3.61	21.84	21.69
B3LYP	11.87	-4.24	-3.50	2.02	9.86	-0.52	-1.68	8.65	-0.63	37.11	-3.32	22.73	23.15
B3PW	11.59	-4.24	-3.43	1.93	9.63	-0.59	-1.63	8.47	-0.63	35.99	-3.21	22.29	22.37
PBE0	11.41	-4.22	-3.42	1.89	9.44	-0.60	-1.62	8.32	-0.62	35.76	-3.21	21.91	22.05

^{5ee}Using Ref. [237].

Table 5.15 illustrates the elastic compliance constants under various functional schemes. It is evident that values of S_{12} , S_{13} , S_{23} , S_{25} , S_{35} and S_{46} are negative.

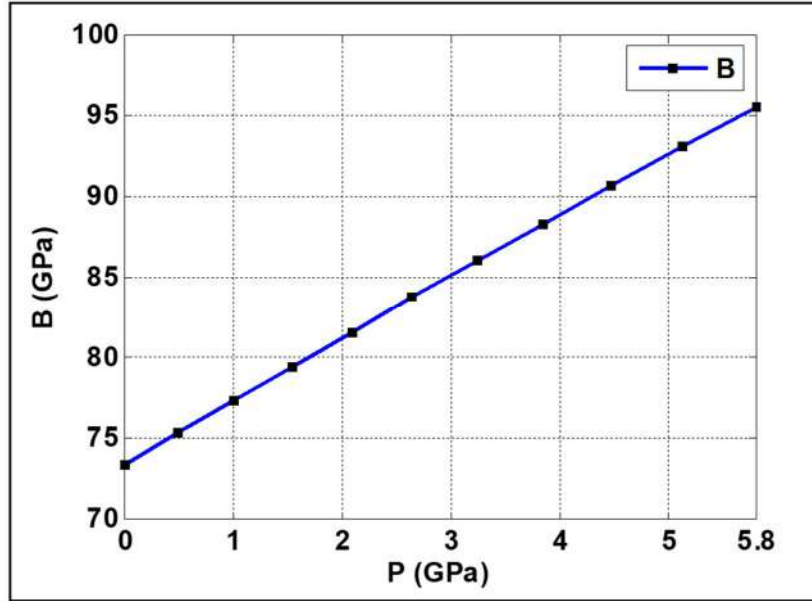


Figure 5.18: Computed bulk modulus B of ZnAs_2 as a function of applied pressure P under the PBE functional.

The computed bulk modulus B of monoclinic ZnAs_2 increases with applied pressure P . To our best knowledge, there is no other experimentally or theoretically

reported data on elastic stiffness constants for monoclinic ZnAs_2 at higher pressures for comparison with our work. It is obvious from **Fig. 5.18** that bulk modulus shows a significant variation with pressure.

Table 5.16: Computed values of Young's modulus E (in GPa), bulk modulus B (in GPa) and shear modulus G (in GPa) of ZnAs_2 at zero pressure

Scheme	B_V	B_R	B_H	G_V	G_R	G_H	E_V	E_R	E_H
PBEsol ^{5ff}	81.44	81.28	81.36	39.60	36.67	38.14	102.24	95.63	98.95
PBE	74.08	73.91	73.99	37.87	35.03	36.45	97.08	90.75	93.93
PWGGA	74.16	74.01	74.09	37.75	35.06	36.40	96.82	90.83	93.84
LDA PZ	88.84	88.62	88.73	41.54	38.35	39.95	107.82	100.54	104.20
LDA VWN	87.99	87.81	87.90	41.74	38.57	40.15	108.12	100.93	104.54
B3LYP	72.32	72.15	72.24	39.89	37.17	38.53	101.08	95.17	98.14
B3PW	76.06	75.89	75.97	40.82	38.10	39.46	103.87	97.91	100.91
PBE0	79.11	78.93	79.02	41.40	38.58	39.99	105.74	99.518	102.64

^{5ff}Ref. [237].

The computed elastic moduli of monoclinic ZnAs_2 under various functional schemes are reported in **Table 5.16**. Young's modulus (E_V , E_R and E_H), bulk modulus (B_V , B_R and B_H), and shear modulus (G_V , G_R and G_H) of ZnAs_2 are reported in **Table 5.16**. Using the obtained elastic constants, these elastic quantities are calculated by means of the ELATE program [181, 182].

Poisson's ratio ν (unitless) of monoclinic ZnAs_2 at zero pressure is reported in **Table 5.17**. These values of Poisson's ratio (ν_V , ν_R and ν_H) of ZnAs_2 at zero pressure are computed by means of the ELATE program [181, 182] using the obtained elastic constants. It is noteworthy to examine the ratio B/G for ZnAs_2 . The ratio B/G for the ZnAs_2 crystals has a value of about 2. It indicates the malleable nature of polycrystalline ZnAs_2 . From **Table 5.17**, it is also obvious that the computed values of Poisson's ratio lie in the theoretically predicted range [137] for materials.

Table 5.17: Computed values of Poisson's ratio ν (unitless) of ZnAs_2 at zero pressure

Scheme	ν_V	ν_R	ν_H
PBEsol ^{5gg}	0.291	0.304	0.297
PBE	0.282	0.295	0.288
PWGGA	0.282	0.295	0.289
LDA PZ	0.298	0.311	0.304
LDA VWN	0.295	0.308	0.302
B3LYP	0.267	0.280	0.274
B3PW	0.272	0.285	0.279
PBE0	0.277	0.290	0.284

^{5gg}Ref. [237].

5.3.3.2 Elastic Anisotropy

To study the anisotropy of monoclinic ZnAs_2 at zero pressure, directional Young's modulus, shear modulus and linear compressibility have been investigated and their polar graphs have been plotted in different crystallographic planes.

The minimum and maximum values of the linear compressibility (β_{\min} and β_{\max}), Poisson's ratio (ν_{\min} and ν_{\max}), shear modulus (G_{\min} and G_{\max}) and Young's modulus (E_{\min} and E_{\max}) of monoclinic ZnAs_2 are shown in **Table 5.18**. These elastic quantities are calculated by means of the ELATE program [181, 182] using the computed values for the ZnAs_2 crystal.

Table 5.19 illustrates that the percentage variations in the linear compressibility and Young's modulus are about 25% and 70%, respectively. The shear modulus G has around 100% variation relative to its minimum value. It is obvious from **Table 5.19** that the anisotropy parameter A^U of monoclinic ZnAs_2 have relatively higher values in comparison with alpha phase CdP_2 . **Table 5.19** shows that the variation in Poisson's ratio is more significant in the monoclinic ZnAs_2 crystal than in the alpha phase of CdP_2 .

Table 5.18: Minimum and maximum values of linear compressibility β [in (TPa)⁻¹], Poisson's ratio ν (unitless), shear modulus G (in GPa) and Young's modulus E (in GPa) of ZnAs₂

Scheme	G_{\min}	G_{\max}	E_{\min}	E_{\max}	β_{\min}	β_{\max}	ν_{\min}	ν_{\max}
PBEsol ^{5hh}	25.76	51.76	70.92	121.47	3.60	4.45	0.051	0.491
PBE	24.60	49.67	67.29	116.32	3.95	4.95	0.038	0.481
PWGGA	24.74	48.93	67.70	114.39	3.96	4.90	0.049	0.479
LDA PZ	26.60	54.13	73.82	127.71	3.23	4.11	0.059	0.510
LDA VWN	26.82	54.84	74.41	129.00	3.31	4.10	0.055	0.504
B3LYP	26.41	51.70	71.56	120.17	4.09	5.09	0.039	0.454
B3PW	27.25	52.43	73.82	122.84	3.88	4.80	0.048	0.458
PBE0	27.42	53.29	74.66	125.17	3.71	4.61	0.051	0.468

^{5hh}Ref. [237].

Table 5.19: Elastic anisotropy parameters: ratio of maximum to minimum values of Young's modulus E , linear compressibility β , shear modulus G and Poisson's ratio ν for ZnAs₂. Elastic anisotropy parameters A_G and A^U for ZnAs₂

Scheme	Anisotropy				A_G	A^U
	$\frac{E_{\max}}{E_{\min}}$	$\frac{\beta_{\max}}{\beta_{\min}}$	$\frac{G_{\max}}{G_{\min}}$	$\frac{\nu_{\max}}{\nu_{\min}}$		
PBEsol ⁵ⁱⁱ	1.71	1.24	2.01	9.59	0.019	0.402
PBE	1.73	1.25	2.02	12.59	0.020	0.408
PWGGA	1.69	1.24	1.98	9.77	0.037	0.386
LDA PZ	1.73	1.27	2.04	8.65	0.040	0.419
LDA VWN	1.73	1.24	2.05	9.12	0.040	0.413
B3LYP	1.68	1.25	1.96	11.72	0.035	0.368
B3PW	1.66	1.24	1.92	9.60	0.034	0.359
PBE0	1.68	1.24	1.94	9.20	0.035	0.368

⁵ⁱⁱUsing Ref. [237].

The obtained value of the elastic anisotropy index A^U is nearly 0.41 at zero pressure under the PBE method. The theoretically predicted maximum and minimum values of directional elastic quantities have a correlation with elastic anisotropy.

Table 5.18 illustrates that G , E and β have significant differences between their

respective maximum and minimum values. Hence, it is inferred that bulk anisotropy, as well as shear anisotropy, are exhibited by monoclinic ZnAs_2 . Ranganathan's universal elastic anisotropy index A^U has a higher value for monoclinic ZnAs_2 in comparison with $\alpha\text{-CdP}_2$ and $\alpha\text{-ZnP}_2$.

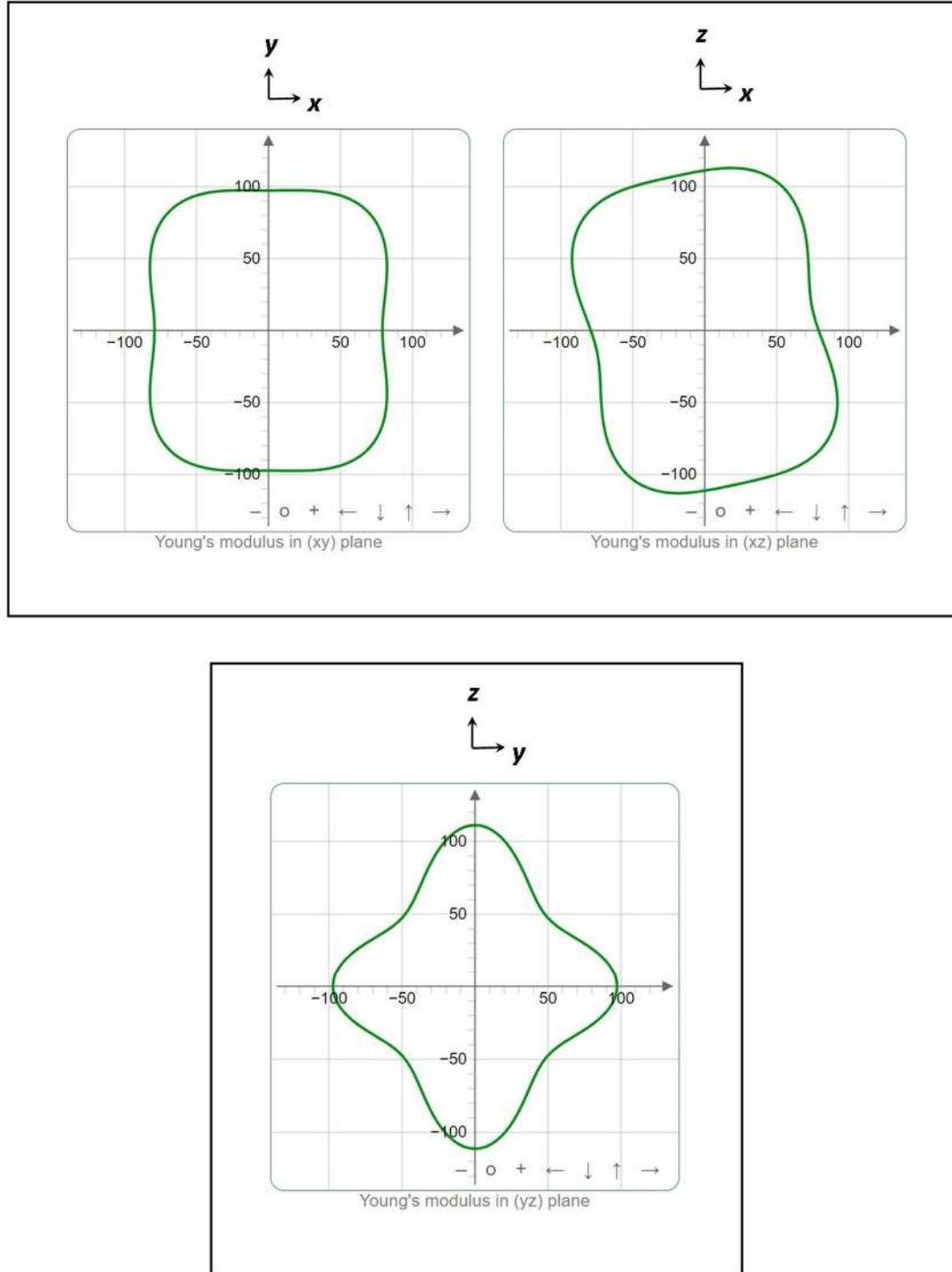


Figure 5.19: Polar graphs^{5ij} (2D view) for the directional-dependent Young's modulus E (in GPa) of ZnAs_2 at zero pressure under the PBE scheme.

^{5ij}Using the ELATE program [181, 182].

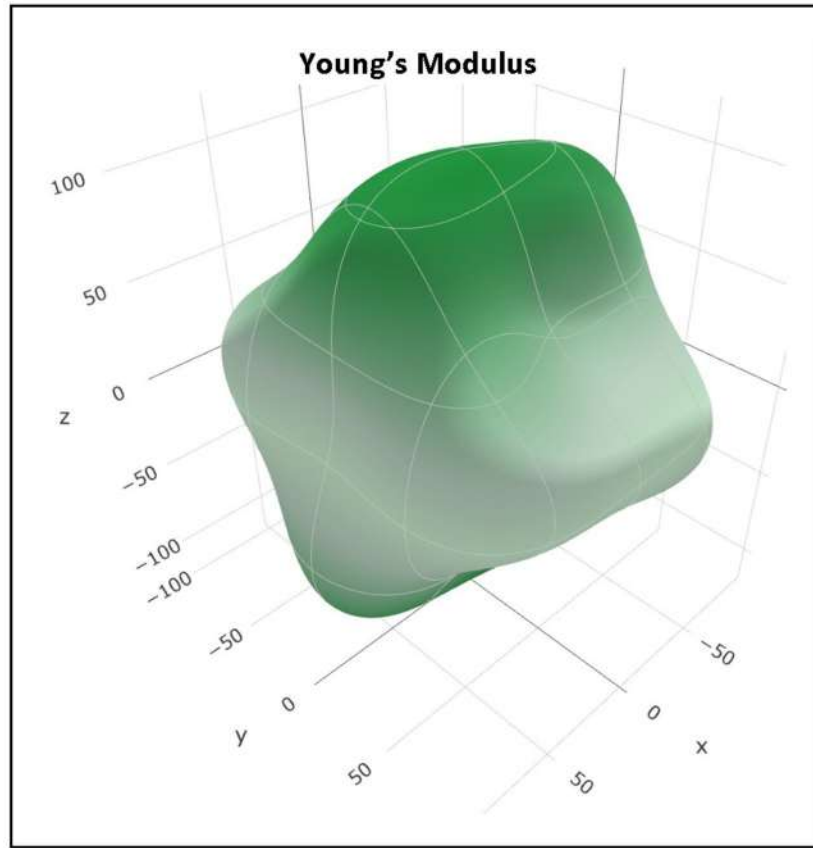


Figure 5.20: Polar graph^{5kk} (3D view) for the directional-dependent Young's modulus E (in GPa) of ZnAs_2 at zero pressure under the PBE scheme.

^{5kk}Using the ELATE program [181, 182].

The plots of the directional Young's modulus, shear modulus and linear compressibility could provide valuable insights about the elastic anisotropy of the crystals. It is apparent from **Fig. 5.19** to **Fig. 5.22** that the polar plots of the directional Young's modulus and linear compressibility are not in a circular shape. Therefore, the presence of finite elastic anisotropy is inferred for monoclinic ZnAs_2 . In the xy -plane, Young's modulus E first increases and then decreases continuously as the angle (with the x -direction) increases from 0° to 90° .

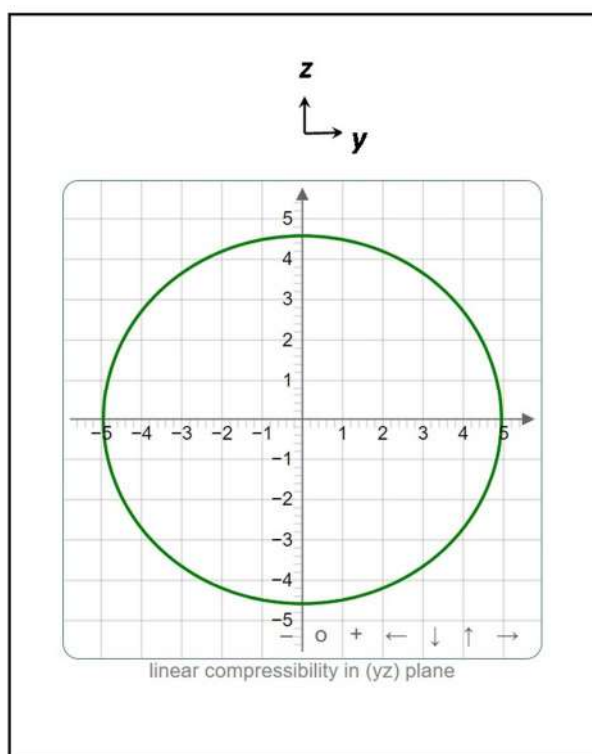
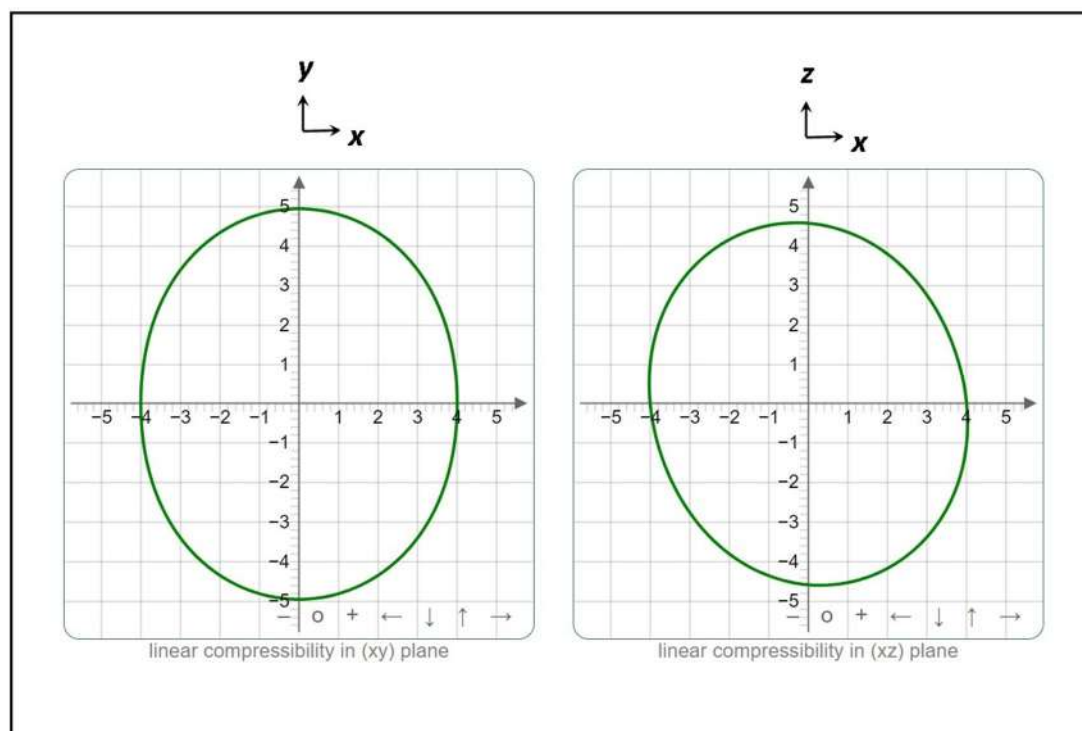


Figure 5.21: Polar graphs^{51l} (2D view) for the directional-dependent linear compressibility β [in $(\text{TPa})^{-1}$] of ZnAs_2 at zero pressure under the PBE scheme.

^{51l}Using the ELATE program [181, 182].

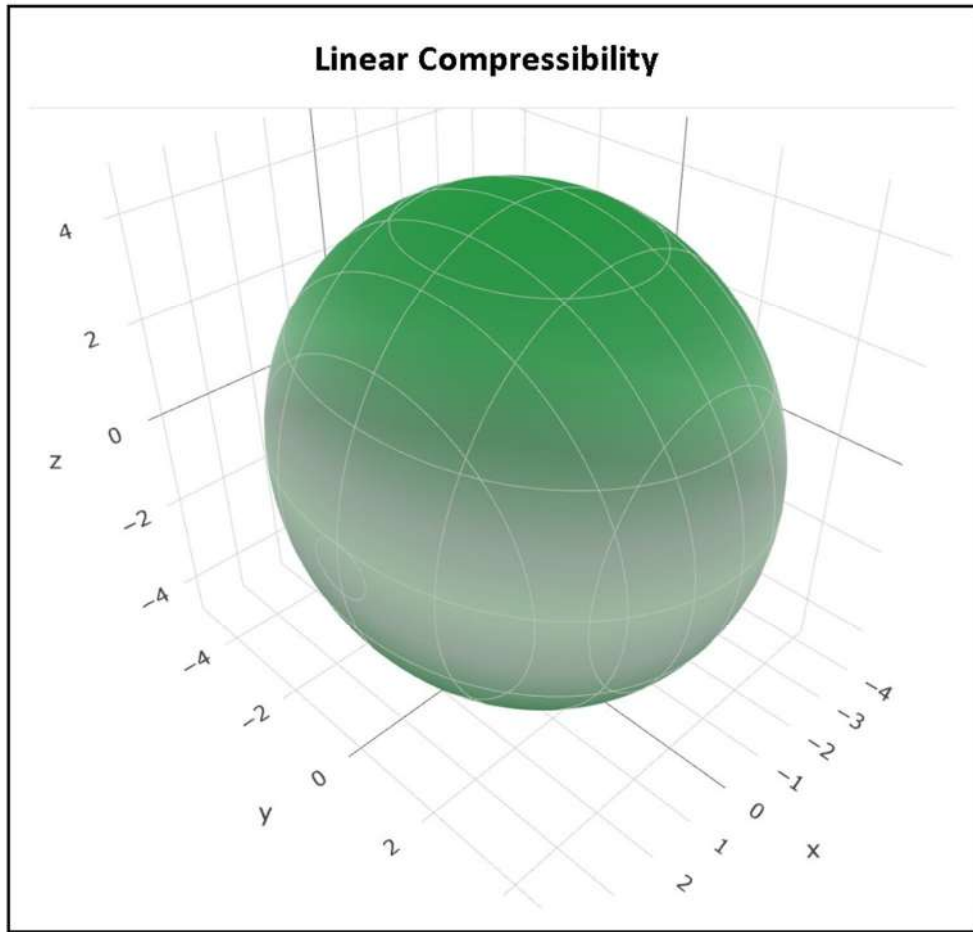


Figure 5.22: Polar graph^{5mm} (3D view) for the directional-dependent linear compressibility β [in $(\text{TPa})^{-1}$] of ZnAs_2 at zero pressure under the PBE scheme.

^{5mm}Using the ELATE program [181, 182].

The polar graphs of Young's modulus and linear compressibility for ZnAs_2 were plotted with the PBEsol scheme in other work [237]. The directional-dependent Poisson's ratio and shear modulus of monoclinic ZnAs_2 at zero pressure under the PBE scheme in polar form are depicted in **Fig. 5.23** to **Fig. 5.26**. These polar graphs have been plotted as per the convention used for the ELATE program [181, 182].

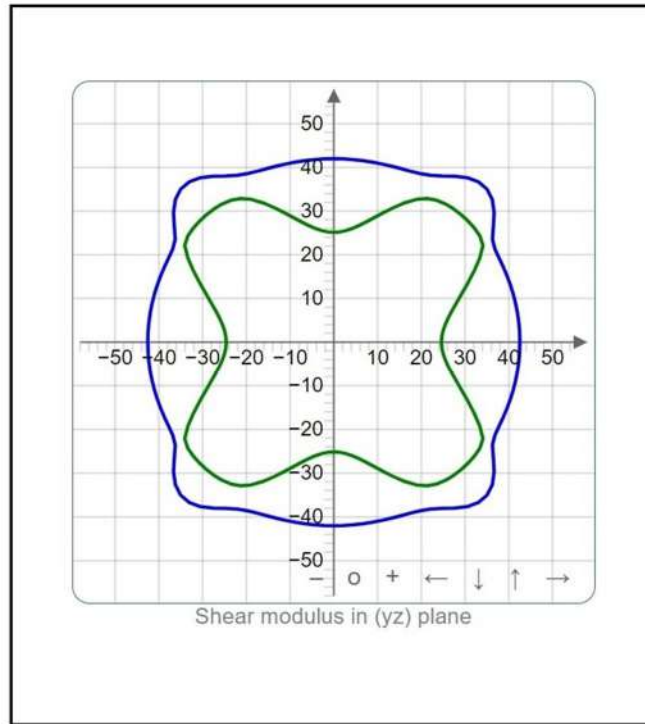
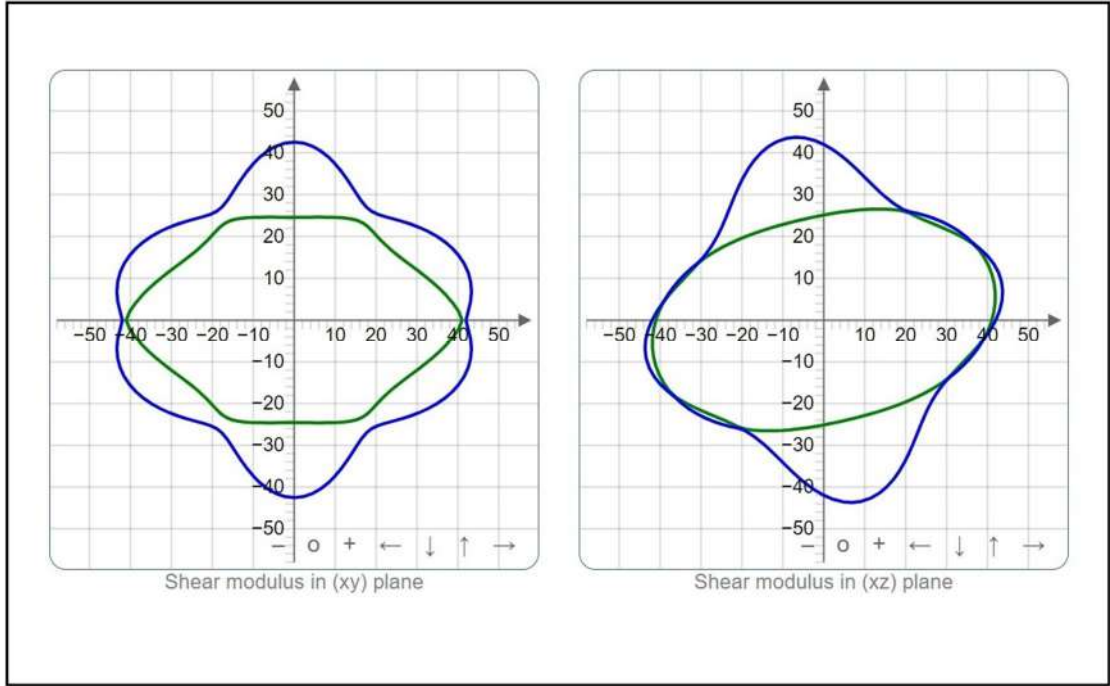


Figure 5.23: Polar graphs⁵ⁿⁿ (2D view) for the directional-dependent shear modulus G (in GPa) of ZnAs_2 at zero pressure under the PBE scheme.

⁵ⁿⁿUsing the ELATE program [181, 182].

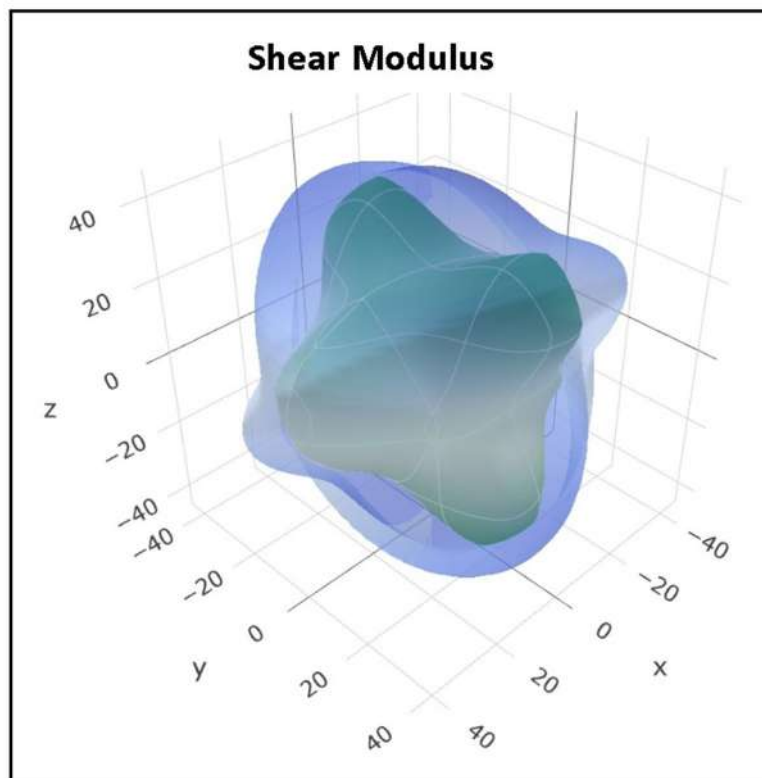


Figure 5.24: Polar graph⁵⁰⁰ (3D view) for the directional-dependent shear modulus G (in GPa) of ZnAs_2 at zero pressure under the PBE scheme.

⁵⁰⁰Using the ELATE program [181, 182].

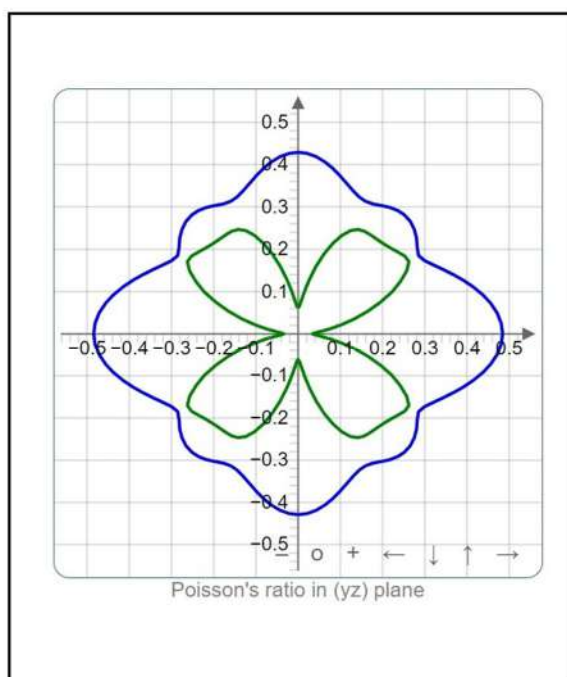
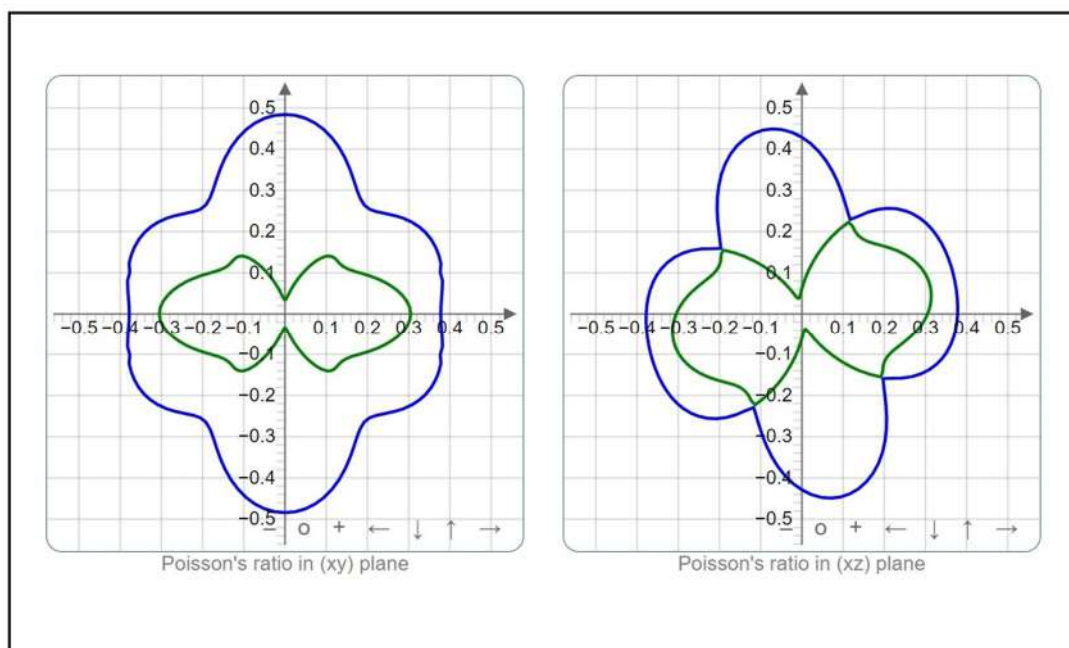


Figure 5.25: Polar graphs^{5pp} (2D view) for the directional-dependent Poisson's ratio ν (unitless) of ZnAs_2 at zero pressure under the PBE scheme.

^{5pp}Using the ELATE program [181, 182].

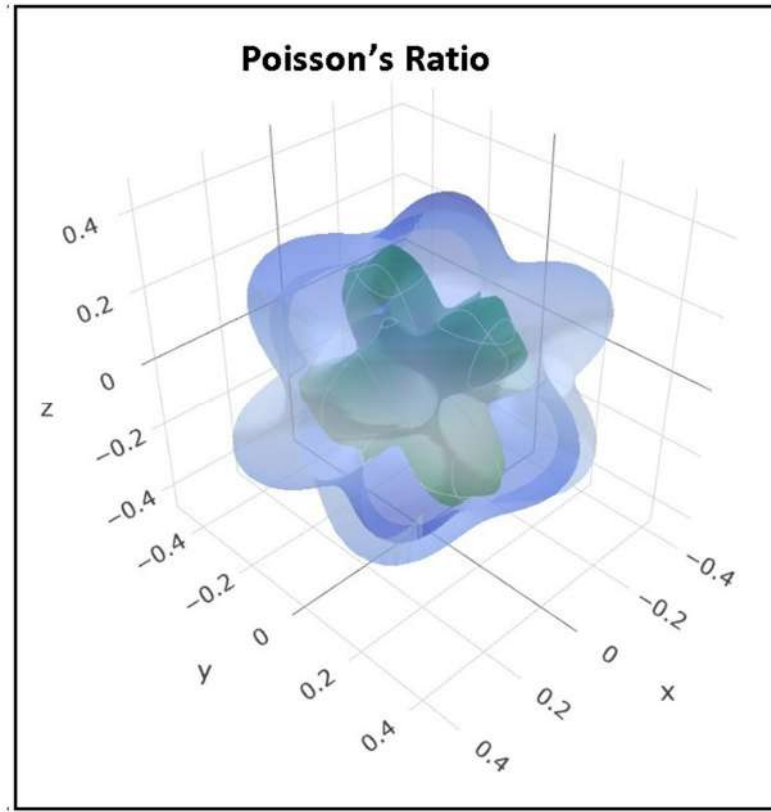


Figure 5.26: Polar graph^{5qq} (3D view) for the directional-dependent Poisson's ratio ν (unitless) of ZnAs_2 at zero pressure under the PBE scheme.

^{5qq}Using the ELATE program [181, 182].

5.4 Conclusions

The projections (polar graphs) of Young's modulus on the different xy -, xz - and yz -planes provide insight into the variation in Young's modulus. Our study reveals the malleable nature of $\alpha\text{-CdP}_2$ at zero pressure. The directional Young's modulus of $\alpha\text{-CdP}_2$ varies from 48.89 GPa to 71.53 GPa under the PBE method. The directional shear modulus of $\alpha\text{-CdP}_2$ varies from 19.26 GPa to 32.74 GPa under the PBE method. For $\alpha\text{-ZnP}_2$, the values of the elastic constants C_{11} and C_{33} are substantially greater in comparison to the other elastic stiffness constants, such as C_{12} , C_{13} , C_{44} and C_{66} . The calculated values of the Young's modulus and bulk modulus for polycrystalline $\alpha\text{-ZnP}_2$ are 105.70 GPa and 66.43 GPa, respectively, under the PBE method. The value of Young's modulus is indicative of the stiffness of the substance. Therefore, it

may be concluded that the α -ZnP₂ crystal has enough stiffness. Our present findings show that α -CdP₂, α -ZnP₂ and monoclinic ZnAs₂ crystal systems have definite elastic anisotropies. The calculated value of the elastic anisotropy index A^U is 0.279 at zero pressure in the case of α -ZnP₂ under the PBE scheme. For α -ZnP₂, the angular variation of Young's modulus E is similar in the xz -plane and in the yz -plane. For α -ZnP₂, the yz -plane has higher elastic anisotropy in comparison with the xy -plane for linear compressibility. In the case of Young's modulus of α -ZnP₂, the xy -plane has greater anisotropy than the yz -plane. Substantial differences are present among the calculated values of the directional Young's moduli $E_{[100]}$, $E_{[001]}$ and $E_{[110]}$ for α -ZnP₂. In general, our calculated elastic moduli of α -ZnP₂ are in reasonably fair agreement with the existing available experimental data. Our investigation also shows that the yz -plane has remarkable anisotropy for the shear modulus of α -ZnP₂. This comprehensive computational study of the anisotropic properties of the alpha phase of ZnP₂ may bestow a prophecy about the favored orientation of the α -ZnP₂ crystal for devising optoelectronic instruments. Our computational outcome on the elastic properties of α -ZnP₂ might provide a guiding point for experimentalists.

The elastic anisotropy index A^U has a higher value for monoclinic ZnAs₂ in comparison with α -CdP₂ and α -ZnP₂. Our investigation illustrates that the percentage variation in Poisson's ratio is higher in monoclinic ZnAs₂ than in α -CdP₂. The polycrystalline bulk modulus B_H has a higher value for monoclinic ZnAs₂ in comparison with α -CdP₂ and α -ZnP₂. The value of polycrystalline Young's modulus E_H is relatively high for α -ZnP₂ in comparison with α -CdP₂ and monoclinic ZnAs₂. The polycrystalline shear modulus G_H has a higher value for α -ZnP₂ in comparison with α -CdP₂ and monoclinic ZnAs₂. The polar graphs in the xz -plane for the directional-dependent linear compressibility β show that α -CdP₂ has more anisotropy than α -ZnP₂.

CHAPTER 6

CONCLUSIONS AND FUTURE SCOPE

6.1 Conclusions

The present investigation illustrates the structures of the orthorhombic CdP_2 , tetragonal ZnP_2 and monoclinic ZnAs_2 crystals. Our work shows that the atomic pair P-P has the nearest distance of about 2.19 Å and the atomic pair Cd-P has the nearest distance of about 2.61 Å in the alpha phase of CdP_2 under PBE scheme. The values of the isothermal bulk modulus B_0 of $\alpha\text{-CdP}_2$ are calculated with EOS (Birch-Murnaghan, Vinet and Poirier-Tarantola) and these values lie in the range 51.94–64.57 GPa. At zero pressure, the first pressure derivative of bulk modulus of the orthorhombic $\alpha\text{-CdP}_2$ crystal is in the range 3.93–4.17. The computed first pressure derivative B'_0 of $\alpha\text{-ZnP}_2$ is about 4.5. The bulk modulus B_0 for the alpha phase of ZnP_2 is about 64–83 GPa. In the alpha phase of ZnP_2 , the nearest P-P atomic pair distance is about 2.17 Å and the nearest Zn-P atomic pair distance is about 2.3 Å under LDA scheme. The computed nearest neighbor distance between the P-P atomic pair in the alpha phase of CdP_2 is not much different from the nearest neighbor distance between the P-P atomic pair in the alpha phase of ZnP_2 . At zero pressure, the obtained value of the calculated angle β for ZnAs_2 is around 102.46°. The nearest atomic pair Zn-As distance is around 2.41 Å and the nearest atomic pair As-As distance is around 2.46 Å in the ZnAs_2 crystal under PBE scheme. The estimated value of the first pressure derivative of the monoclinic ZnAs_2 crystal lies in the approximate range of 2.61 to 4.20. The computed value of bulk modulus for the ZnAs_2 crystal is in the range 72.31–86.13 GPa. In the ZnAs_2 crystal, the nearest atomic pair As-As distance is larger than the nearest atomic pair Zn-As distance. The estimated first pressure derivatives B'_0 of $\alpha\text{-CdP}_2$, $\alpha\text{-ZnP}_2$ and ZnAs_2 lie in the general range of B'_0 from 2 to 6 for solids. Among $\alpha\text{-CdP}_2$, $\alpha\text{-ZnP}_2$ and ZnAs_2 , bulk modulus is found to have a low value for $\alpha\text{-CdP}_2$. The first pressure derivative is the highest for $\alpha\text{-ZnP}_2$ among $\alpha\text{-CdP}_2$, $\alpha\text{-ZnP}_2$ and ZnAs_2 .

The present work explores the electronic properties of $\alpha\text{-CdP}_2$, $\alpha\text{-ZnP}_2$ and ZnAs_2 . In the vicinity of Fermi energy, the contribution to the density of states of each Cd atom is less in comparison to that of each nonequivalent phosphorus atom [P(I) and P(II)] in $\alpha\text{-CdP}_2$. The estimated approximate charge transfer values for Cd, P(I) and P(II) are 1.06e, 0.54e and 0.52e, respectively, for $\alpha\text{-CdP}_2$. The value of the maximum

overlap population for pair Cd-P is nearly 0.148 and for pair P-P, it is 0.076 in α -CdP₂ under PBE scheme. The value of the energy band gap of the alpha phase of CdP₂ is almost 1.78 eV under the GGA functionals (PBE, PBEsol and PWGGA).

For α -ZnP₂, near the Fermi energy level, the contribution of each Zn atom is lower in comparison with each P atom. In the vicinity of the Fermi level, for the P atom of the alpha phase of ZnP₂, the contribution of *s* and *d* orbitals is much lower than that of *p* orbitals to the density of states. It can be said that the contribution of *s* and *d* orbitals of P atom is negligible near Fermi energy. In a similar way, the contribution of the *f* orbitals of Zn atom of α -ZnP₂ to DOS is insignificant. The *p* and *d* orbitals of Zn atom contributes to the density of states more than that of *s* orbitals of Zn atom in α -ZnP₂ in the vicinity of Fermi energy. Near the bottom of the conduction band region and the top of the valence band, *p* orbitals of P atoms mainly contribute to the density of states for α -ZnP₂, i.e., specified band regions have mostly P-*p* characters. The energy band gap of α -ZnP₂ is about 1.5 eV under the GGA functional. Charge transfers take place about 1.07*e*, 0.52*e* and 0.54*e* for Zn atom, P(I) atom and P(II) atom, respectively, in the alpha phase of ZnP₂. For α -ZnP₂, the value of the maximum overlap population between pairs Zn-P is nearly 0.17 under PBE functional. The value of the maximum overlap population between pairs P-P is of the order of 0.04 for α -ZnP₂.

In the vicinity of the Fermi energy level, the contributions of each nonequivalent arsenic atom to the density of states are more significant than those of each nonequivalent zinc atom in the ZnAs₂ crystal. Near the Fermi energy, for DOS, the contribution of *p* orbitals is greater than that of *s* and *d* orbitals of the nonequivalent As(I) atoms in monoclinic ZnAs₂. In the vicinity of the Fermi energy level, all the arsenic atoms follow nearly the same pattern for the density of states in ZnAs₂. The contributions of *s* and *f* orbitals of the nonequivalent Zn(I) atom to DOS are less than that of *p* and *d* orbitals of the nonequivalent Zn(I) atom in ZnAs₂. The computed charge transfer values for the nonequivalent atoms Zn(I) and Zn(II) are nearly 0.96*e* and 0.94*e*, respectively, for ZnAs₂. The estimated values of charge transfer for the nonequivalent atoms As(I), As(II), As(III) and As(IV) are nearly 0.46*e*, 0.46*e*, 0.46*e* and 0.51*e*, respectively, for the monoclinic ZnAs₂ crystal. The value of energy band gap under the GGA functionals is about 0.81 eV for ZnAs₂. The value of the

maximum overlap population for pair As-As is about 0.27 and for pair Zn-As, it is about 0.20 in ZnAs₂.

Our study provides insight into the elastic properties of the semiconductor compounds α -CdP₂, α -ZnP₂ and ZnAs₂. The present work reveals the malleable nature of the alpha phase of CdP₂. The value of the elastic constant C_{11} is the maximum among the other elastic constants of α -CdP₂. The elastic constants C_{22} and C_{33} are substantially greater than other elastic stiffness constants, such as C_{12} , C_{13} , C_{23} , C_{44} , C_{55} and C_{66} in the alpha phase of CdP₂. Our results show that $C_{11} > C_{22} > C_{33} > C_{12}$ and $C_{44} > C_{66} > C_{55}$ for α -CdP₂. It is observed that elastic moduli $E_H > B_H > G_H$ for α -CdP₂. The estimated Poisson's ratio ν_H for α -CdP₂ is about 0.28–0.32. The elastic anisotropy parameters ratio of the maximum to minimum values of Young's modulus, linear compressibility, shear modulus and Poisson's ratio for α -CdP₂ are nearly 1.46, 2.08, 1.70 and 4.44, respectively, under PBE scheme. In the case of Young's modulus of α -CdP₂, the yz-plane has greater anisotropy than the xy-plane.

Our study illustrates $C_{33} > C_{11} > C_{66} > C_{44}$ for the alpha phase of ZnP₂. For α -ZnP₂, the values of the elastic constants C_{11} and C_{33} are sufficiently greater than the other elastic stiffness constants, such as C_{12} , C_{13} , C_{44} and C_{66} . It is also observed that elastic moduli $E_H > B_H > G_H$ for α -ZnP₂. The computed value of Poisson's ratio ν_H for α -ZnP₂ is in the range 0.21–0.26. This work indicates the brittle nature of the alpha phase of ZnP₂.

Along different crystallographic directions, the directional Young's modulus of the α -ZnP₂ crystal follows the relation $E_{[100]} = E_{[010]} < E_{[001]} < E_{[110]}$; $a = b < c$, whereas linear compressibility follows the relation $\beta_{[100]} = \beta_{[010]} = \beta_{[110]} > \beta_{[001]}$. The elastic anisotropy parameters ratios of the maximum to minimum values of Young's modulus, linear compressibility and shear modulus for α -ZnP₂ are nearly 1.51, 1.09 and 2.02, respectively. The ratio of the maximum to minimum value of Poisson's ratio for α -ZnP₂ is much greater than that of α -CdP₂. For the Young's modulus of α -ZnP₂, the yz-plane has a smaller anisotropy than the xy-plane. The yz-plane has substantial anisotropy for shear modulus for the alpha phase of ZnP₂.

Our present work illustrates C_{11} , C_{22} and C_{33} are much greater than other elastic constants, such as C_{44} , C_{55} , C_{66} , C_{12} , C_{13} , C_{23} , C_{25} , C_{35} and C_{46} for ZnAs_2 crystals. For ZnAs_2 , it is observed that elastic moduli $E_H > B_H > G_H$. The computed value of Poisson's ratio ν_H for ZnAs_2 is in the range of 0.27–0.31. The elastic anisotropy parameters ratios of maximum to minimum values of Young's modulus, linear compressibility, shear modulus and Poisson's ratio for ZnAs_2 are 1.73, 1.25, 2.02 and 12.59, respectively, under PBE scheme.

The bulk modulus B_H of ZnAs_2 has a higher value than that of $\alpha\text{-CdP}_2$ and $\alpha\text{-ZnP}_2$. Polycrystalline shear modulus G_H has a higher value for $\alpha\text{-ZnP}_2$ in comparison with $\alpha\text{-CdP}_2$ and ZnAs_2 . The obtained values of Poisson's ratios ν_H for $\alpha\text{-CdP}_2$, $\alpha\text{-ZnP}_2$ and ZnAs_2 lie in the theoretically predicted range. This investigation shows that $\alpha\text{-CdP}_2$, $\alpha\text{-ZnP}_2$ and ZnAs_2 crystal systems have definite elastic anisotropy. This investigation on the anisotropic properties of the semiconductor compounds ZnAs_2 , $\alpha\text{-CdP}_2$ and $\alpha\text{-ZnP}_2$ might give a guiding point for the favoured orientations of these crystals for devising optoelectronic instruments. In the case of the requirement of malleable nature of the materials for device fabrication, $\alpha\text{-CdP}_2$ and ZnAs_2 have advantages over $\alpha\text{-ZnP}_2$. If a device requires more stiffness for linear compression (i.e., large value of Young's modulus), then $\alpha\text{-ZnP}_2$ has advantages over $\alpha\text{-CdP}_2$ and ZnAs_2 . In the case of the requirement of a low value of transverse strain (for a given longitudinal strain) for the polycrystalline materials, then $\alpha\text{-ZnP}_2$ should be preferred over $\alpha\text{-CdP}_2$ and ZnAs_2 , as $\alpha\text{-ZnP}_2$ has a relatively low value of Poisson's ratio. Our comprehensive computational results about the elastic properties of $\alpha\text{-CdP}_2$, $\alpha\text{-ZnP}_2$ and ZnAs_2 crystals might be useful for experimentalists. The DFT method in computational materials science played an important role in calculating the elastic anisotropy of these compounds. The present study of these semiconducting compounds is likely to be beneficial for further research in optoelectronic device applications.

6.2 Future Scope

Concerning future scope, our findings would be useful to explore the properties of II-V₂ semiconductors through further experiments. Semiconducting compounds CdP_2 , ZnP_2 and ZnAs_2 may be studied with the doping of suitable elements. Investigations

may be carried out with different amounts of doping. The properties of CdP_2 , ZnP_2 and ZnAs_2 with doping may also be investigated with temperature variations. Further research might reveal the properties of these compounds for doping. More DFT work is needed to explore the temperature-dependent structural, electronic and elastic properties of these compounds. Pressure-dependent structural, electronic and elastic properties of these compounds may also be explored.

The photoelastic properties of these compounds have yet to be investigated by researchers. Investigation of the piezoelectric tensor of the semiconducting compounds CdP_2 , ZnP_2 and ZnAs_2 is to be explored. A thorough investigation of dielectric properties, such as reflection coefficient, absorptivity, etc., should be carried out. The thermoelectric properties of these semiconducting compounds have yet to be thoroughly investigated. Electron thermal conductivity, Seebeck coefficient and power factor have not yet been studied thoroughly for these compounds. Furthermore, the calculation of effective mass should be explored in future research. The properties of the nano phase of these compounds may be studied to expand different perspectives on technological applications. There are some other II-V₂ semiconducting compounds, such as ZnSb_2 , CdSb_2 , CdAs_2 , ZnN_2 and CdN_2 . Investigators gave scant attention to the compounds ZnSb_2 , CdSb_2 , CdAs_2 , ZnN_2 and CdN_2 . There is a lot of scope for investigating the unexplored properties of these compounds in future research. Our investigation might stimulate further experimental research on these compounds.

SUMMARY

SUMMARY

This thesis deals with an *Ab-Initio* investigation of some II-V₂ semiconducting compounds. A DFT study of mainly α -CdP₂, α -ZnP₂ and ZnAs₂ has been carried out in the present investigation. The usefulness of semiconductors in devices has encouraged accelerated research endeavors to characterize their properties better [2]. A quantum mechanical *Ab-Initio* computer program provides the computation scheme to investigate many properties of crystalline systems [3]. Semiconductors have many technological utilizations, such as transistors, photoconductors, solar cells, strain gauges, charge-coupled devices, light-emitting diodes (LEDs), etc. [8]. Generally, semiconductor devices have reliability and these devices are also relatively economical [8]. Applications of the optical properties of solids are commercially valuable [9]. For optical integrated circuits, photonics has become an important area of research nowadays [9]. The level of Fermi energy in the DOS (density of states) versus the energy plot plays an important role to determine the electronic transport properties of solids [13]. For the deformation of matter, the theory of elasticity forms a mathematical model [18]. In general, crystals are said to be anisotropic because of their direction-dependent properties. II-V₂ semiconducting compounds are made from the 12th and 15th column elements of the periodic table. These compounds are useful in the fabrication of optoelectronic devices [25, 26, 27].

The thesis consists mainly of six chapters. **In Chapter 1**, Group II and Group V elements for II-V₂ semiconducting compounds have been discussed. A brief overview of some II-V₂ semiconducting compounds, such as II-V₂ phosphides and II-V₂ arsenides, is provided in Chapter 1. A special attention has been given to the review of the literature on CdP₂, ZnAs₂ and ZnP₂. Motivation for research work and an outline of the thesis are also provided in the first chapter.

In Chapter 2, the basic theoretical framework and computational software/ tools are illustrated. Chapter 2 describes DFT (Density Functional Theory), LCAO (Linear Combination of Atomic Orbitals), Basis Sets, Mulliken population, equation of state (EOS), computation cost, elastic stiffness and compliance constants. Useful software/tools CRYSTAL Program, DL Visualize (DLV), CRYSPLOT and ELATE are introduced in Chapter 2.

The structural, electronic and elastic properties of α -CdP₂, α -ZnP₂ and ZnAs₂ are studied with the CRYSTAL code (periodic *ab-initio* HF and DFT code) [124, 140]. In this study, computations are carried out with the GGA functionals (PBE [187, 188], PBEsol [189, 190] and PWGGA [191, 192, 193, 194, 195, 196]), LDA functionals (LDA PZ [197, 198] and LDA VWN [197, 199]), global hybrid functionals (B3PW [191, 192, 193, 200, 201], B3LYP [199, 200, 202, 203] and PBE0 [204, 205, 206, 207]) and range-separated hybrid functional (HSE06 [187, 188, 208, 209, 210, 211, 212, 213, 214, 215]). In the present investigation, the basis sets for cadmium, zinc, phosphorus and arsenic atoms are used from the CRYSTAL-Basis Set Library of the Torino group [124, 140]. An $8 \times 8 \times 8$ Monkhorst-Pack k -point mesh [139] is implemented for computation. The Fock/Kohn-Sham matrix mixing factor is utilized as a convergence tool for computations. Electronic properties, such as the density of states and band structures, Mulliken population, etc., are investigated by means of the CRYSTAL Code [124, 140]. Unit cell parameters and atom coordinates can be optimized under the full geometry optimization process [124] by means of the CRYSTAL program. The CRYSTAL program can also explore the dielectric [168, 169, 170], elastic [171, 172, 173, 174], and piezoelectric [174, 177, 178] properties of the substances.

DL Visualize (DLV) is a graphical user interface (GUI) that provides the facility of displaying and editing the structures of molecules, periodic structures of surfaces and crystals [179]. DLV facilitates the GUI to CRYSTAL Code [124, 140, 179].

CRYSLOT [180] is an online tool to plot different specified features of crystals computed with the CRYSTAL Program [124, 140]. It provides a plotting option for the total and projected density of states for atoms [180]. The CRYSLOT also allows plotting the density of states and band structure in a single combined plot [180]. The Fermi energy line in the band structure can be displayed through the CRYSLOT [180].

ELATE [181, 182] is an online tool that is used for the exploration of elastic tensors. The maximum and minimum values of elastic moduli may be obtained using the ELATE software [181]. The ELATE software also gives the values of the anisotropy parameters. Directional variations of shear modulus, Young's modulus, linear compressibility and Poisson's ratio are analyzed and visualized by means of the ELATE software [181]. Visualizations of 2D and 3D plots may be obtained using the ELATE program [181].

In **Chapter 3**, the optimized lattice parameters of conventional cells have been investigated using initial geometry data of II-V₂ semiconducting compounds by means of CRYSTAL Code [124, 140]. Atomic pair distances for the first 06 nearest atoms in compounds are computed. Plots of relative energy per unit cell of the compound versus its unit cell volume are illustrated in this work.

The computation of the Birch-Murnaghan [124, 132, 133, 134, 135], Vinet [124, 130, 131] and Poirier-Tarantola [124, 132, 136] equations of states [173] is carried out. Two crystalline phases of cadmium diphosphide, namely, alpha and beta, are reported [40, 41, 50]. The α -CdP₂ crystal has an orthorhombic crystal structure at room temperature [41]. A tetragonal crystal structure is reported for the beta phase of CdP₂ [35, 45]. The reported space group of α -CdP₂ is $Pna2_1$ [40, 41]. The lattice parameters of α -CdP₂ are $a = 9.90$ Å, $b = 5.408$ Å and $c = 5.171$ Å, as stated by Goodyear *et al.* [40]. The α -CdP₂ comprises three nonequivalent atoms, namely, Cd, P(I) and P(II) [40]. The atomic pair P-P has the nearest distance of nearly 2.19 Å, whereas atomic pair Cd-P has the nearest distance of about 2.61 Å under PBE scheme. The computed values of bulk modulus of α -CdP₂ are in the range 51.94–64.57 GPa. The computed values of the first pressure derivative B'_0 of α -CdP₂ are around 4.05. Hence, this range for α -CdP₂ lies in the general typical range of B'_0 from 2 to 6 for solids [220].

Two different crystalline phases of ZnP₂ are shown as α -ZnP₂ and β -ZnP₂ [28, 34]. The α -ZnP₂ and β -ZnP₂ crystals have tetragonal and monoclinic crystal structures, respectively [28, 34]. α -ZnP₂ has lattice parameters $a = 5.08$ Å and $c = 18.59$ Å [36]. The conventional unit cell of the alpha phase of ZnP₂ has 08 formula units [28, 36]. The estimated computed range of the first pressure derivative for α -ZnP₂ is 4.34–4.58. The estimated range of bulk modulus for α -ZnP₂ is 63.9–83.3 GPa.

The space group of monoclinic ZnAs₂ is $P2_1/c$ (C_{2h}^5) [46, 47]. The monoclinic unit cell of ZnAs₂ has 08 formula units [46]. The conventional unit cell of ZnAs₂ has six nonequivalent atoms [47, 50]. The computed angle β of monoclinic ZnAs₂ is around 102.46°. The typical computed value of the first pressure derivative for ZnAs₂ is 2.61–4.20. The estimated values of bulk modulus for ZnAs₂ are in the range of 72.31–86.13 GPa.

Chapter 4 addresses the study of the electronic properties of α -CdP₂, α -ZnP₂ and ZnAs₂. The energy band gaps of these compounds have been computed under various functional schemes. For α -CdP₂, the highest point of the valence band is found on the path Γ -Z and the lowest point of the conduction band is found near the X point. For α -CdP₂, computations have been performed along high symmetry directions for special points (namely X, Z, S, U, Y, R, Γ , etc.) in the Brillouin zone [4]. So, it illustrates the indirect energy band gap for α -CdP₂. The density of states of nonequivalent atoms in the alpha phase of CdP₂ is studied. Each P(I) and P(II) atom contributes more in comparison to the contribution to the density of states (DOS) by Cd atom. Under PBE scheme, the computed values of charge transfer for P(I), P(II) and Cd atoms are about $0.54e$, $0.52e$ and $1.06e$, respectively, in the alpha phase of α -CdP₂. The value of the maximum overlap population for the pair Cd-P is found to be nearly 0.148 under PBE functional. The present study shows that the energy band gap of α -CdP₂ is almost 1.78 eV under the GGA functionals (PBEsol, PBE and PWGGA).

The energy band gap value for the alpha phase of ZnP₂ under the PBE functional is 1.54 eV, which is an indirect energy band gap. For α -ZnP₂, point M is the highest point in the valence band. The contributions of *s* and *d* orbitals of P(I) atom of α -ZnP₂ are minimal to the density of states near the Fermi level. Also, the contribution of *p* orbitals of P(I) atom of α -ZnP₂ is much higher than that of *s* and *d* orbitals to DOS. For α -ZnP₂, the contribution of the *f* orbitals of Zn atom to DOS is negligible. In α -ZnP₂ under PBE scheme, charge transfers take place about $1.07e$, $0.52e$ and $0.54e$ for Zn atom, P(I) atom and P(II) atom, respectively. The maximum overlap population between pairs Zn-P is about 0.17 in α -ZnP₂ under PBE scheme.

For the monoclinic ZnAs₂, the energy band gap under the GGA functionals is about 0.81 eV. The value of the maximum overlap population for pair Zn-As is about 0.20 and for pair As-As, it is about 0.27 in ZnAs₂.

The contributions of each nonequivalent arsenic atom to the density of states near Fermi energy are more significant than those of each nonequivalent zinc atom in ZnAs₂. In the vicinity of the Fermi energy, for DOS, the contribution of *p* orbitals of nonequivalent As(I) atom is more significant than that of *s* and *d* orbitals of nonequivalent As(I) atom in the ZnAs₂ crystal. Also, the contributions of *s* and *f* orbitals of nonequivalent Zn(I) atom to DOS are less than those of *p* and *d* orbitals of

nonequivalent Zn(I) atom in monoclinic ZnAs₂. The computed values of charge transfer for nonequivalent atoms As(I), As(II), As(III) and As(IV) are nearly 0.46e, 0.46e, 0.46e and 0.51e, respectively, for ZnAs₂. The calculated charge transfer values for the nonequivalent atoms Zn(I) and Zn(II) are about 0.96e and 0.94e, respectively, for ZnAs₂.

In **Chapter 5**, elastic constants C_{ij} and elastic compliance constants S_{ij} are computed for α -CdP₂, α -ZnP₂ and ZnAs₂. For these compounds, the investigation of the directional dependence of linear compressibility, Poisson's ratio, shear modulus and Young's modulus is carried out by plotting polar graphs. Minimum and maximum values of linear compressibility β , Poisson's ratio ν , shear modulus G and Young's modulus E of these compounds are computed under various functionals.

The variation of the bulk modulus of the II-V₂ compounds with pressure is studied using the keyword EOS [173]. The elastic quantities Young's modulus E , bulk modulus B and shear modulus G are computed by utilizing the ELATE program [181, 182]. For these compounds, it is observed that $E_H > B_H > G_H$. The brittleness and malleability properties of the polycrystalline substances are correlated with the ratio of bulk modulus B to shear modulus G [231]. The malleable nature of a polycrystalline substance is likely to be predicted for a ratio B/G greater than nearly 1.75 [231]. Ranganathan's term universal elastic anisotropy index A^U [234] is calculated for these compounds.

The orthorhombic CdP₂ crystal has nine independent elastic constants C_{11} , C_{12} , C_{13} , C_{22} , C_{23} , C_{33} , C_{44} , C_{55} and C_{66} [16]. The necessary and sufficient elastic stability conditions for the orthorhombic crystal, as stated by Mouhat *et al.* [230], are followed by the alpha phase of CdP₂.

The crystal of the tetragonal (I) class (4/mmm) has 06 independent elastic stiffness constants C_{11} , C_{12} , C_{13} , C_{33} , C_{44} and C_{66} [16]. In view of the resisting structural deformation, the bulk modulus of nearly 66 GPa of the alpha phase of ZnP₂ shows its considerably ample mechanical strength. In the case of α -ZnP₂, the xy -plane has greater anisotropy than the yz -plane for Young's modulus E . The maximum percentage change in the value of linear compressibility of α -ZnP₂ with respect to its minimum value is about 8.9% for the xz -plane.

The thirteen independent elastic stiffness constants, namely C_{11} , C_{12} , C_{13} , C_{15} , C_{22} , C_{23} , C_{25} , C_{33} , C_{35} , C_{44} , C_{46} , C_{55} and C_{66} are reported for standard orientation of

monoclinic crystal [16]. In the present study, the calculated bulk modulus B of ZnAs_2 increases with applied pressure (P from 0 to 6 GPa). The shear modulus G has around 100% variation relative to its minimum value for ZnAs_2 . The anisotropy index A^U has a higher value for ZnAs_2 in comparison with $\alpha\text{-CdP}_2$ and $\alpha\text{-ZnP}_2$.

In **Chapter 6**, conclusions and future scope are illustrated. The present investigation on the anisotropic properties of the semiconducting compounds $\alpha\text{-CdP}_2$, $\alpha\text{-ZnP}_2$ and ZnAs_2 might provide a guiding point for the favored orientation of these crystals for devising optoelectronic instruments. This study of these semiconducting compounds is likely to be beneficial for further research in optoelectronic device applications.

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Abstract

The energy bands, density of states, charge density, Mulliken population, equation of state and elastic properties of α -CdP₂ have been studied. Exchange correlation functional PBEsol is utilized in this study. The optimized equilibrium lattice parameters of the conventional cell have been obtained. The present investigation indicates the existence of an indirect band gap of 1.76 eV in α -CdP₂ crystal. Elastic calculations show the mechanical stability of the alpha phase of CdP₂ crystal. This work provides an analysis of directional Young's modulus and linear compressibility for α -CdP₂. The study of the elastic anisotropy parameters shows that the alpha phase has a definite elastic anisotropy. The calculated Debye temperature of α -CdP₂ is 288.1 K.

1. Introduction

Cadmium diphosphide (II-V₂ group semiconducting compound) is reported to be a good feasible material for device application in the field of optoelectronics [1–3] and thermal sensors [4]. The optical properties of CdP₂ enable it to be used in the fabrication of solar cells [5]. CdP₂ is also useful as a dopant compound for the fabrication of nGaAs/InP PIN photodetector arrays by the metal-organic chemical vapor deposition technique [6]. CdP₂ exists in two different crystalline phases, namely alpha and beta [7]. The alpha phase of CdP₂ is an orthorhombic structure at room temperature [7]. The α -CdP₂ crystal structure has a space group Pna2₁ with four formula units in the conventional unit cell [8]. A pronounced structural character in the alpha phase of CdP₂ is a helical $\infty^1[P^-]$ -chain coordinated to cadmium ions [7]. The peculiarity of the α -CdP₂ structure is chains of P atoms existing parallel to each other in the *c* direction in the crystal [9]. DFT calculation showed the piezoelectricity in the alpha phase of CdP₂ [10–12]. This piezoelectric characteristic opens the doors for the future possibility of using α -CdP₂ in designing piezoelectric devices such as piezoelectric sensors, transducers, etc. The piezoelectric effect is closely related to basic mathematical formulations based on elastic stiffness constants and dielectric susceptibility. Our elastic characterization and interpretation may get considerable practical utility in the field of piezoelectric device technology for future research. The experimental and theoretical investigations of tetragonal β -CdP₂ have been carried out by several researchers [13–16]. To the best of our knowledge, thorough experimental and theoretical investigations of the alpha phase of CdP₂ have not yet been reported. The first principle method within density functional theory has been applied to explore the structural properties, energy bands, density of states, charge density, Mulliken population [17] and elastic properties of α -CdP₂. Our present *ab initio* study is able to explore the unrevealed properties of α -CdP₂. Our attempt to fill the existing research gap in the study of the alpha phase of CdP₂ crystal is likely to be advantageous for researchers to carry out further investigations for application in optoelectronics and piezoelectric device technologies. The article thereon is organized as follows: In section 2, computational techniques are described. This is followed by results and discussion in section 3. Finally, we discuss conclusions in section 4.

2. Computational details

In this study, all the calculations are performed with CRYSTAL Program [18, 19] which is an *ab initio* quantum mechanical program. CRYSTAL Program (periodic *ab initio* HF and DFT code) uses a localized Gaussian type

Table 1. The lattice parameters (a , b and c in Å) and volume V (Å³) of the conventional cell of α -CdP₂.

	Scheme	a	b	c	V
Present work	PBEsol	10.0051	5.4924	5.1603	283.5689
Exp. ^a		9.90	5.408	5.171	276.85
Other work ^b					286.0

^a Reference [8].^b Reference [15].**Table 2.** The fractional coordinates of the nonequivalent atoms in the unit cell of α -CdP₂.

Atom	Fractional coordinates								
	Present work (PBEsol scheme)			Exp. ^a			Other work ^b		
	X/a	Y/b	Z/c	X/a	Y/b	Z/c	X/a	Y/b	Z/c
Cd	0.1502	0.1050	0.2621	0.1529	0.1016	0.2606	0.1529	0.1011	0.2606
P (I)	0.1222	0.4441	−0.3924	0.1186	0.4458	0.5850	0.1185	0.4442	0.5957
P (II)	−0.0091	0.2722	−0.0916	−0.0074	0.2697	−0.0676	−0.0064	0.2693	−0.1036

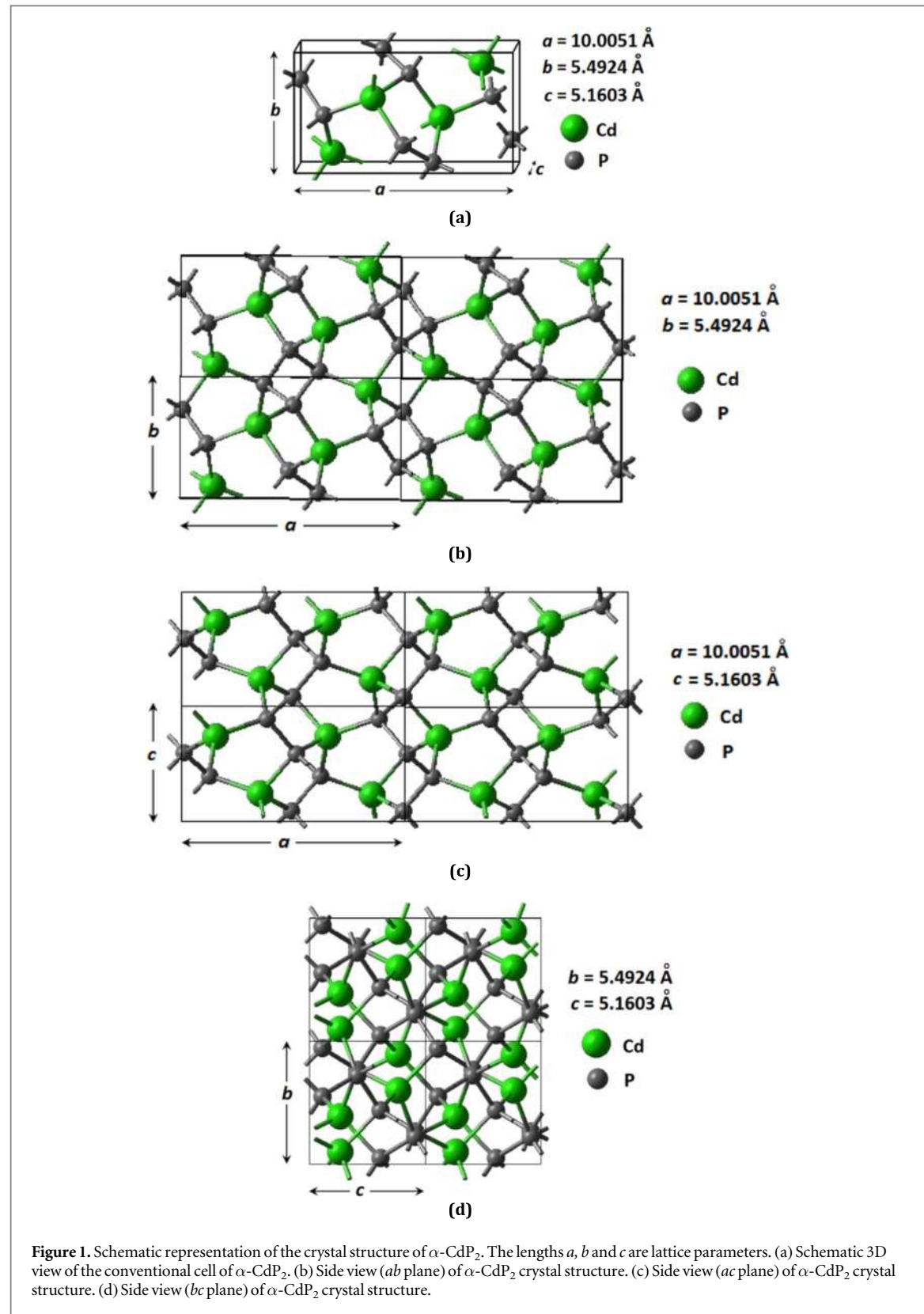
^a Reference [8].^b Reference [9].

basis set to form the sets of Bloch functions. In this computational work, the DFT exchange-correlation functional GGA (Generalized Gradient Approximation) is implemented. The study of geometrical optimization, EOS (equation of state), bands, DOS (density of states), Mulliken population and elastic constants is carried out with PBEsol [20] method. The basis sets (for Cd and P atoms) have been employed from CRYSTAL-basis set library of Torino group [18, 19]. We use the basis set of 36 orbitals for Cd atom [21] and the basis set of 18 orbitals for P atom [22]. The calculations are performed using an $8 \times 8 \times 8$ Monkhorst-Pack \mathbf{k} -point mesh [23] that corresponds to 125 \mathbf{k} -points in the irreducible Brillouin zone (IBZ). The SCF convergence threshold on the total energy is set to 10^{-10} Hartree. The BROYDEN parameter [18, 19, 24, 25] is employed to achieve the rapid convergence of the self-consistent iterations. The Fock/Kohn–Sham matrix mixing factor (FMIXING) [18, 19] of 40% has become useful for the calculations of geometry optimization. The optimized structure is utilized to determine bulk modulus and its first pressure derivative by deploying the EOS within $\pm 8\%$ variation of the volume of optimized geometry. Furthermore, electronic and elastic properties [26, 27] are investigated at the equilibrium volume. The magnitude of the strain step for elastic calculations is 0.01. The unit cell is drawn using DLV software [28]. We use a web-oriented tool CRYSPLOT [29] for charge density and energy band structures.

3. Results and discussion

3.1. Structural details

The structure of α -CdP₂ comes under the orthorhombic space group $Pna2_1$ with approximate values of lattice parameters $a = 9.90$ Å, $b = 5.408$ Å and $c = 5.171$ Å [8]. Its unit cell consists of four Cd and eight P atoms [8]. Using the available geometry of α -CdP₂ [8], we have obtained the optimized lattice parameters and fractional coordinates of the atoms for the unit cell of α -CdP₂ as shown in the tables 1 and 2. The deviations in the calculated lattice parameters (a , b and c) from the experimental values [8] are within 1.6% and deviation in conventional cell volume is 2.43%. From table 1, it is obvious that our calculation of cell volume of ≈ 283.57 Å³ is in accordance with other DFT work [15] with a small deviation of 0.86%. Thus, our computed results are in good agreement with the other reported results in tables 1 and 2. The unit cell of α -CdP₂ with optimized lattice parameters is shown in figure 1. There exist deformed tetrahedral bonds [8] as depicted in figure 1. Each cadmium atom is bonded to its four nearest phosphorus atoms. It is also evident from figure 1 that each P atom is bonded to two nearest Cd atoms and two nearest P atoms. Table 5 shows that atom P₅ (nonequivalent atom P-I) has the first four nearest neighbors P₁₀, P₉, Cd₃ and Cd₁ atoms. Atom P₉ (nonequivalent atom P-II) has the first four nearest neighbors P₆, P₅, Cd₁ and Cd₂ atoms.



3.2. Equation of state

In this study, the EOS computations for the alpha phase are performed using its computed optimized lattice parameters and fractional coordinates of atoms. The isothermal bulk modulus B_0 and its first pressure derivative B'_0 are computed using Vinet [30], Poirier-Tarantola [31] and Birch-Murnaghan [32] EOSs. These estimated values of B_0 and B'_0 are shown in table 3. In this present work, it is evident from table 3 that our computed values of B_0 and B'_0 of α -CdP₂ at zero pressure with Vinet, Poirier-Tarantola and Birch-Murnaghan EOSs are $\approx 57.88 \text{ GPa}$ and ≈ 3.94 , respectively. Almost the same findings each for B_0 , B'_0 and V_0 (equilibrium

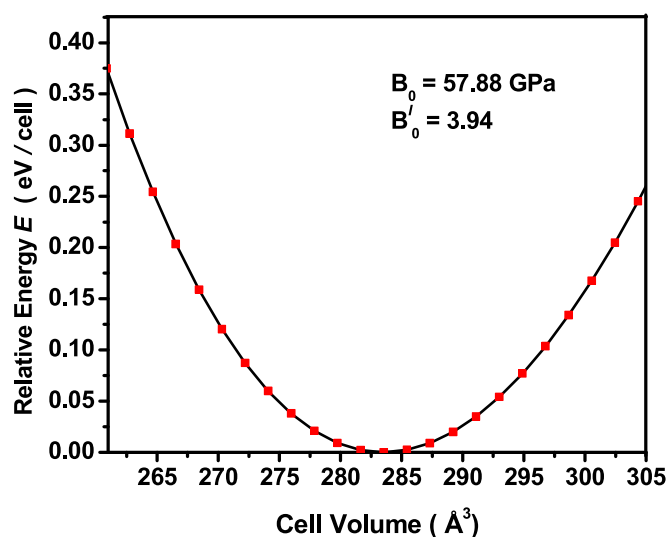


Figure 2. The variation of relative energy E (per unit cell) of α -CdP₂ with its cell volume taking the minimal value in the set of calculations as zero. The curve shows the Vinet EOS fits the computed data points (■) in the approximate range of 261 Å³ to 305 Å³.

Table 3. Bulk moduli (B_0), their first pressure derivatives (B'_0) and equilibrium cell volumes (V_0) of α -CdP₂ at zero pressure using PBEsol scheme.

	EOS method	B_0 (GPa)	B'_0	V_0 (Å ³)
Present work	Vinet	57.88	3.94	283.5264
Present work	Poirier-Tarantola	57.92	3.94	283.5254
Present work	Birch-Murnaghan	57.83	3.93	283.5277

cell volume) are obtained using these three EOS schemes. For the unit cell of the alpha CdP₂, with respect to minimum energy, the curve of relative energy E (per unit cell) against its cell volume is plotted by means of Vinet EOS as shown in figure 2. The quantities B_0 and B'_0 are not mere coefficients in the equation of states, furthermore, they are physical characteristics of the materials which depend on the structural composition of the materials [33]. The most common typical range of B'_0 for solid materials is from 2 to 6 [33], so obtained value 3.94 of B'_0 for α -CdP₂ is quite physically reasonable in this study.

3.3. Band structure and DOS

A study of electronic structure and density of states is useful in the determination of the semiconducting properties of a material. In figure 3, the electronic band structure is plotted along suitable paths connecting eight special points of high symmetry [23, 34] in the reciprocal space. The highest point of the valance band lies on a path Γ -Z and the lowest point of conduction band lies close to X point in the reciprocal space. Figure 3 indicates the existence of an indirect band gap, E_g of 1.76 eV in the orthorhombic phase of CdP₂. The value 1.76 eV falls within the typical range of energy band gap of semiconductor substances. A band gap of 1.439 eV was reported in other computational work [10, 11].

To reveal the distribution of electronic states, the computed total DOS along with the partial density of states (PDOS) of Cd and P atoms are depicted in figure 4. In the immediate vicinity of the top of the valence band region and the bottom of the conduction band region, p orbitals of phosphorus atoms predominantly contribute to DOS. With regard to DOS, the contribution of P(II) atom, in general, is larger than that of P(I) atom, but overall PDOS patterns due to the individual nonequivalent P atoms are broadly similar. We pay particular attention to DOS lying near the Fermi level, as these DOS are important with reference to the electronic properties of solids. In our investigation, we also observed about PDOS that chiefly p orbitals of the second and third sp shells (shown in table 4) of phosphorus atoms contribute to DOS lying near the Fermi level in the valence region and furthermore, the contribution of the third sp shell (having more diffused orbitals) is larger than that of the corresponding second sp shell of that P atom. Besides, contribution to DOS from Cd

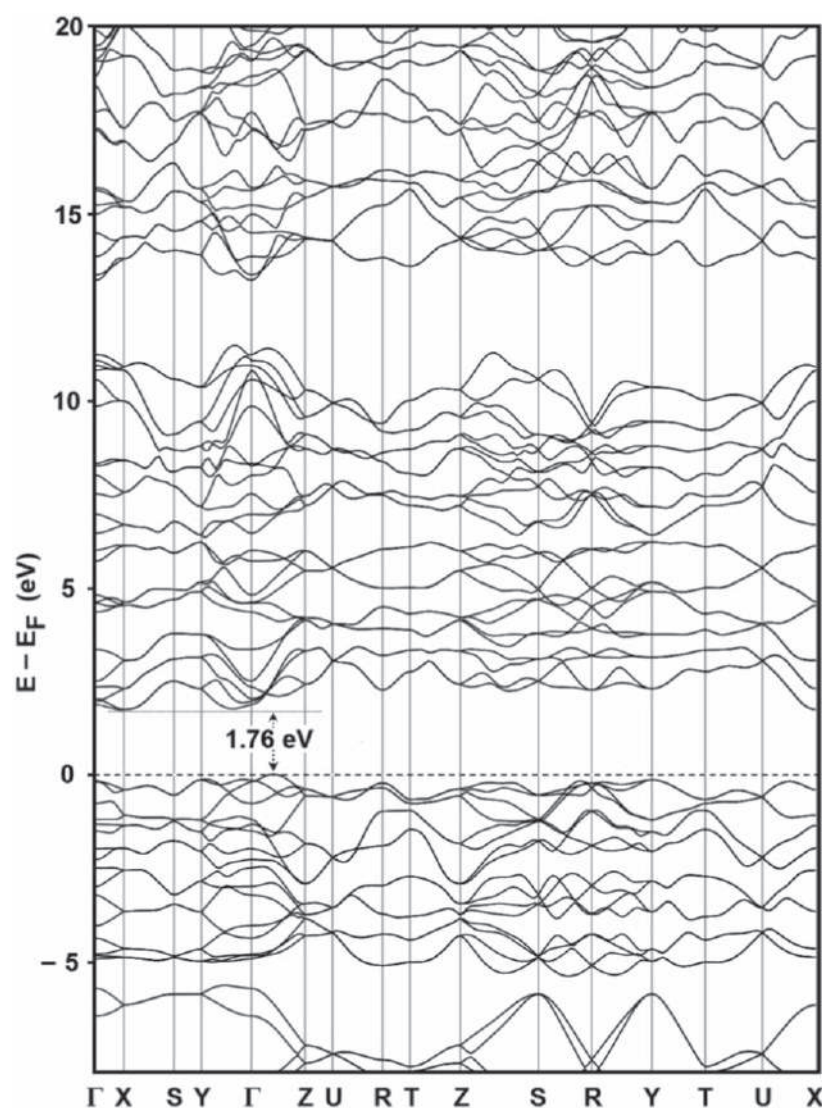


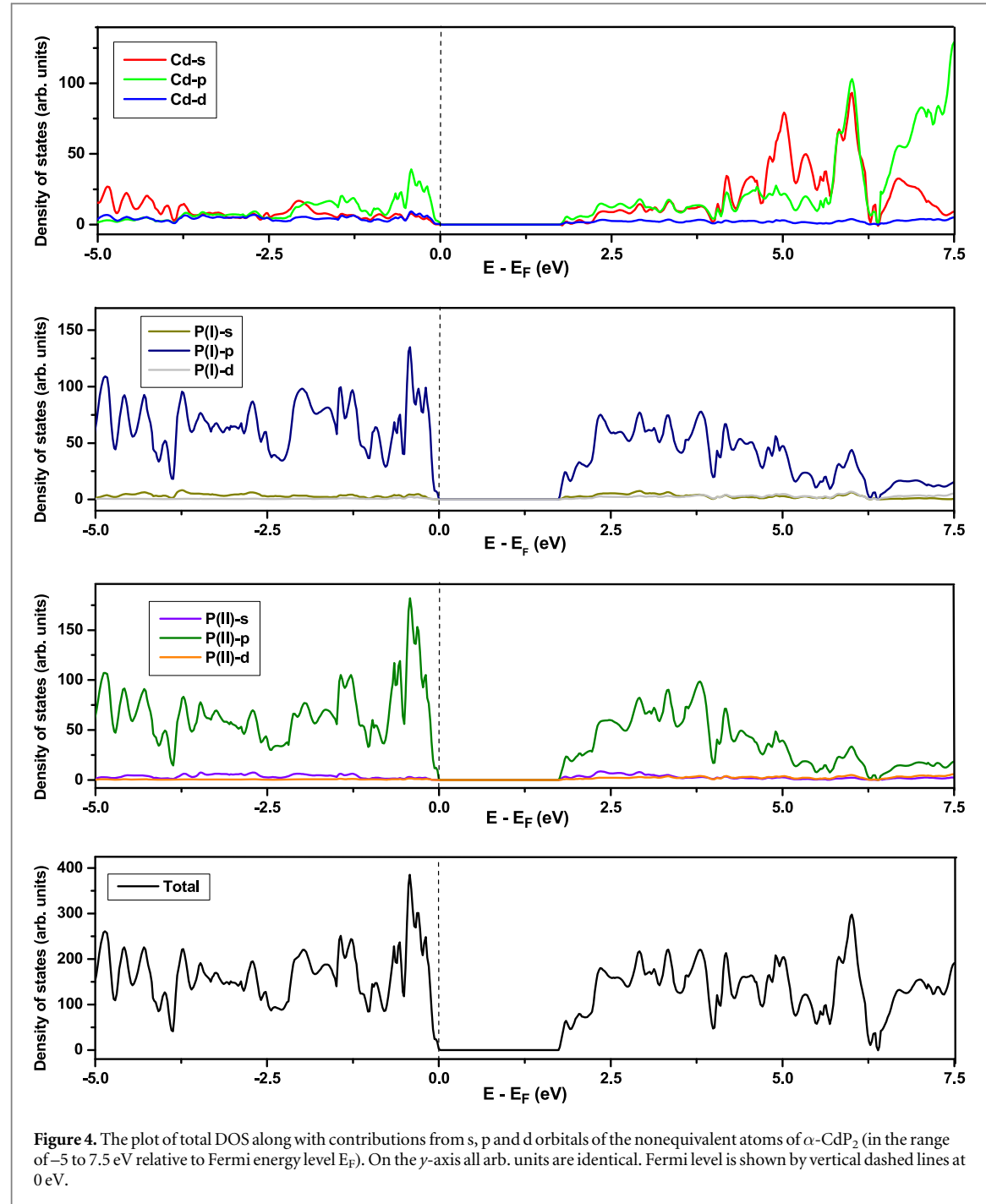
Figure 3. Under PBEsol scheme, the energy band structure of α -CdP₂ (in the range of -8 to 20 eV relative to Fermi energy level E_F).

atoms is relatively low at the top of the valence band as well as at the bottom of the conduction band. Hence, the top of the valence band and the bottom of the conduction band are mostly of P-*p* characters.

3.4. Charge density and Mulliken population analysis

The computed total electron density map for (010) plane is shown in figure 5. The isodensity contour lines for the total electron density map are sketched at intervals of 0.02 e/Bohr³. Furthermore, the total distribution of electronic charge density is predicted through the Mulliken population analysis. There exist two crystallographically nonequivalent distinct sites of P atom, therefore the charge distribution is accordingly influenced by the orientation of the respective atom in the unit cell, as evident from table 4. In the present investigation, CRYSTAL Code shows 288 orbitals for the unit cell. In table 4, orbitals and shells along with corresponding charges are shown and it is obvious that there is also a small charge transfer of about 0.041e to *d* shell of the associated basis set of each P atom in the α -CdP₂ crystal.

Many physical properties of a material are correlated to its constituent chemical bonds. Mulliken population analysis plays an important role to predict the characteristics of the chemical bonding in the materials. Mulliken overlap populations for α -CdP₂ are illustrated in table 5. The positive value of the total overlap population corresponds to bonding, whereas the negative value of the total overlap population corresponds to antibonding [17]. Mulliken population analysis is an important tool for the estimation of the distribution of charges in the atomic orbitals. In our study, for the one formula unit of α -CdP₂, there is total charge transfer of about 1.02 electrons from one Cd atom to two P atoms as evident from table 4. On the basis of the result of charge transfer, an approximated effective valence state of α -CdP₂ may be represented as $Cd^{+1.02}P^{-0.52}P^{-0.50}$. Thus, it indicates the reasonable presence of ionic character in the Cd-P bonding. The Mulliken overlap population also



provides a good estimation of the covalent bonding [17]. A high level of overlap population reflects the high level of covalent character of a chemical bond [35]. In the present study, the overlap population between Cd atom and immediate neighboring P atom is about 0.14 as shown in table 5. This value 0.14 is reasonably small compared to 1 and it can be inferred that the Cd-P bonds are also partially covalent in nature. The present study suggests the existence of mixed ionic-covalent character of bonds (Cd-P) in the alpha phase of CdP₂. Furthermore, the Mulliken overlap population is useful to reflect its correlation with the strength of the bond. A comparison of hardness H_v^μ of the bonds (between Cd atom and its immediate neighboring P atoms) is carried out using the expression $H_v^\mu (\text{GPa}) = AP^\mu (v_b^\mu)^{-5/3}$ [36] where, v_b^μ and P^μ denote, respectively, the volume and Mulliken overlap population of the μ type bond and A is the proportional coefficient. In the present study, results show that the computed hardness of bonds Cd₁-P₇, Cd₁-P₉, Cd₁-P₅ and Cd₁-P₁₀ are in the ratio 1.254 : 1.082 : 1.094 : 1.000. The higher level of hardness of the bond Cd₁-P₇ among these mentioned Cd-P bonds may be attributed to its relatively high Mulliken overlap population and short bond length.

As reported by Olofsson and Gullman [9], the bond distance (Cd-P) between close neighbor cadmium and phosphorus atoms ranges from 2.562 Å to 2.619 Å and the bond distance (P-P) between close neighbor

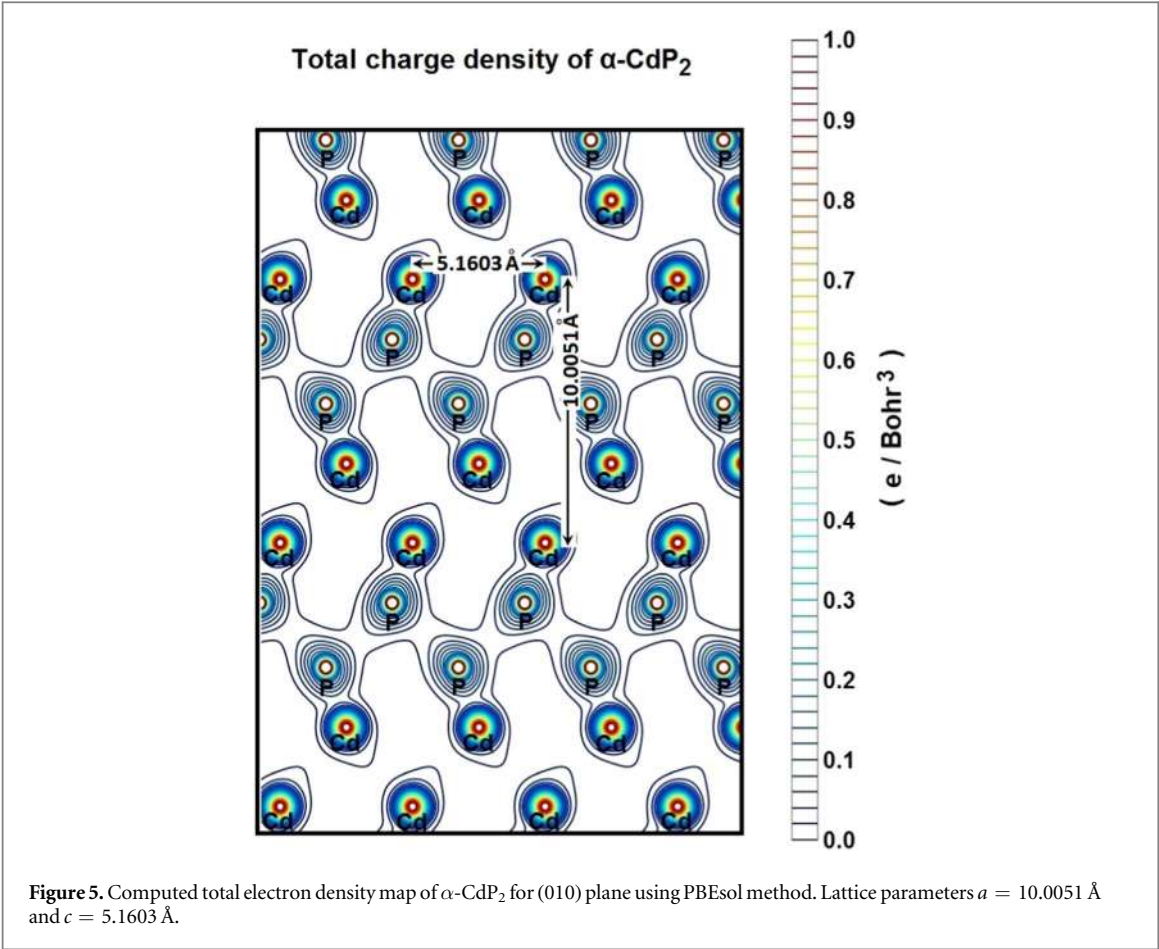


Table 4. Mulliken population analysis for α -CdP₂ using PBEsol method. Charges are indicated in the unit of elementary charge e .

Cd			P(I)			P(II)		
Total charge (N. electrons) = 46.977			Total charge (N. electrons) = 15.522			Total charge (N. electrons) = 15.502		
Orbital No.	Shell	Shell charge	Orbital No.	Shell	Shell charge	Orbital No.	Shell	Shell charge
1	<i>s</i>	2.000	145	<i>s</i>	2.000	217	<i>s</i>	2.000
2–5	First <i>sp</i>	7.998	146–149	First <i>sp</i>	7.824	218–221	First <i>sp</i>	7.824
6–9	Second <i>sp</i>	7.983	150–153	Second <i>sp</i>	2.000	222–225	Second <i>sp</i>	2.001
10–14	<i>d</i>	9.994	154–157	Third <i>sp</i>	3.657	226–229	Third <i>sp</i>	3.637
15–18	Third <i>sp</i>	6.539	158–162	<i>d</i>	0.042	230–234	<i>d</i>	0.041
19–22	Fourth <i>sp</i>	1.426						
23–26	Fifth <i>sp</i>	1.077						
27–31	<i>d</i>	8.767						
32–36	<i>d</i>	1.193						

phosphorus atoms ranges from 2.167 Å to 2.20 Å. It is evident from table 5 that our calculated values of bond distances are in fair agreement with the mentioned ranges [9].

3.5. Elastic properties

3.5.1. Elastic constants and mechanical stability

The study of elastic properties is extremely useful for understanding the ability of the material to resist the deformation. The direction-dependent elastic stretchability of crystals under different tensile strains can shed light on its advantages for engineering applications. A high value of the ratio of bulk modulus to shear modulus enables the crystal to meet various requirements of curvilinear shape for practical applications. Also, piezoelectricity is dependent on the intermingling of elastic and electric phenomena. In view of the device application of α -CdP₂ crystal, it is necessary to estimate its elastic constants so that its mechanical stability and elastic properties may be examined. The orthorhombic crystal system has nine independent elastic stiffness

Table 5. Mulliken overlap populations for α -CdP₂ using PBEsol scheme.

Atomic pair AB	Distance AB (Å)	Overlap population AB
Cd ₁ -P ₇	2.569	0.154
Cd ₁ -P ₉	2.592	0.139
Cd ₁ -P ₅	2.594	0.141
Cd ₁ -P ₁₀	2.618	0.135
Cd ₁ -P ₆	3.768	-0.009
P ₅ -P ₁₀	2.183	0.068
P ₅ -P ₉	2.242	0.021
P ₅ -Cd ₃	2.569	0.154
P ₅ -P ₆	3.608	-0.066
P ₅ -Cd ₂	3.768	-0.009
P ₉ -P ₆	2.183	0.068
P ₉ -Cd ₂	2.618	0.135
P ₉ -P ₁₀	3.599	-0.069

Table 6. Elastic stiffness constants (in GPa) of α -CdP₂ at zero pressure.

	Scheme	C ₁₁	C ₁₂	C ₁₃	C ₂₂	C ₂₃	C ₃₃	C ₄₄	C ₅₅	C ₆₆
Present Work	PBEsol	105.163	50.352	43.412	86.520	41.857	74.230	33.418	24.329	27.482
Other Work ^a	PBE	101.3	31.1	37.7	91.4	32.5	87.3	37.4	28.2	19.0

^a Reference [15].

coefficients due to its orthorhombic symmetry [37]. These computed elastic stiffness coefficients C_{ij} of α -CdP₂ are reported in table 6. According to Mouhat *et al* [38], necessary and sufficient elastic stability conditions for an orthorhombic system are given by the following expressions [from (1) to (3)]:

$$C_{11}C_{22} > C_{12}^2 \quad (1)$$

$$C_{11}C_{22}C_{33} + 2C_{12}C_{13}C_{23} - C_{11}C_{23}^2 - C_{22}C_{13}^2 - C_{33}C_{12}^2 > 0 \quad (2)$$

$$C_{11} > 0, C_{44} > 0, C_{55} > 0, C_{66} > 0 \quad (3)$$

The computed elastic stiffness constants (given in table 6) satisfy these necessary and sufficient elastic stability conditions for the orthorhombic system. It shows the mechanical stability of the alpha phase of CdP₂ crystal.

In terms of elastic stiffness constants C_{ij} and elastic compliance constants S_{ij} , more quantities such as bulk modulus, shear modulus and Poisson's ratio may be represented. Voigt bulk modulus B_V and Reuss bulk modulus B_R can be expressed as [39, 40]

$$B_V = \frac{1}{9}[C_{11} + C_{22} + C_{33} + 2C_{12} + 2C_{13} + 2C_{23}] \quad (4)$$

$$B_R = [S_{11} + S_{22} + S_{33} + 2S_{12} + 2S_{13} + 2S_{23}]^{-1} \quad (5)$$

Similarly, Voigt shear modulus G_V and Reuss shear modulus G_R are given by [39, 40]

$$G_V = \frac{1}{15}[C_{11} + C_{22} + C_{33} - C_{12} - C_{13} - C_{23}] + \frac{1}{5}[C_{44} + C_{55} + C_{66}] \quad (6)$$

$$G_R = 15[4(S_{11} + S_{22} + S_{33}) + 3(S_{44} + S_{55} + S_{66}) - 4(S_{12} + S_{13} + S_{23})]^{-1} \quad (7)$$

According to the Voigt-Reuss-Hill approximation, polycrystalline bulk and shear moduli can be estimated as [39–41]

$$B_H = \frac{1}{2}[B_R + B_V] \quad (8)$$

$$G_H = \frac{1}{2}[G_R + G_V] \quad (9)$$

Macroscopic polycrystalline Young's modulus E_H and Poisson's ratio ν_H can be expressed as [39–41]

$$E_H = \frac{9B_H G_H}{3B_H + G_H} \quad (10)$$

$$\nu_H = \frac{3B_H - 2G_H}{2(3B_H + G_H)} \quad (11)$$

Table 7. Using PBEsol method, computed values of bulk modulus B (in GPa), shear modulus G (in GPa), Young's modulus E (in GPa), Poisson's ratio ν (unitless) of α -CdP₂ according to Voigt-Reuss-Hill notations^a.

	B_V	B_R	B_H	G_V	G_R	G_H	E_V	E_R	E_H	ν_V	ν_R	ν_H
Present work	59.684	57.807	58.745	25.732	24.735	25.233	67.496	64.942	66.219	0.3115	0.3128	0.3121

^a Values of B , G , E and ν have been obtained using ELATE software [46, 47].

To investigate the mechanical properties of α -CdP₂, various elastic moduli are determined from elastic constants and are summarized in table 7. The value of bulk modulus is 58.745 GPa which indicates that the material has sufficient mechanical strength to resist structural deformation. The Cd crystal has bulk modulus of ≈ 46.7 GPa [42] and Young's modulus of ≈ 62.3 GPa [42]. The values of bulk modulus and Young's modulus for orthorhombic P crystal are ≈ 36 GPa [43] and ≈ 30.4 GPa [42] respectively. It can be seen in table 7 that the calculated values of Young's modulus and bulk modulus of α -CdP₂ are greater than the respective values of Young's moduli and bulk moduli of constituent elements (Cd and P crystals). But, in comparison to the typical values of bulk modulus ≈ 97.8 GPa [44] and Young's modulus ≈ 163 GPa [45] of the semiconductor Si, it is obvious that our calculated respective values of bulk modulus 58.745 GPa and Young's modulus 66.219 GPa of α -CdP₂ are considerably smaller. Since Young's modulus reflects the stiffness of the material, so α -CdP₂ crystal has less stiffness than Si crystal.

It is also obvious from table 7 that the values of computed Poisson's ratios are about 0.31. Therefore these calculated values of Poisson's ratios are within the theoretically essential limits [48] for materials. In general, the ratio of bulk modulus B to shear modulus G may be used to make predictions about the nature of polycrystalline material in terms of brittleness and malleability [49]. A high value of B/G indicates the malleable nature of the polycrystalline materials [49]. For a value of B/G greater than about 1.75, malleable characteristics of a polycrystalline material is expected [49]. In the present study, the value of B_H/G_H is 2.328 which is greater than 1.75, therefore it indicates the malleable nature of α -CdP₂. Thus the value 2.328 of B/G for the alpha phase of CdP₂ crystal indicates that the crystal has reasonable malleability and this favorable property opens the possibility to allow the curved shape of α -CdP₂ crystal in the semiconductor devices.

3.5.2. Elastic anisotropy

Most of the materials show elastic anisotropic behavior. Atomic bonding arrangement in different crystalline planes is an important factor for the determination of the elastic anisotropy. Elastic anisotropy plays a key role in various directional dependent mechanical-physical phenomena. Elastic constants such as Young's modulus, shear modulus and Poisson's ratio may have directional dependent variations, hence they have an influence on the mechanical characteristics of the crystalline materials. With the help of the theory of micro-cracks analysis from the elastic anisotropy, the enhancement in the mechanical durability of the crystals for device application may be understood [50]. A comprehensive understanding of the elastic anisotropy of the materials is of great significance because it has an important outlook for device designing. The preferred orientation of the crystals is a vital aspect for optimum technological usage of the materials in microelectromechanical systems, hence the knowledge of the elastic anisotropy is essential for imparting the desired physical and electrical properties to devices. For an orthorhombic crystal system, the directional Young's modulus E in the direction of the unit vector l_i is given by the expression [37]

$$E = [l_1^4 S_{11} + 2l_1^2 l_2^2 S_{12} + 2l_1^2 l_3^2 S_{13} + l_2^4 S_{22} + 2l_2^2 l_3^2 S_{23} + l_3^4 S_{33} + l_2^2 l_3^2 S_{44} + l_1^2 l_3^2 S_{55} + l_1^2 l_2^2 S_{66}]^{-1} \quad (12)$$

where l_1 , l_2 and l_3 are direction cosines and quantity S_{ij} are known as elastic compliance constants.

For an orthorhombic crystal system, the directional linear compressibility β in the direction of the unit vector l_i is given by the expression [37]

$$\beta = (S_{11} + S_{12} + S_{13})l_1^2 + (S_{12} + S_{22} + S_{23})l_2^2 + (S_{13} + S_{23} + S_{33})l_3^2 \quad (13)$$

Table 8 shows the computed Young's Modulus and linear compressibility of α -CdP₂ along [100], [010] and [001] crystallographic directions. Figure 6 shows the variations of Young's modulus with direction. Figures 6(a) and 7(a) are plotted using ELATE software. It is evident from the figures 6(a) and 7(a) that schematic plots of directional Young's modulus and linear compressibility are not spherical in shape; therefore, they reflect the finite elastic anisotropy for the alpha phase of CdP₂. The elastic anisotropy of a crystal may be characterized by different approaches. For instance, to compute the elastic anisotropy, the degree of elastic anisotropy may be defined by expressions [51, 52]

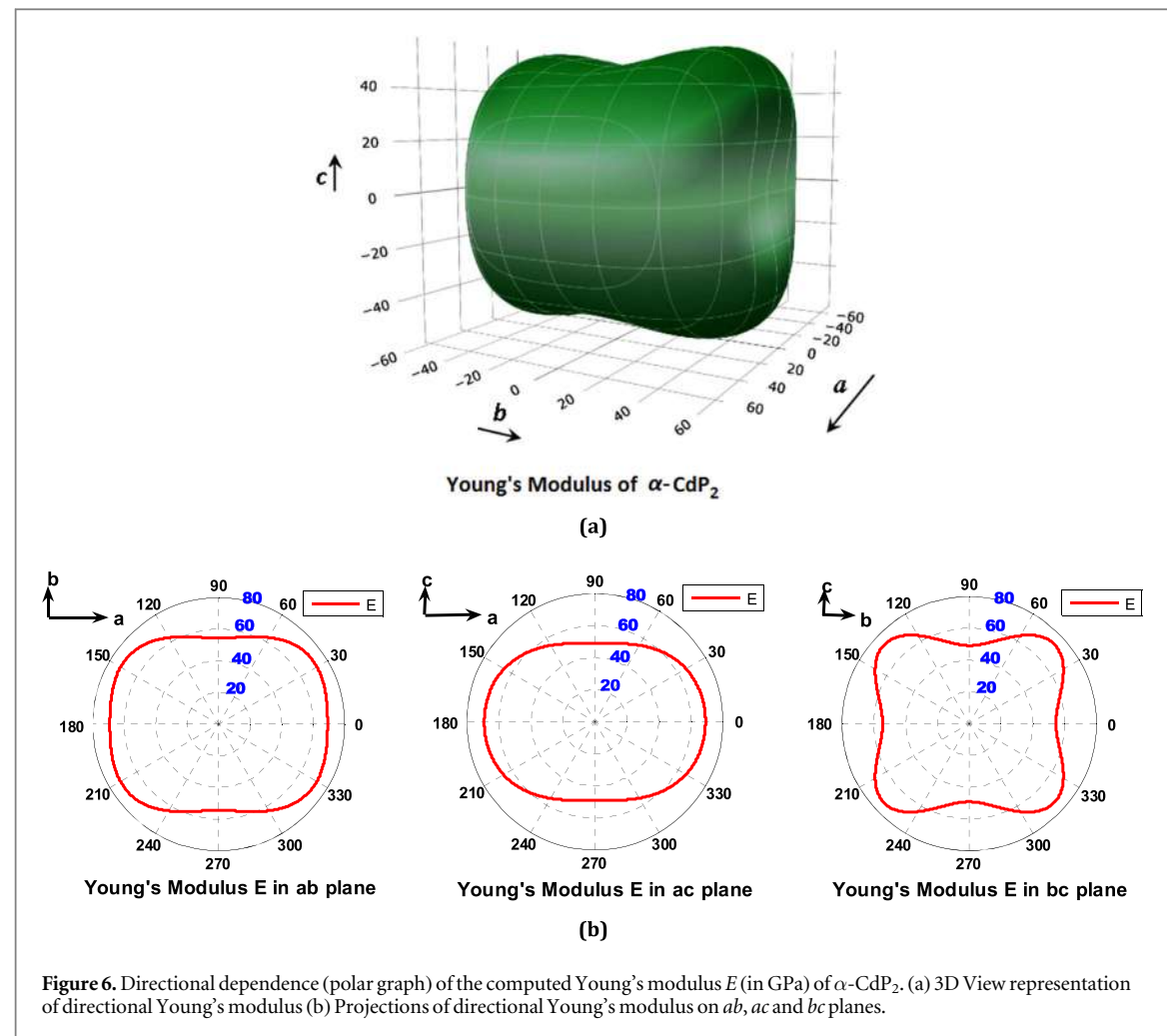


Table 8. The computed values of directional Young's modulus, linear compressibility and elastic anisotropy parameters for α -CdP₂ under PBEsol scheme.

	E_{100} (GPa)	E_{010} (GPa)	E_{001} (GPa)	β_{100} (TPa) ⁻¹	β_{010} (TPa) ⁻¹	β_{001} (TPa) ⁻¹	A_B (in%)	A_G (in %)	A^U
Present work	69.135	54.526	49.195	3.411	5.529	8.359	1.60	1.98	0.234

$$A_B = \frac{B_V - B_R}{B_V + B_R} \quad (14)$$

$$A_G = \frac{G_V - G_R}{G_V + G_R} \quad (15)$$

For elastic isotropic materials, both A_B and A_G are zero. Our calculated values of A_B and A_G for the degree of elastic anisotropy are shown in table 8. It is evident from table 8 that values of A_B and A_G are nonzero, therefore, α -CdP₂ crystal has finite bulk anisotropy as well as shear anisotropy. In different way, Ranganathan *et al* [53] defined the universal elastic anisotropy index as

$$A^U = \frac{B_V}{B_R} + 5 \frac{G_V}{G_R} - 6 \quad (16)$$

The index A^U is applicable to all crystalline symmetry and its minimum value is zero for elastic isotropic materials [53]. Table 8 shows the calculated value of 0.234 of A^U for the alpha phase of CdP₂. Both tables 8 and 9 illustrate the presence of finite elastic anisotropy characteristics in α -CdP₂.

Significant differences are found among the computed values of E_{100} , E_{010} and E_{001} as shown in table 8. From figures 6 and 7 as well as from table 8, the following conclusions about directional Young's modulus and linear compressibility of α -CdP₂ crystal are drawn:

$$E_{100} > E_{010} > E_{001}; a > b > c$$

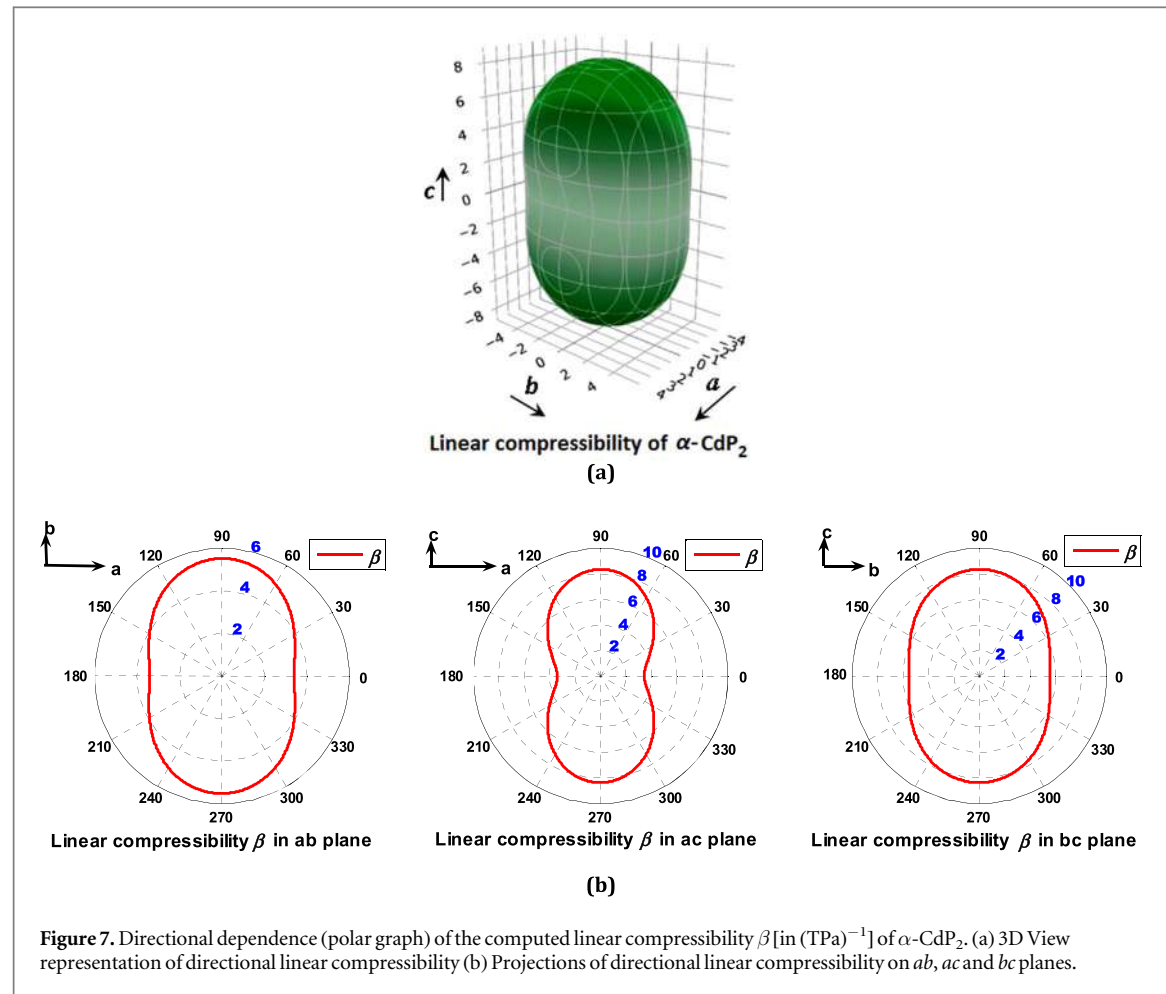


Figure 7. Directional dependence (polar graph) of the computed linear compressibility β [in (TPa) $^{-1}$] of α -CdP₂. (a) 3D View representation of directional linear compressibility (b) Projections of directional linear compressibility on ab , ac and bc planes.

Table 9. Variations of the shear modulus G (in GPa), Young's modulus E (in GPa), linear compressibility β [in (TPa) $^{-1}$] and Poisson's ratio ν (unitless) of α -CdP₂ using PBEsol method^a.

	G_{\min}	G_{\max}	E_{\min}	E_{\max}	β_{\min}	β_{\max}	ν_{\min}	ν_{\max}
Present work	19.087	33.418	49.195	73.140	3.411	8.359	0.0908	0.4179

^a Minimum and maximum values of G , E , β and ν have been obtained using ELATE software.

$$\beta_{100} < \beta_{010} < \beta_{001}; a > b > c$$

Hence, crystal has more hardness (less compressible) along a axis than along b and c axes. It is evident from table 9 that there is a substantial difference between the minimum and maximum values of the directional shear modulus G . This is also the case for Young's modulus E and linear compressibility β . For G , E and β , respective maximum variations are 75.08%, 48.67% and 145.06% relative to their minimum values. These variations themselves reveal the presence of considerable elastic anisotropy in the alpha phase of CdP₂.

For Young's modulus E , it is readily apparent from the figure 6(b) that anisotropy is greater in bc plane than in ab and ac planes, since the angular variation of E in bc plane is more pronounced among ab , bc and ac planes. In ab plane, E increases from 69.135 GPa to ≈ 72 GPa (maximum value in ab plane) as the angle (with a axis) increases from 0° to $\approx 31^\circ$, then E decreases from ≈ 72 GPa to 54.526 GPa as the angle varies from $\approx 31^\circ$ to 90° . The maximum value ≈ 72 GPa of E is again observed at an angle (with a axis) of $\approx 149^\circ$ in the ab plane. It is observed in the ac plane that E decreases continuously from 69.135 GPa to 49.195 GPa (E_{\min} in table 9) as the angle (with a axis) increases from 0° to 90° . In the bc plane, E increases from 54.526 GPa to 73.140 GPa as the angle (with b axis) increases from 0° to $\approx 47^\circ$. Furthermore, as the angle increases from $\approx 47^\circ$ to 90° in the bc plane, the value of E decreases from 73.140 GPa to 49.195 GPa (E_{\min} in table 9). The maximum value 73.140 GPa (E_{\max} in table 9) of E is observed at the angles (with b axis) of $\approx 47^\circ$ and $\approx 133^\circ$ in bc plane.

In the case of linear compressibility β , it emerges clearly from figure 7(b) that the ac plane has greater anisotropy than ab and bc planes. For the ab plane, β increases continuously from 3.411 (TPa) $^{-1}$ (β_{\min} in table 9) to 5.529 (TPa) $^{-1}$ as the angle (with a axis) increases from 0° to 90° . In ac plane, an increase of the angle (with a axis)

Table 10. The computed values of density, Debye temperature, shear sound velocity, longitudinal sound velocity and average sound velocity of α -CdP₂ under PBEsol method.

	$\rho(\text{kg m}^{-3})$	$\nu_s(\text{m s}^{-1})$	$\nu_l(\text{m s}^{-1})$	$\nu_m(\text{m s}^{-1})$	$\theta_D(\text{K})$
Present work	4119	2475	4736	2769	288.1

from 0° to 90° results in a continuous increase of linear compressibility β from 3.411 (TPa)⁻¹ to 8.359 (TPa)⁻¹ (β_{max} in table 9). In the case of bc plane, as the angle (with b axis) varies from 0° to 90°, β increases continuously from 5.529 (TPa)⁻¹ to 8.359 (TPa)⁻¹. The different types of physical quantities may have different levels of anisotropy corresponding to a given plane of the same material. The present investigation shows that among ab , ac and bc planes, the anisotropy is high in ac plane for linear compressibility, whereas the anisotropy is high in bc plane for Young's modulus.

3.5.3. Debye temperature

Debye temperature, which is a useful variable, correlates the thermodynamic properties with elastic properties of the crystal [54]. The Debye temperature of the material is related to its thermal conductivity [55]. Thermal conductivity is an important parameter for heat transfer phenomena. Hence, concerning the dissipation of heat, the thermal conductivity is a significant factor for determining the speed and efficiency of the electronic devices. The Debye temperature is a function of the aggregate elastic properties (polycrystalline bulk modulus B_H and shear modulus G_H) [54]. The Debye temperature θ_D is related to average sound velocity ν_m in a crystal by the expression [54]

$$\theta_D = \frac{h}{k} \left[\frac{3n}{4\pi} \left(\frac{N_A \rho}{M} \right) \right]^{1/3} \nu_m \quad (17)$$

where h is Planck's constant, N_A is Avogadro's constant, ρ is the density, k is Boltzmann's constant, M is the molecular mass, n is the number of atoms in the molecule. Average sound velocity ν_m in a polycrystalline substance may be expressed as [54]

$$\nu_m = \left[\frac{1}{3} \left(\frac{2}{\nu_s^3} + \frac{1}{\nu_l^3} \right) \right]^{-1/3} \quad (18)$$

where ν_s and ν_l represent the average shear and longitudinal sound velocities, respectively. These velocities may be expressed in terms of density ρ , polycrystalline bulk modulus B_H and shear modulus G_H [54]:

$$\nu_s = \left[\frac{G_H}{\rho} \right]^{1/2} \quad (19)$$

$$\nu_l = \left[\frac{3B_H + 4G_H}{3\rho} \right]^{1/2} \quad (20)$$

For the alpha phase of CdP₂, the calculated values of mean sound velocity and Debye temperature are 2769 m s⁻¹ and 288.1 K respectively. The calculated values of shear sound velocity and longitudinal sound velocity are given in table 10.

4. Conclusions

The present study presents the first principle investigation of electronic and elastic properties of α -CdP₂ by employing GGA-PBEsol functional in the CRYSTAL code. The obtained equilibrium volume of the unit cell is in quite agreement with experimental data. Our work predicts the existence of the indirect band gap of 1.76 eV in α -CdP₂ crystal. The p orbitals of phosphorus atoms make the major contribution to DOS lying at the top of the valence band and the bottom of the conduction band. Broadly speaking, P(II) atom contributes more to DOS in comparison to P(I) atom. Mulliken population analysis shows that the total charge transfer of nearly 1.02 electrons takes place from one Cd atom to two P atoms in each formula unit of α -CdP₂. Mulliken population analysis also indicates that Cd-P bonds have mixed ionic-covalent characters. Our investigation reveals that the Cd₁-P₇ bond has a higher level of hardness among Cd₁-P₇, Cd₁-P₉, Cd₁-P₅ and Cd₁-P₁₀ bonds.

Our present findings of anisotropic properties may contribute to better predictions for the preferred orientation of crystals for designing optoelectronic devices. In this investigation, the computed value of Ranganathan's universal elastic anisotropy index A^U is 0.234. The considerable variation is observed among the computed values of directional Young's moduli E_{100} , E_{010} and E_{001} . It can be inferred from the present

investigation that the alpha phase of CdP₂ has definite elastic anisotropy and crystal is more hard (less compressible) along *a* axis than along *b* and *c* axes. Among *ab*, *ac* and *bc* planes, the anisotropy is high in *bc* plane for Young's modulus, whereas the anisotropy is high in *ac* plane for linear compressibility. The present study indicates the malleable nature of the alpha phase of CdP₂. The computed value of Debye temperature of α -CdP₂ is 288.1 K. The Quite adequacy of the values of Debye temperature and elastic moduli is in favor of α -CdP₂ to become a promising material for device application in optoelectronics. Hence, these findings provide an outlook for experimental implications.

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Ab-initio Investigation of Elastic Properties of Monoclinic ZnAs₂ Crystal

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Abstract

Elastic properties of monoclinic ZnAs₂ crystal are studied under the PBEsol scheme using the CRYSTAL Program. Independent elastic stiffness coefficients have been computed. Various elastic properties, such as shear modulus, bulk modulus, Young's modulus and Poisson's ratio have been analyzed. The directional dependence of the computed Young's modulus and linear compressibility is studied using ELATE software. Our investigation reveals the finite elastic anisotropy of the monoclinic ZnAs₂ crystal.

Keywords: ZnAs₂, Ab-initio, Elastic properties, Elastic anisotropy.

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Introduction

Monoclinic ZnAs₂ is a semiconducting compound of the II-V group [1]. ZnAs₂ has a monoclinic crystal structure with space group P2₁/c (*C*_{2h}⁵) [2-3]. Its unit cell has eight formula units [2]. The energy band gap is nearly 1 eV [4-5]. There is tetrahedral coordination of atoms with a slight distortion of the tetrahedral structure [6]. ZnAs₂ crystals are useful for optoelectronic applications, such as light modulators, optical filters, lenses, etc. [7]. Anisotropy in the thermoelectric power of these crystals is useful for nonselective radiation detectors [8]. Soshnikov *et al* studied the elastic properties of ZnAs₂ crystals with ultrasound measurements [9]. These crystals may be utilized for the fabrication of polarization-controlled switches due to their polarization photosensitivity [10]. Photosensitive Schottky barriers may be formed on monoclinic ZnAs₂ crystals [10]. The variation of index of refraction of ZnAs₂ crystal may be utilized in fabricating infrared polarizers [11-12]. Our interpretation of the elastic properties of ZnAs₂ may have considerable practical utility in device design for future research. For optimum performance of the device, knowledge of the direction-dependent elastic anisotropy of ZnAs₂ crystals provides advantages in determining the preferred orientation of the crystals.

Computational details

Ab-initio investigation of monoclinic ZnAs₂ is performed with CRYSTAL Code [13-14]. In the present study, the DFT exchange-correlation functional GGA is employed. The basis sets for Zn and As atoms have been utilized from the CRYSTAL-Basis Set Library [13-14]. Using initial geometry [3], optimization is performed and optimized lattice parameters and fractional coordinates are obtained. In this computation, the PBEsol [15] technique is implemented. The SCF convergence TOLDEE is set to 8. The calculations are performed using an 8 × 8 × 8 Monkhorst-Pack **k**-point mesh [16]. This **k**-point mesh corresponds to 125 **k**-points in the irreducible Brillouin zone. The BROYDEN parameter [13-14, 17-18] is also implemented to obtain convergence. The elastic properties [19-20] are studied at the equilibrium volume with a strain step of 0.01. The ELASTCON keyword is used for the computation of the elastic properties of monoclinic ZnAs₂ crystals. ELATE software [21-22] is used for the analysis of elastic quantities.

Results and Discussion

Elastic Properties

The analysis of elastic anisotropy is useful for understanding the direction-dependent elastic stretchability of crystals. This analysis is useful for engineering device design. The monoclinic crystal system has thirteen

independent elastic stiffness constants [23]. Using the initial geometry [3] with lattice parameters $a = 9.287 \text{ \AA}$, $b = 7.691 \text{ \AA}$, $c = 8.010 \text{ \AA}$, optimized lattice parameters have been obtained by CRYSTAL Code [13-14]. Using the optimized

lattice parameters and fractional coordinates, the elastic stiffness constants of the monoclinic ZnAs₂ crystal are obtained, which are shown in table 1.

Table 1: Elastic stiffness constants (in GPa) of ZnAs₂ at zero pressure.

	Scheme	C_{11}	C_{12}	C_{13}	C_{15}	C_{22}	C_{23}	C_{25}	C_{33}	C_{35}	C_{44}	C_{46}	C_{55}	C_{66}
Present Work	PBEsol	126.72	63.47	59.95	-4.41	136.81	38.35	6.07	145.84	1.75	26.73	4.23	44.58	44.18
Other Work ^a		95.63	31.47			102.5			112.6		20.76			40.45

^aRef. [9, 24].

Table 2. Computed values^b of shear modulus G (in GPa), bulk modulus B (in GPa), Young's modulus E (in GPa) and Poisson's ratio ν (unitless) of ZnAs₂.

	B_V	B_R	B_H	G_V	G_R	G_H	E_V	E_R	E_H	ν_V	ν_R	ν_H
Present Work ^b	81.44	81.28	81.36	39.60	36.67	38.14	102.24	95.63	98.95	0.291	0.304	0.297

^b Values of B , G , E and ν have been computed with the help of ELATE software [21, 22]

Table 3. Variations^c of Young's modulus E (in GPa), shear modulus G (in GPa), Poisson's ratio ν (unitless) and linear compressibility β [in (TPa)⁻¹] of ZnAs₂.

	G_{\min}	G_{\max}	E_{\min}	E_{\max}	β_{\min}	β_{\max}	ν_{\min}	ν_{\max}
Present Work	25.76	51.76	70.92	121.47	3.60	4.45	0.051	0.491

^cThese values of G , E , β and ν have been computed through ELATE software.

Table 1 shows that the elastic constant C_{33} is greater than other elastic constants. It is obvious from table 1 that the value of C_{22} is greater than C_{11} at zero pressure. Elastic stiffness constants C_{44} and C_{66} are significantly smaller than the other elastic stiffness constant C_{11} .

Voigt bulk modulus (B_V) and Reuss bulk modulus (B_R) may be represented as a function of elastic stiffness constants C_{ij} and elastic compliance constants S_{ij} [25, 26]

$$B_V = \frac{1}{9}[C_{11} + C_{22} + C_{33} + 2C_{12} + 2C_{13} + 2C_{23}] \quad (1)$$

$$B_R = [S_{11} + S_{22} + S_{33} + 2S_{12} + 2S_{13} + 2S_{23}]^{-1} \quad (2)$$

Reuss shear modulus (G_R) and Voigt shear modulus (G_V) are represented by [25, 26]

$$G_R = 15[4(S_{11} + S_{22} + S_{33}) + 3(S_{44} + S_{55} + S_{66}) - 4(S_{12} + S_{13} + S_{23})]^{-1} \quad (3)$$

$$G_V = \frac{1}{15}[C_{11} + C_{22} + C_{33} - C_{12} - C_{13} - C_{23}] + \frac{1}{5}[C_{44} + C_{55} + C_{66}] \quad (4)$$

The Voigt-Reuss-Hill approximation provides the estimated polycrystalline shear (G_H) and bulk moduli (B_H) [25-27]

$$G_H = \frac{1}{2}[G_R + G_V] \quad (5)$$

$$B_H = \frac{1}{2}[B_R + B_V] \quad (6)$$

Also, macroscopic polycrystalline Poisson's ratio ν_H and Young's modulus E_H may be represented as follows [25-27]:

$$E_H = \frac{9B_H G_H}{3B_H + G_H} \quad (7)$$

$$\nu_H = \frac{3B_H - 2G_H}{2(3B_H + G_H)} \quad (8)$$

The computed elastic moduli from elastic constants are shown in table 2.

The malleable property of a polycrystalline substance is expected to have a ratio of bulk modulus to shear modulus greater than about 1.75 [28]. The obtained value of Young's modulus shows sufficient stiffness of ZnAs₂. The value of

81.36 GPa of bulk modulus shows the ample material strength of ZnAs₂ crystals under deformation. The computed value of 0.297 of Poisson's ratio is within the theoretical limits [29] for materials. Maximum and minimum values of Young's modulus, shear modulus, Poisson's ratio and linear compressibility of ZnAs₂ are shown in table 3.

The elastic anisotropy of a crystal may be expressed as [30, 31]

$$A_B = \frac{B_V - B_R}{B_V + B_R} \quad (9)$$

$$A_G = \frac{G_V - G_R}{G_V + G_R} \quad (10)$$

The calculated values of anisotropy parameters A_B and A_G are 0.00098 and 0.0384 respectively.

Ranganathan *et al* [32] expressed the universal elastic anisotropy index in the following manner:

$$A^U = \frac{B_V}{B_R} + 5 \frac{G_V}{G_R} - 6 \quad (11)$$

The obtained value of Ranganathan's universal elastic anisotropy index for ZnAs₂ is 0.402. All these finite values of anisotropy parameters indicate the presence of finite anisotropy in ZnAs₂ crystals. For isotropic materials, the value of each of the anisotropy parameters A_B , A_G and A^U is zero. With the help of ELATE software [21-22], the directional dependence of Young's modulus E and linear compressibility β is plotted in figures 1, 2 and 3. It is evident from figures 1 and 2 that directional Young's modulus has anisotropy. Similarly, figures 3 and 4 show the sufficient anisotropy of linear compressibility in ZnAs₂ crystal.

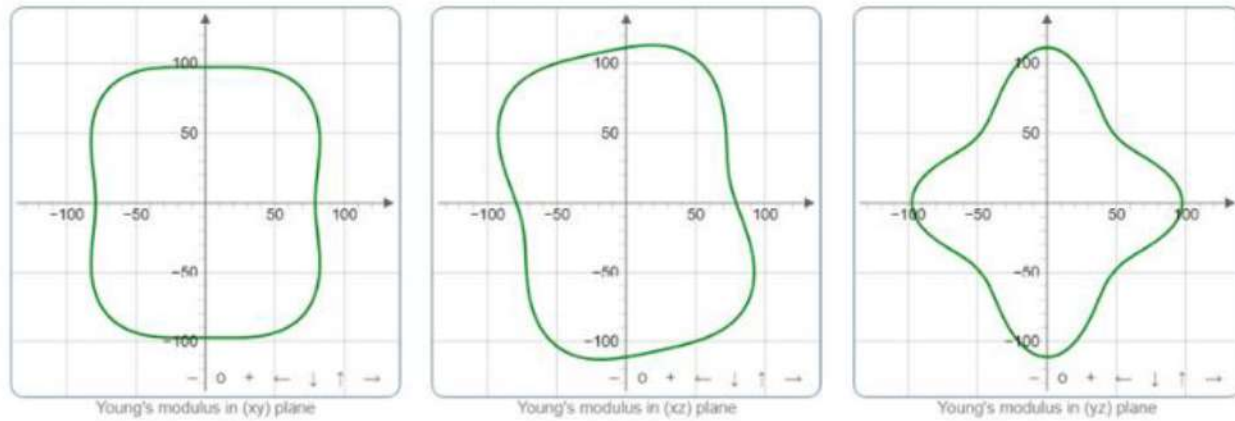


Figure 1. Directional dependence of the Young's modulus E (in GPa) of ZnAs₂

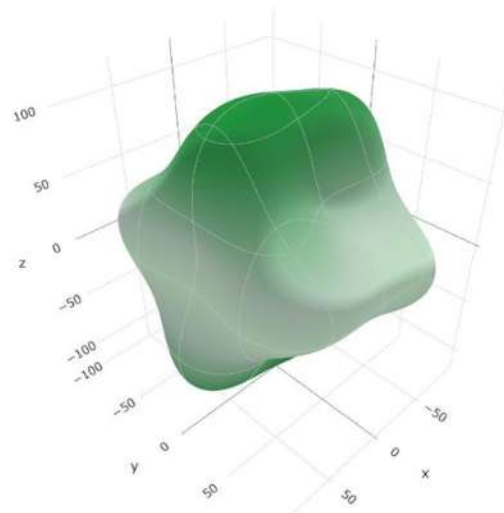


Figure 2. 3D View of the directional Young's modulus E (in GPa) of ZnAs₂

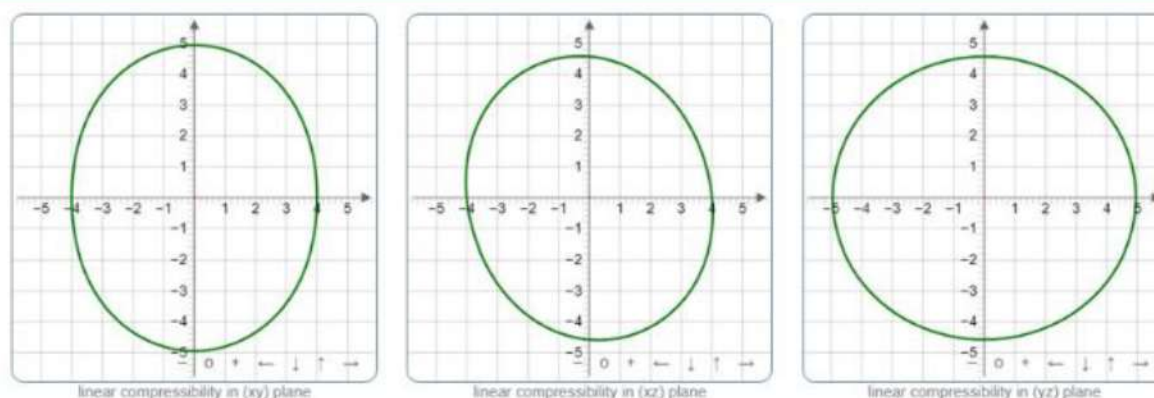


Figure 3. Directional dependence of the computed linear compressibility β [in (TPa)⁻¹] of ZnAs₂

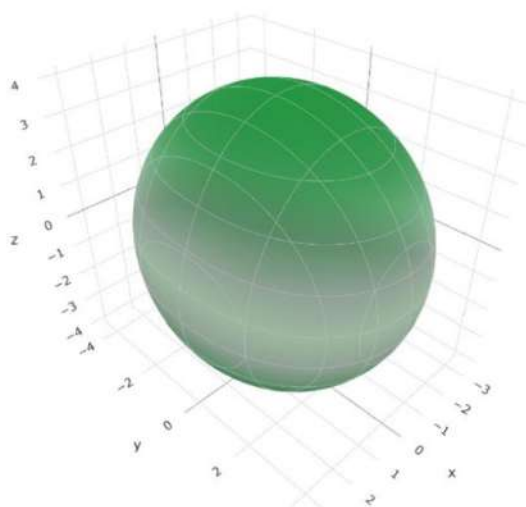


Figure 4. 3D View of the directional linear compressibility β [in (TPa)⁻¹] of ZnAs₂

Conclusion

The investigation reveals the various elastic properties of ZnAs₂ by using the GGA-PBESol functional in the CRYSTAL program. Our investigation predicts the malleable nature of ZnAs₂. In the present findings, the obtained value of Ranganathan's universal elastic anisotropy index for ZnAs₂ is 0.402. It can be concluded from the study that ZnAs₂ has definite elastic anisotropy. Our present findings show that variation in the value of Young's modulus from its minimum value to its maximum value is 50.55 GPa. For the shear modulus, the variation in the value from minimum to maximum is 26 GPa. Our investigation of the anisotropic properties of ZnAs₂ may shed light on the preferred orientation of crystals for designing engineering devices using ZnAs₂ crystals.

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